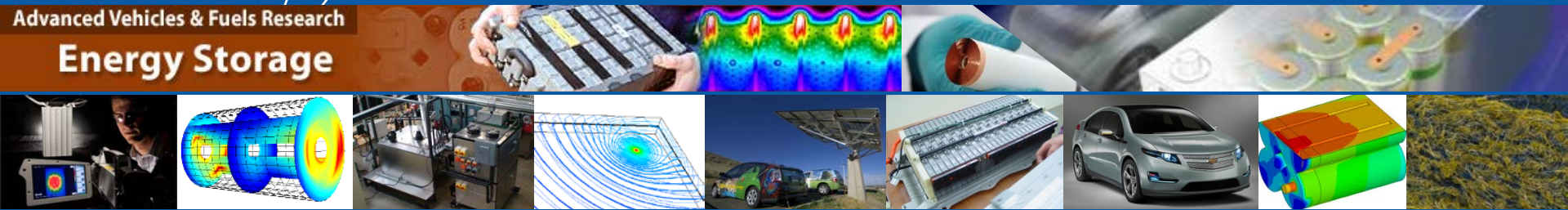


Optimizing Battery Usage and Management for Long Life

Advanced Vehicles & Fuels Research
Energy Storage



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Transportation and Hydrogen Systems Center
National Renewable Energy Laboratory
Golden, Colorado

Advanced Automotive Battery Conference
Detroit, Michigan June 16, 2016

NREL/PR-5400-66708

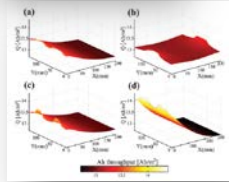
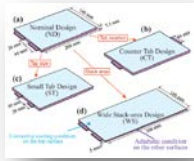
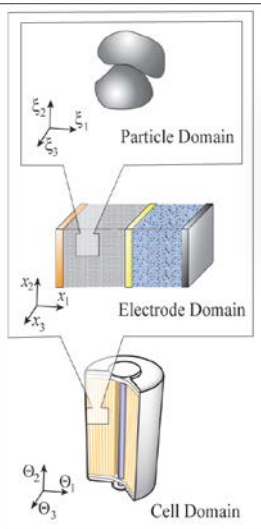
Outline

1) Models & methods

2) Analysis: PHEV 10-year / 150k-mile life

3) Battery control research projects

NREL Battery Modeling Tools



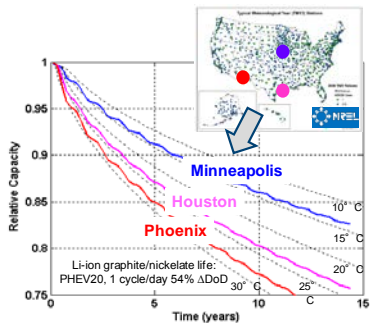
1) Multi-Scale Multi-Domain Model

- 3D electrochemical/thermal physics
- 3D thermal/electrical/mechanical abuse

DOE Computer-Aided Eng. of Batteries (CAEBAT) program

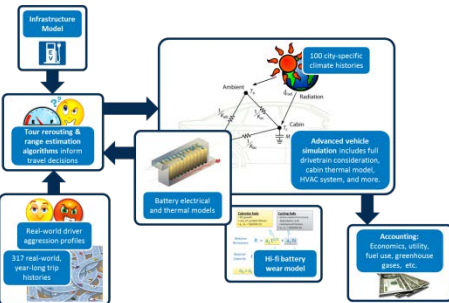
2) Battery Life Predictive Model

- Energy/power performance degradation as a function of time, N_{cycles} , T , SOC, ΔSOC , C-rate
- Integrated in BLAST



3) BLAST (Battery Lifetime Analysis and Simulation Tool)

- Load profile, climate & thermal simulation (vehicles, stationary)



SOC: state of charge

Electrochemical Operating Window

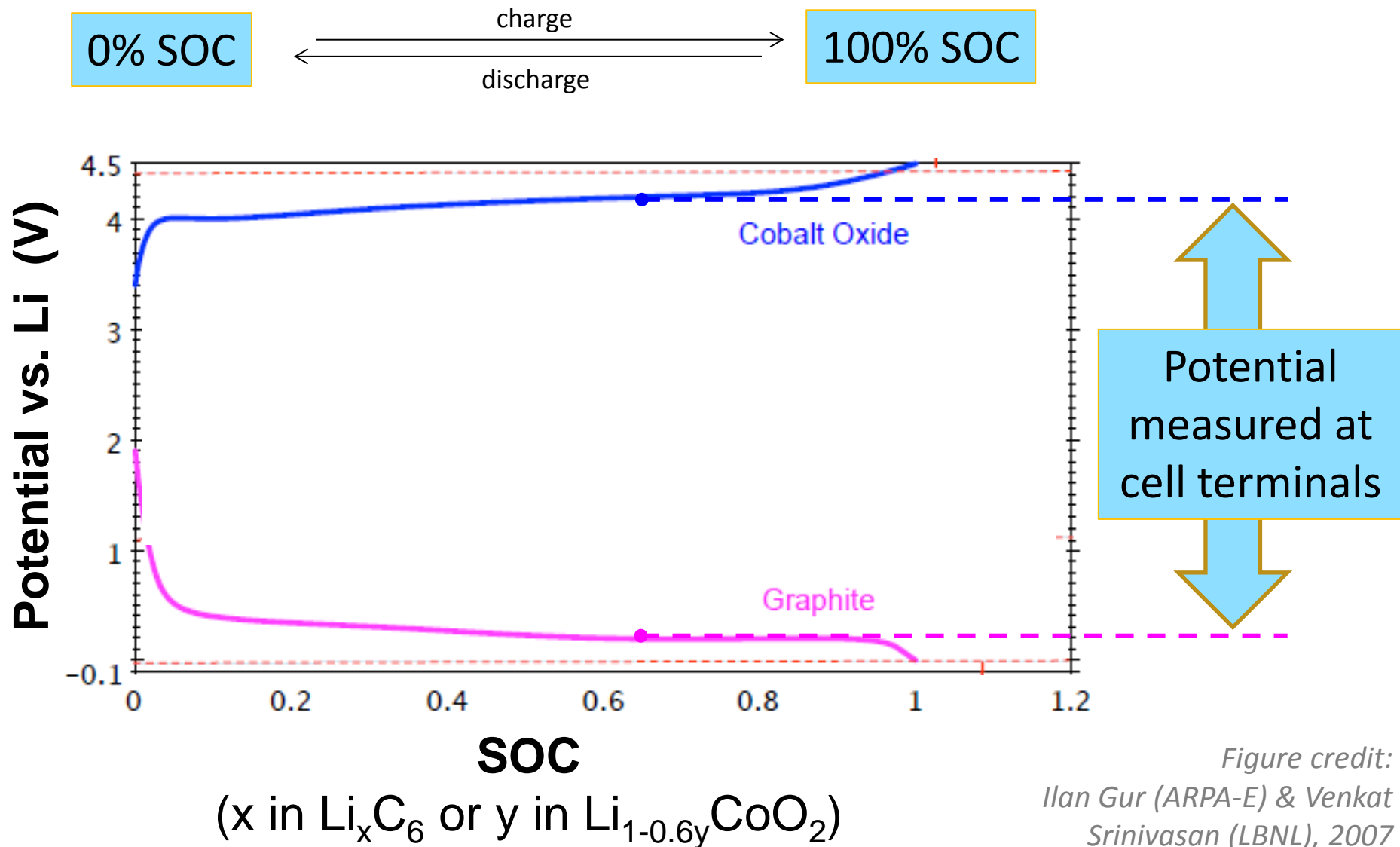
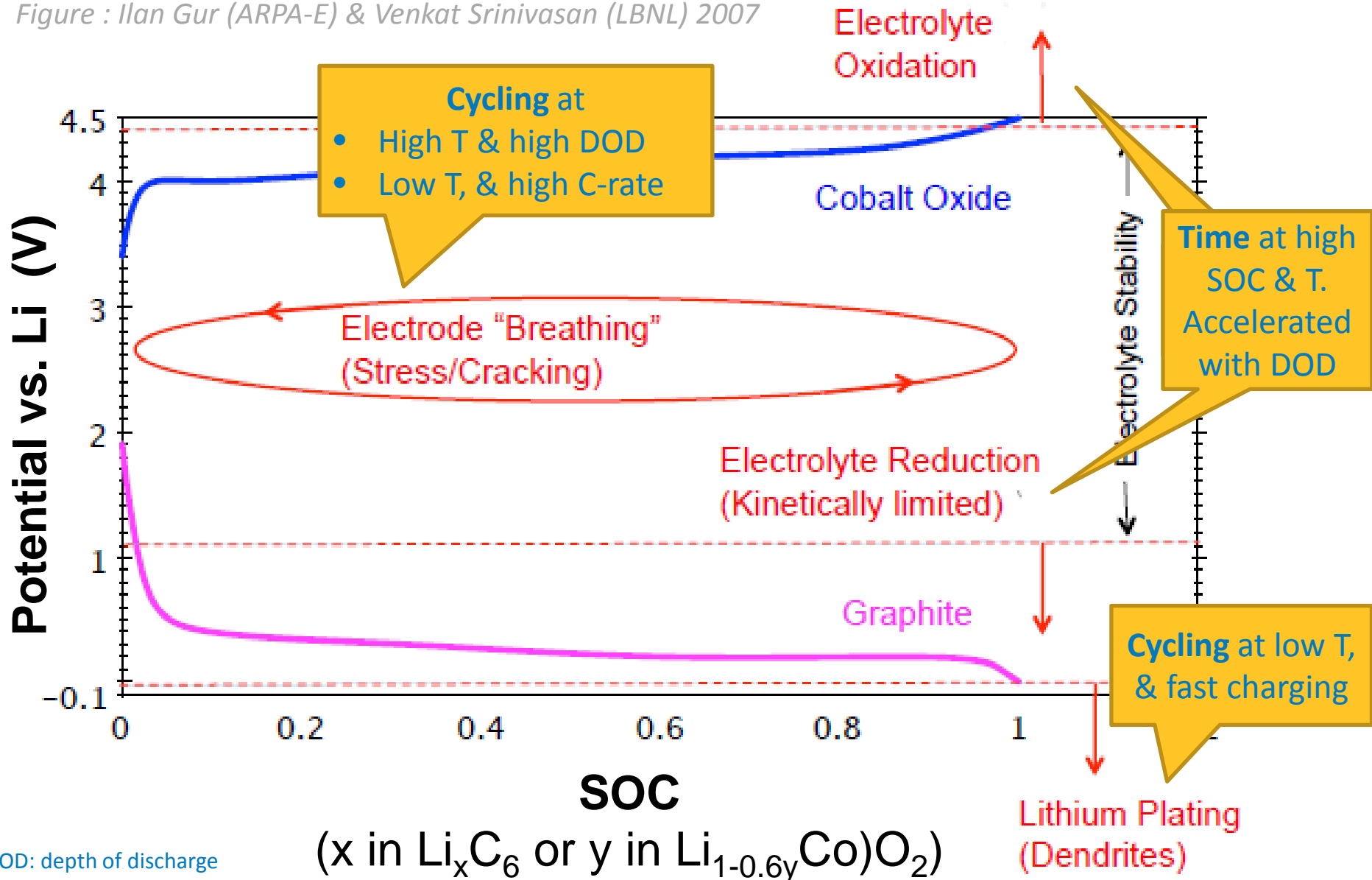


Figure credit:
Ilan Gur (ARPA-E) & Venkat
Srinivasan (LBNL), 2007

Electrochemical Window – Degradation

Figure : Ilan Gur (ARPA-E) & Venkat Srinivasan (LBNL) 2007



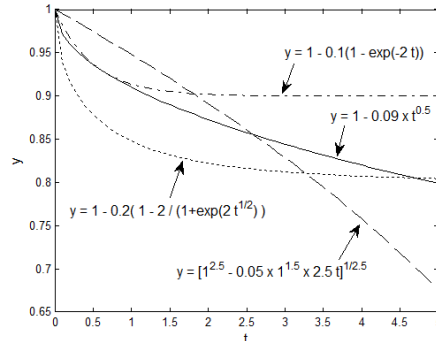
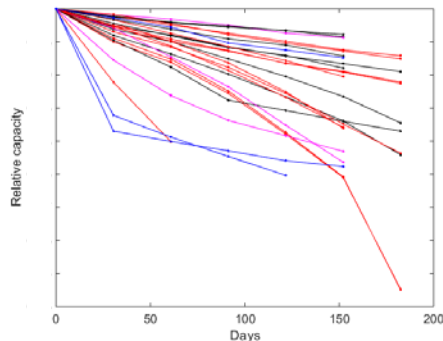
NREL Life Predictive Modeling – Approach

Set of trial equations representing physical fade mechanisms, e.g.:

- Solid-electrolyte interphase (SEI) growth & damage
- Particle fracture
- Electrode isolation
- Electrolyte decomposition
- Gas generation, delamination
- Li plating

(Non)linear combinations of mechanisms describe performance metrics changes with time & cycles

- Capacity (generally min. of several limiting mechanisms)
- Resistance (generally additive)



Mechanism	Trajectory equation	State equation	Parameters
Diffusion-controlled reaction	$x(t) = kt^{1/2}$	$\dot{x}(t) = \frac{k}{2} \left(\frac{k}{x(t)} \right)$	k - rate ($p=1/2$)
Kinetic-controlled reaction	$x(t) = kt$	$\dot{x}(t) = k$	k - rate ($p=1$)
Mixed diffusion/kinetic	$x(t) = kt^p$	$\dot{x}(t) = kp \left(\frac{k}{x(t)} \right)^{\frac{1-p}{p}}$	k - rate p - order, $0.3 < p < 1$
Diffusion controlled reaction with mechanical damage	See Appendix A	$\dot{D} = \frac{dN}{dt} k_D \cdot (\sqrt{D})^p$ $\dot{x}_0(t) = \frac{k}{2} \left(\frac{k}{x(t)} \right)$ $\dot{x}_j(t) = D \frac{k}{2} \left(\frac{k}{x(t)} \right)$	k - rate p - order
Cyclic fade-linear	$x(N) = kN$	$\dot{x}(N) = k$	k - rate ($p=0$)
Cyclic fade-accelerating	$x(N) = \left[x_0^{1+p} + kx_0^p(1+p)N \right]^{\frac{1}{1+p}}$	$\dot{x}(N) = k \left(\frac{x_0}{x(N)} \right)^p$	k - rate p - order, $0 \geq p > 3$
Break-in process	$x(t) = M(1 - \exp(-kt))$ or $x(N) = \dots$	$\dot{x}(t) = k(M - x(t))$	M - maximum fade k - rate
Sigmoidal reaction	$x(t) = M \left[1 - \frac{2}{1 + \exp(kt^p)} \right]$ or $x(N) = \dots$	$\dot{x}(t) = \frac{2MkpX(t) \exp(kX(t))}{[1 + \exp(kX(t))]^2}$ $X(t) = \left\{ \frac{1}{k} \ln \left(\frac{2}{1 - x(t)/M} - 1 \right) \right\}^{\frac{1}{p}}$	M - maximum fade k - rate p - order

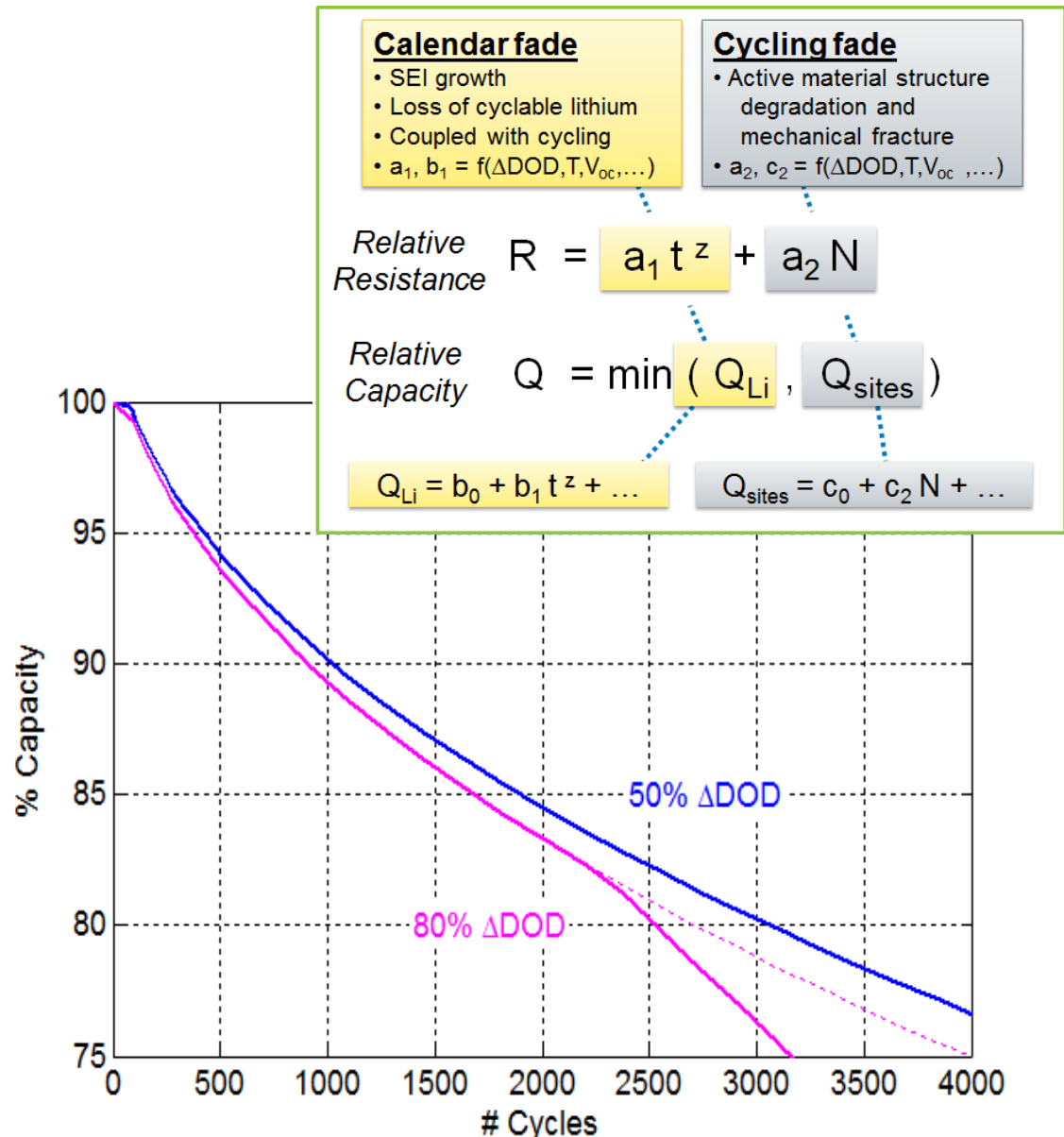
x, D : state variables
 k, k_D : fade rates
 p : order
 M : maximum extent of fade

S. Santhanagopalan, K. Smith, J. Neubauer, G.-H. Kim, A. Pesaran, M. Keyser, *Design and Analysis of Large Lithium-Ion Battery Systems*, Artech House, 2015

NREL Life Predictive Modeling – NCA Example

- Experience with 8-10 NCA, FeP, NMC technologies
- NCA model, shown here, implemented in BLAST

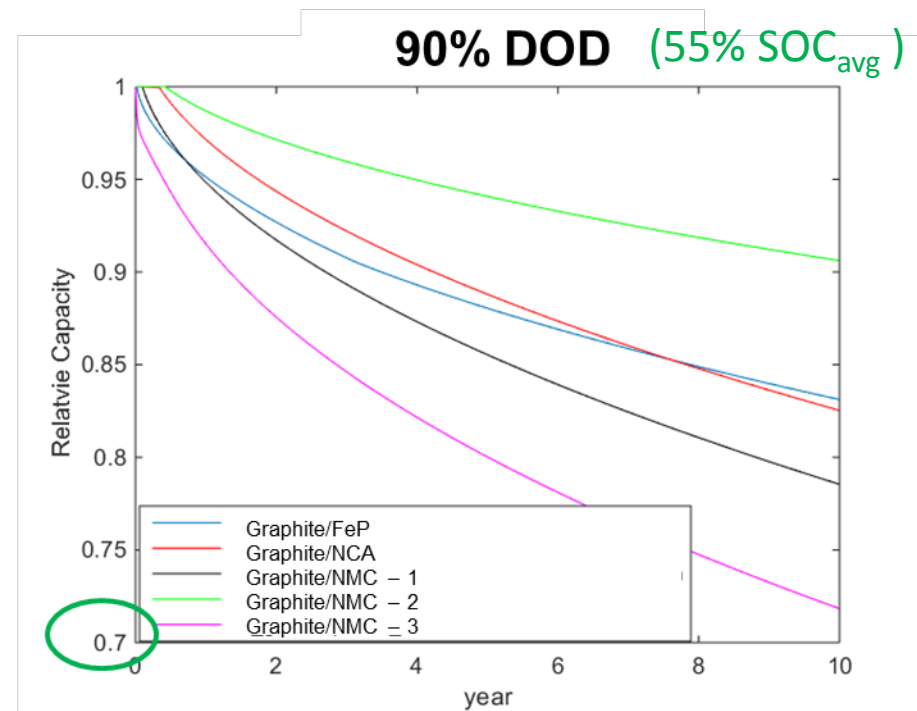
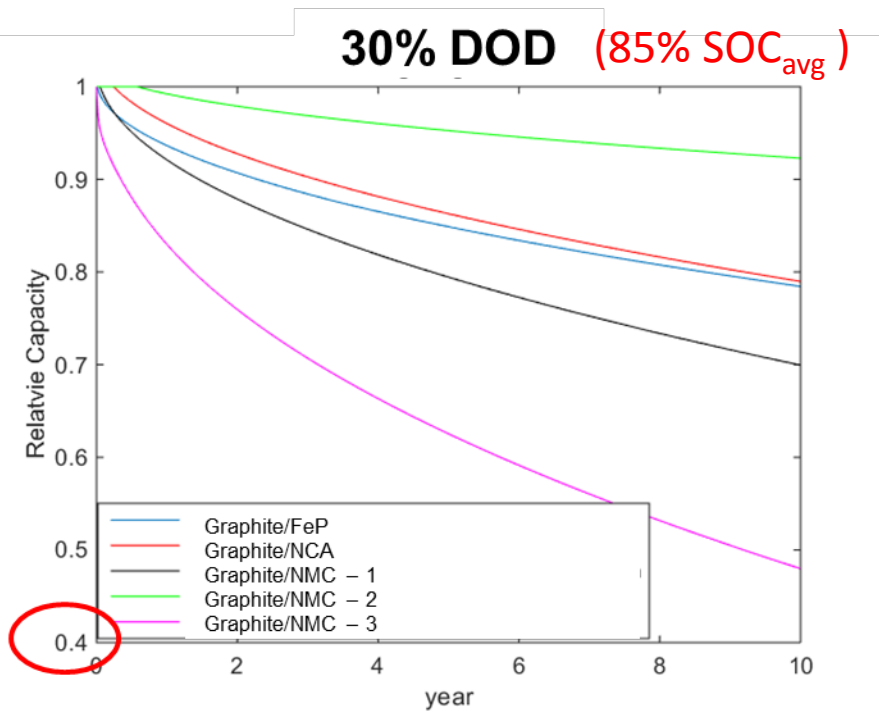
FeP: iron-phosphate
 NCA: Ni-Co-Al
 NMC: $\text{LiNi}_{0.4}\text{Mn}_{0.4}\text{Co}_{0.2}\text{O}_2$



Life Comparison of 5 Li-ion Technologies

In addition to aging condition, life changes significantly with Li-ion technology

- Power/energy ratio
- Chemistry
- Design heritage



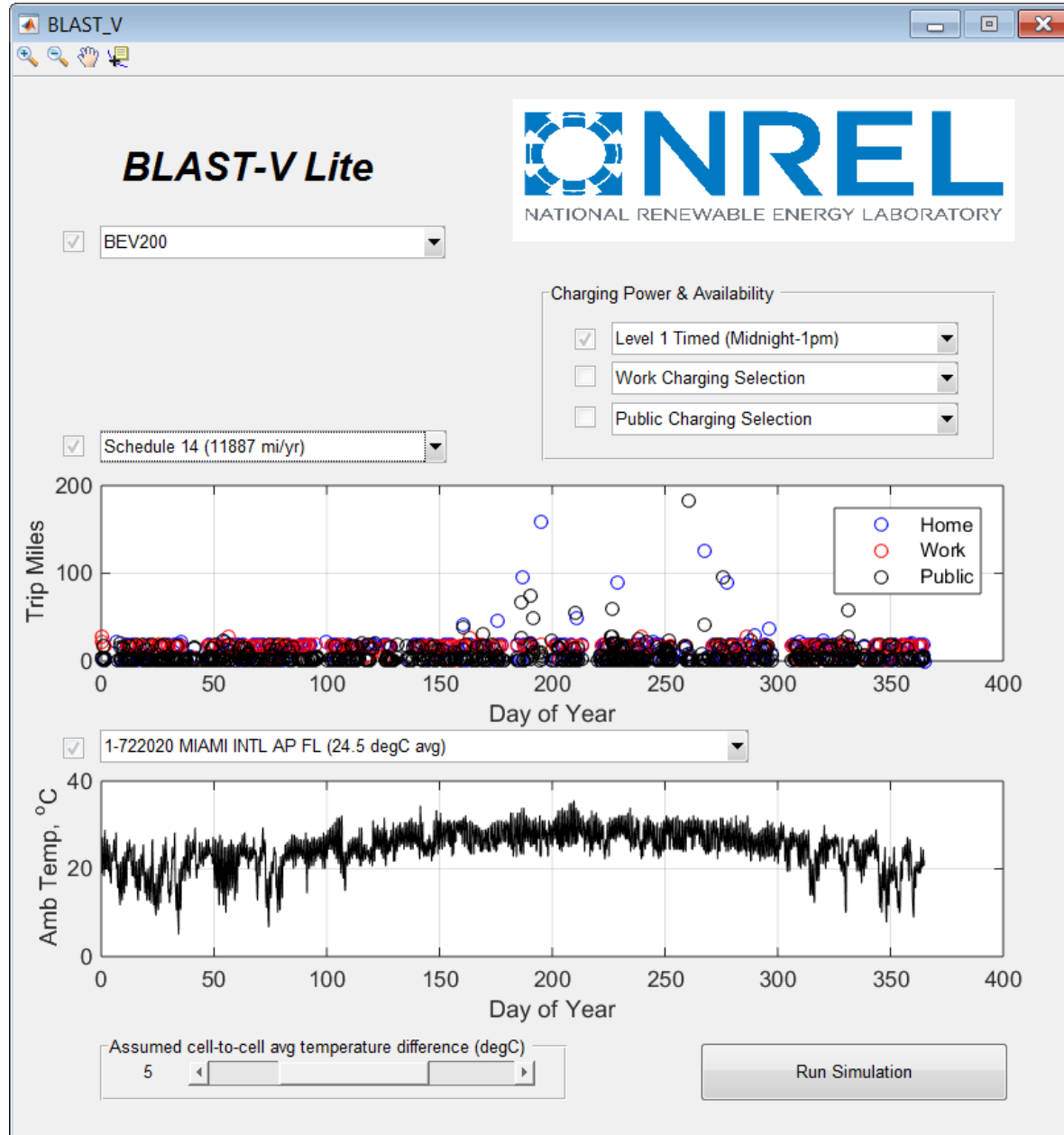
Temperature and electrical cycling assumptions:

- Temperature: 28°C
- Cycling: 2-hr charge to 100% SOC; 10-hr rest; 2-hr dischg; 10-hr rest

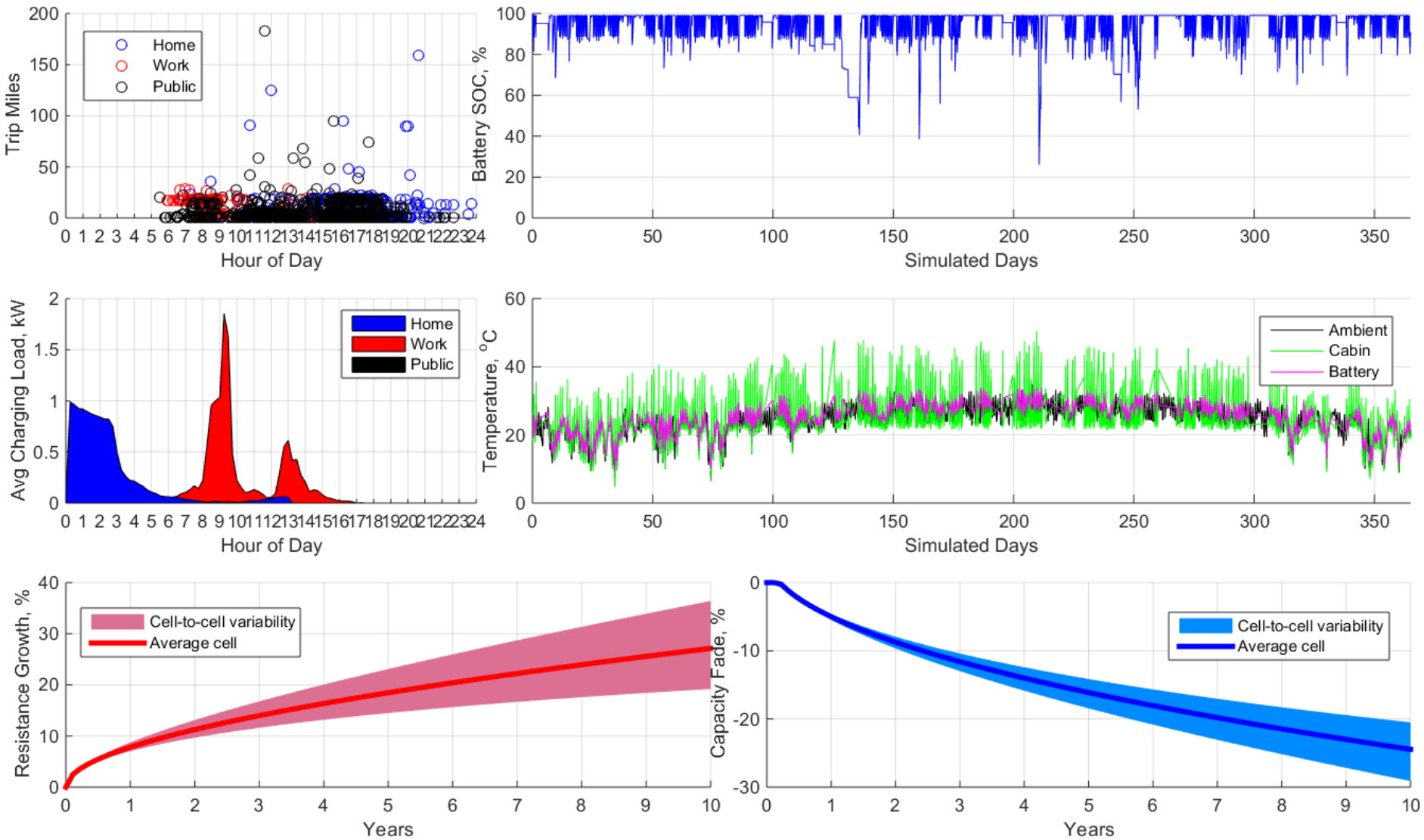
**Faster fade at 30% DOD relative to 90% DOD in this scenario is due to longer dwell time at high SOC for the 30% DOD case.*

BLAST-Lite Standalone Model GUI

- Versions for vehicle (shown) and stationary energy storage applications
- Downloadable from NREL website later this year

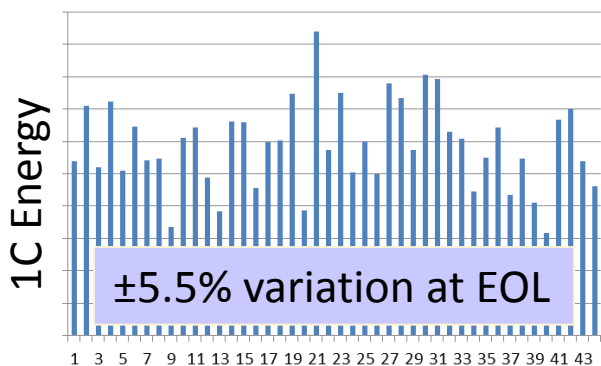


BLAST-Lite Sample Model Results

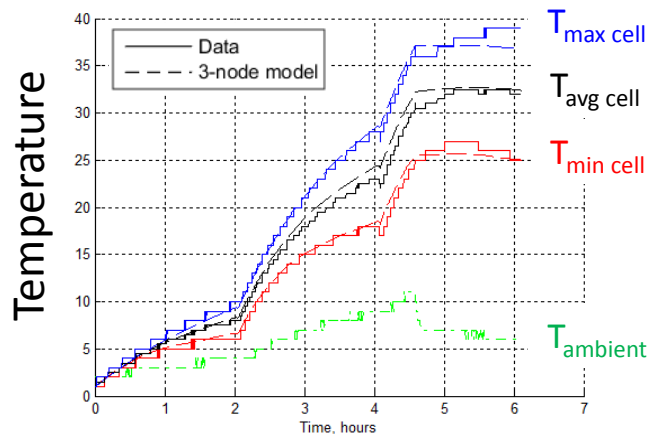


Cell-to-Cell Capacity Imbalance

- Expert interviews & teardown analysis of NCA automotive pack aged to 70% remaining energy

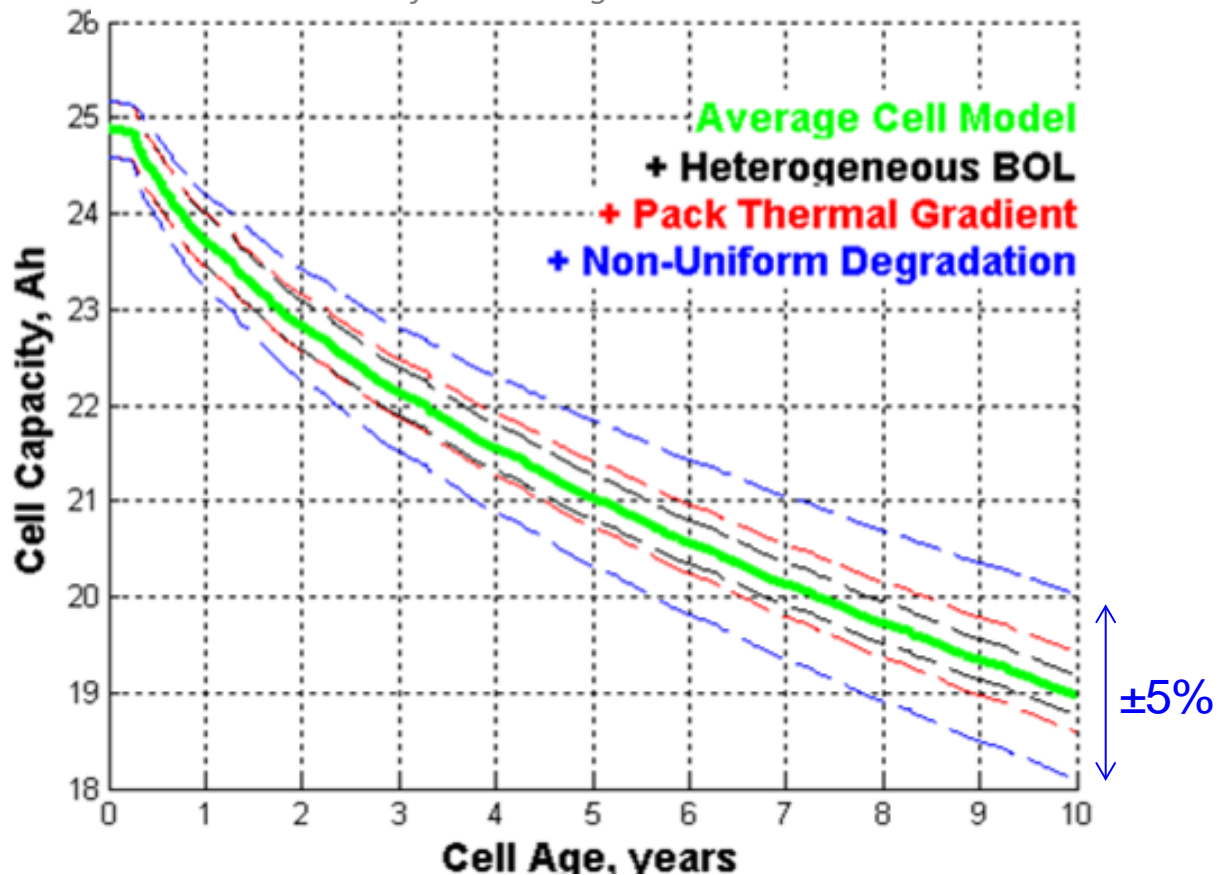


- Pack thermal imbalance testing and simulation



- Simulation of sources of cell-to-cell aging variability

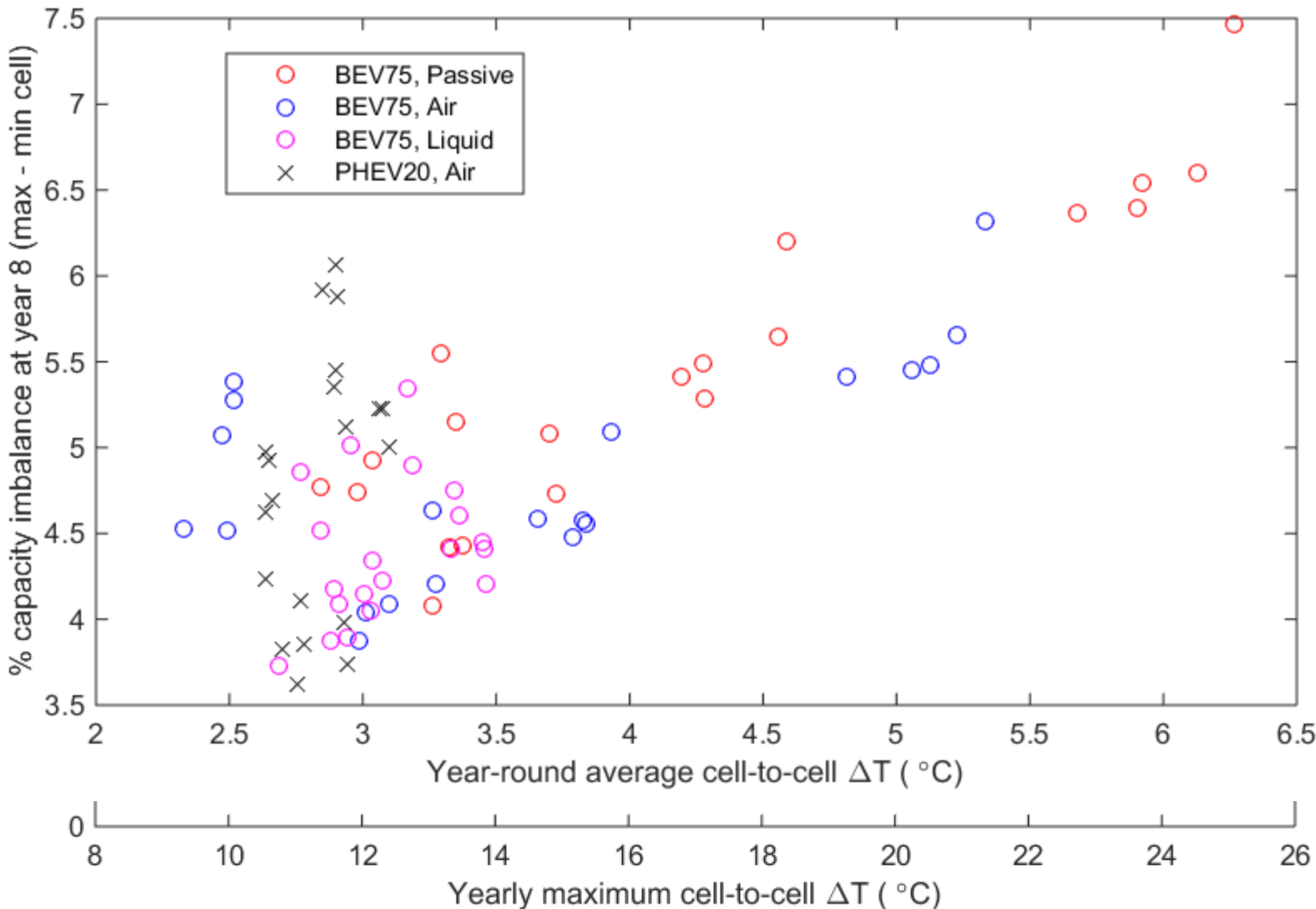
Non-uniform degradation includes ±10% random perturbation on life model degradation rates



BOL: beginning of life
EOL: end of life

Cooling System Impact on Cell Aging Imbalance

BLAST simulation of xEVs across 5 driving patterns, 4 climates



1) Lifetime models & methods

2) Analysis: PHEV 10-year / 150k-mile life

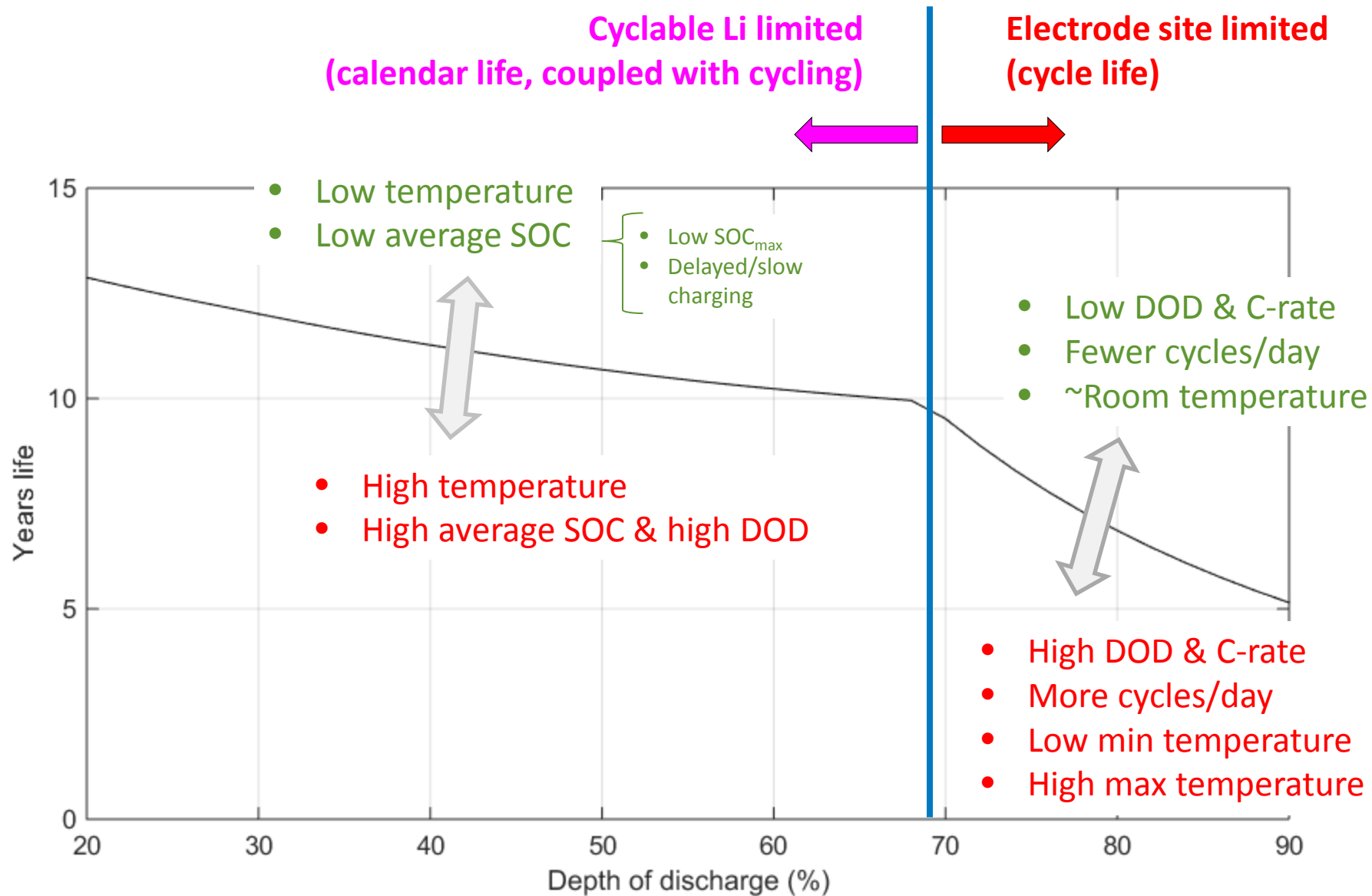
3) Battery control research projects

Impacts on PHEV Lifetime

- **AT-PZEV 10-yr/150k-mi warranty**
- **Presently no remaining capacity requirement**
- **But important for long-term customer satisfaction and resale value**

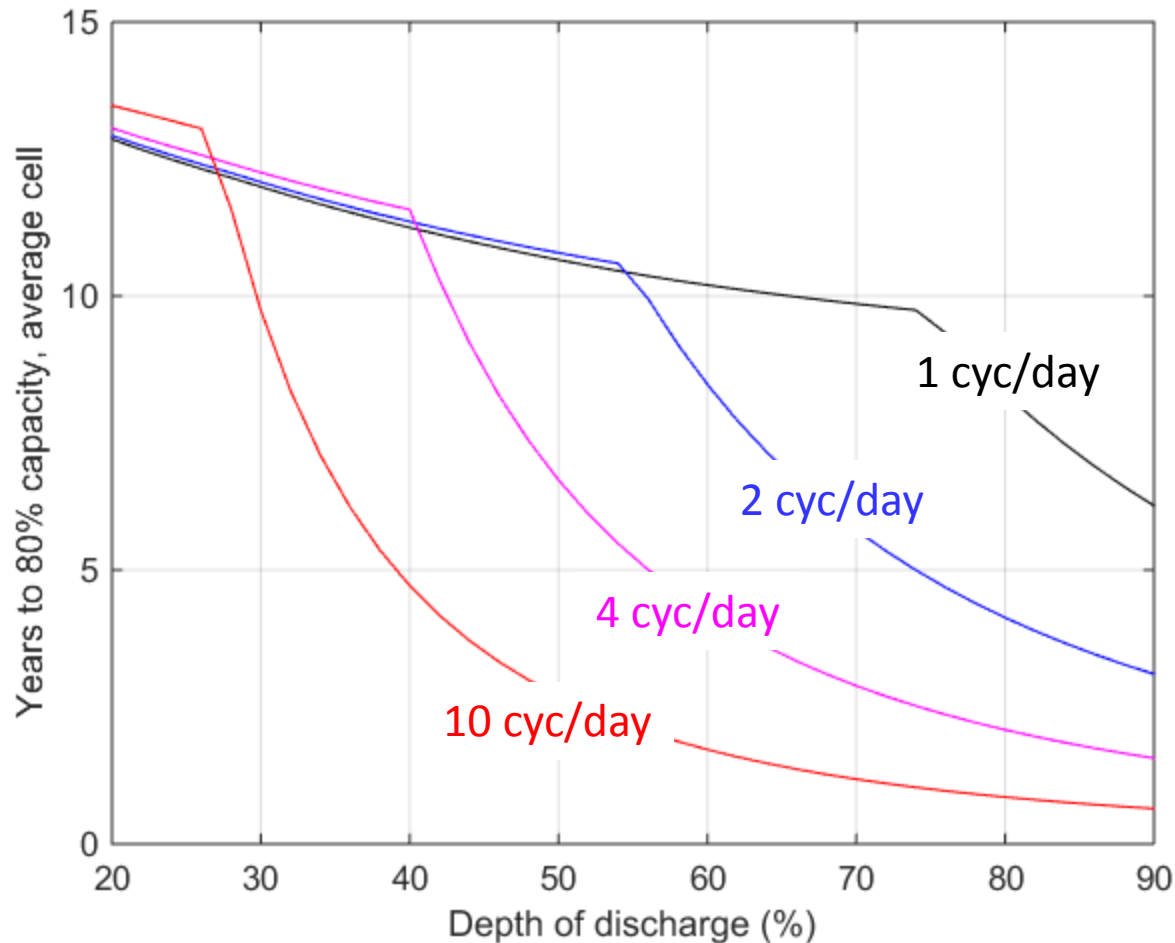
- **Nominal assumptions** (variations noted on each slide)
 - Graphite/NCA life model
 - 20°C
 - 90% SOC_{max}
 - Average cell degradation (margin required for worst cell if passive balancing)
 - 1 cycle per day
 - 2/3 of rest time spent at SOC_{max}
 - 1/3 of rest time spent at SOC_{min}

Calendar versus Cycle Limitations on Years Life



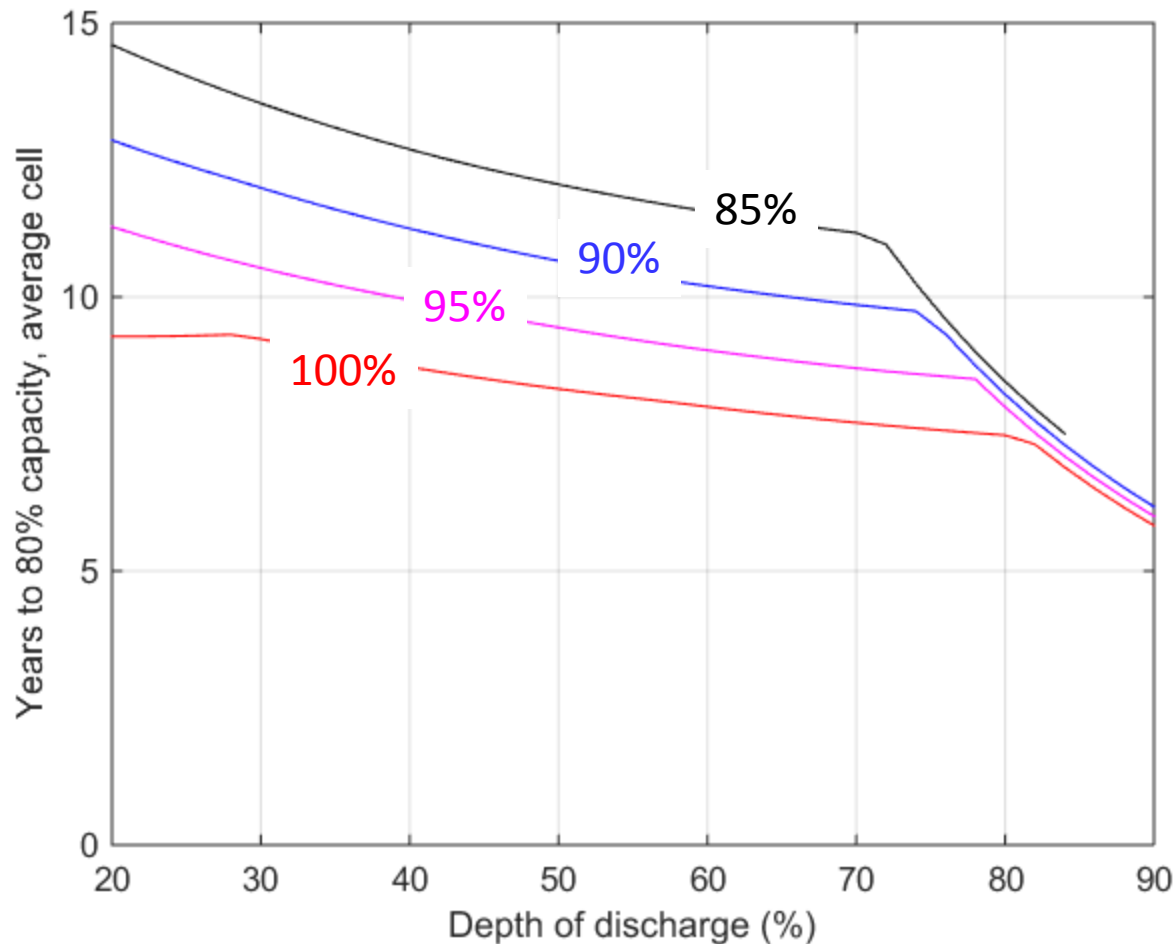
Impact of Number of Cycles per Day

- PHEV40 typical worst case is 1 cyc/day: 10 yrs ~ 68% DOD
- PHEV20 could experience 2 cyc/day: 10 yrs ~ 56% DOD
- PHEV10 could experience 4 cyc/day: 10 yrs ~ 43% DOD



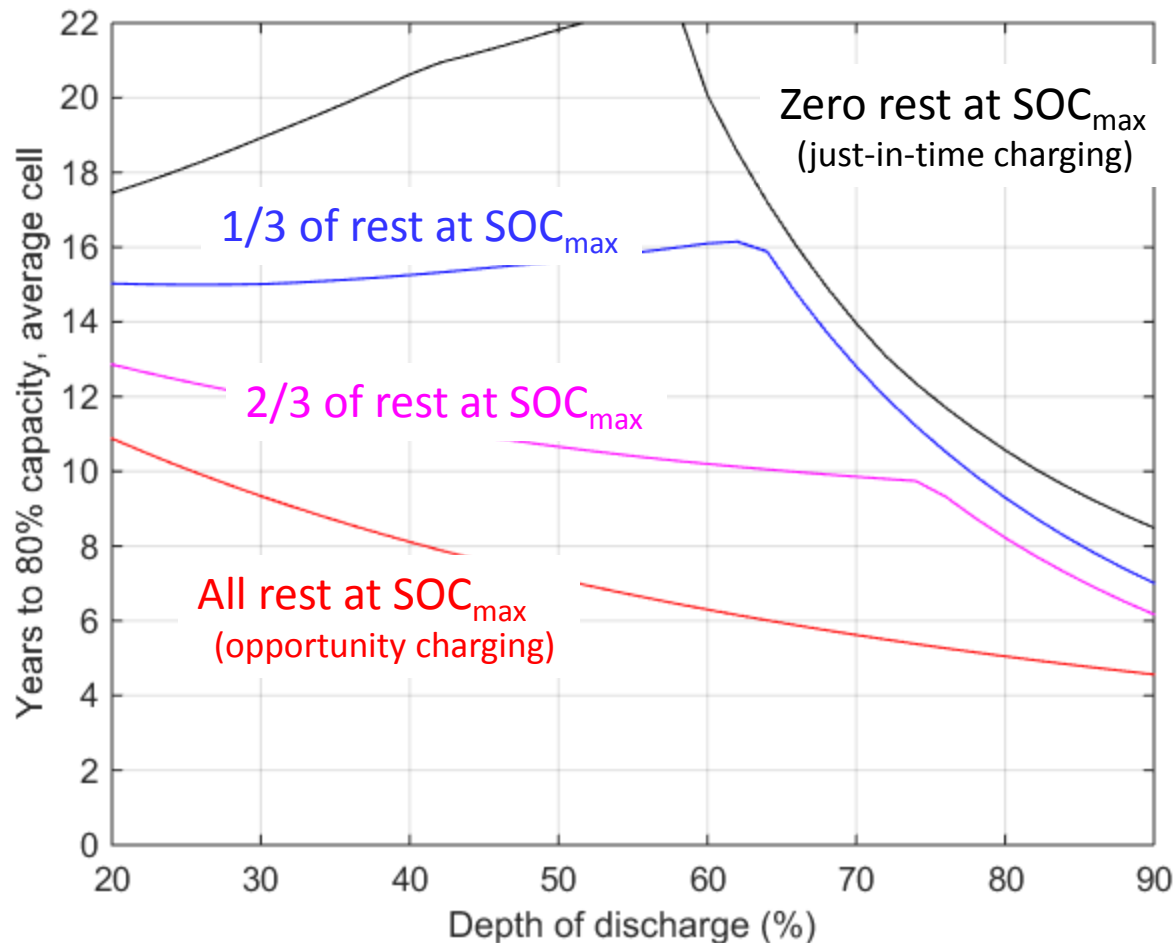
Impact of Maximum SOC

- Plot below assumes constant SOC_{max} . Alternately, can be varied with
 - Seasonal or battery temperature (e.g., low in summer, high in winter)
 - Service life (e.g., gradually increasing SOC_{max} to maintain available energy)



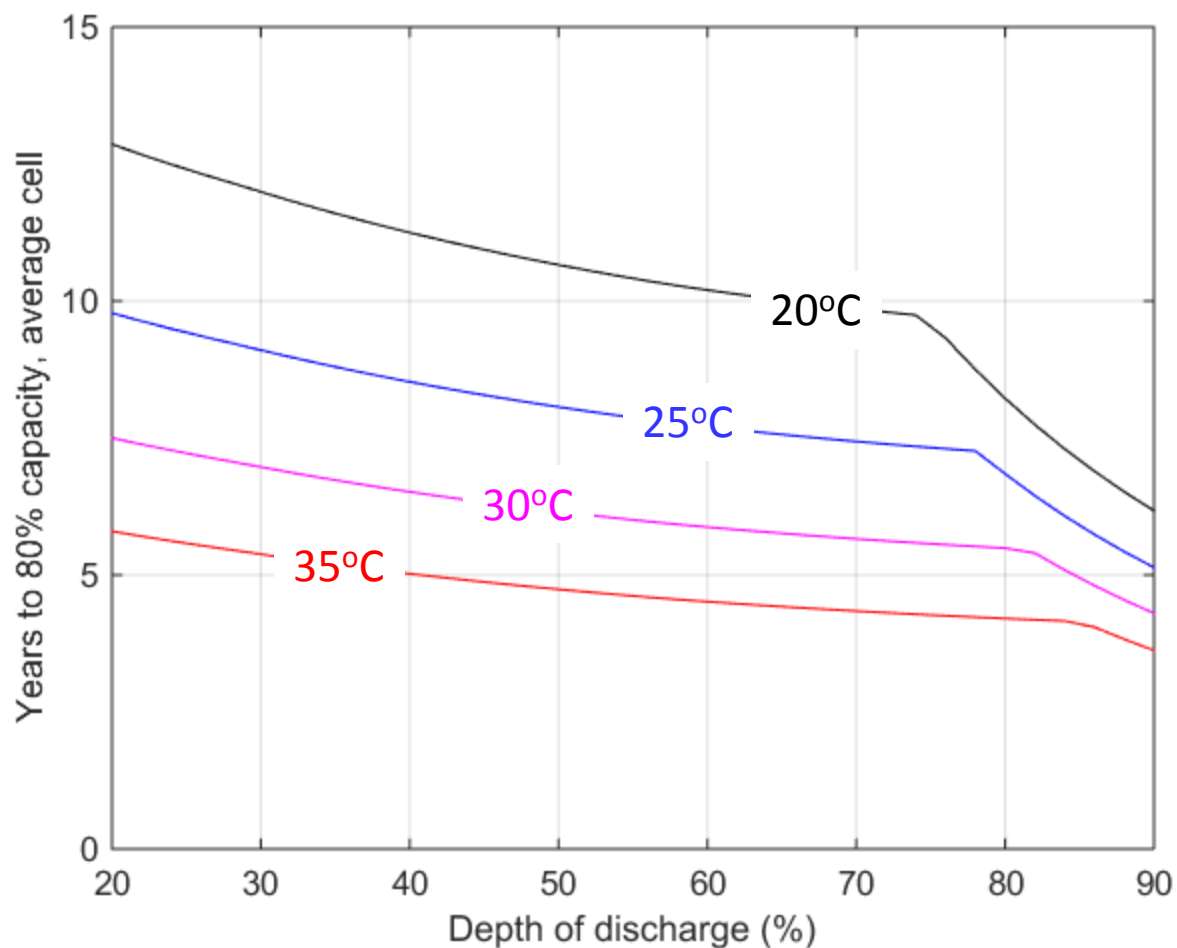
Impact of rest time at maximum SOC

- Impacted by charging behavior
- Huge calendar lifetime benefit w/ delayed charging
 - Must be traded with providing customer full charge just in time for next trip



Impact of Lifetime Average Temperature

- Hot climates require some combination of
 - Chilled thermal management
 - Restricting $SOC_{max} < 90\%$ [when battery is hot and/or during hot seasons]
 - Increasing SOC window over 10 years
 - Delayed charging
 - Reducing 10-year remaining capacity requirement $< 80\%$



1) Lifetime models & methods

2) Analysis: PHEV 10-year / 150k-mile life

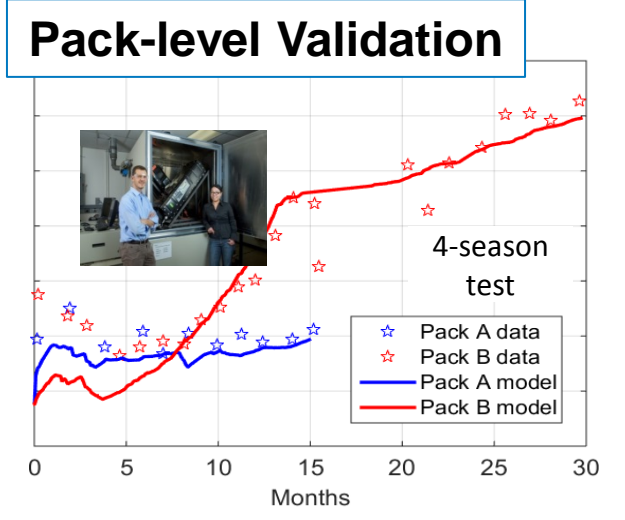
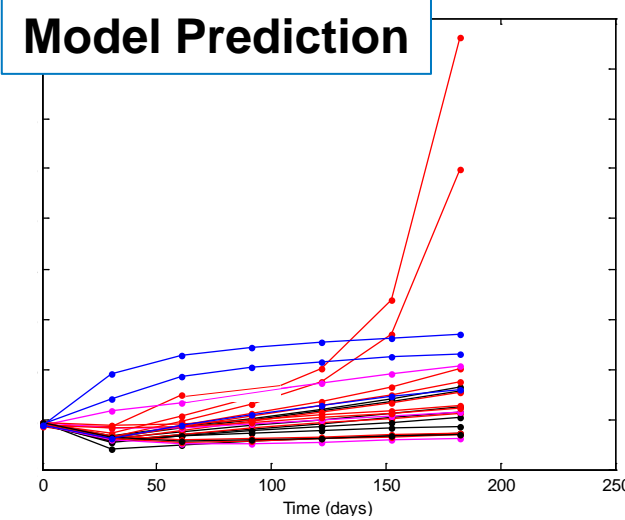
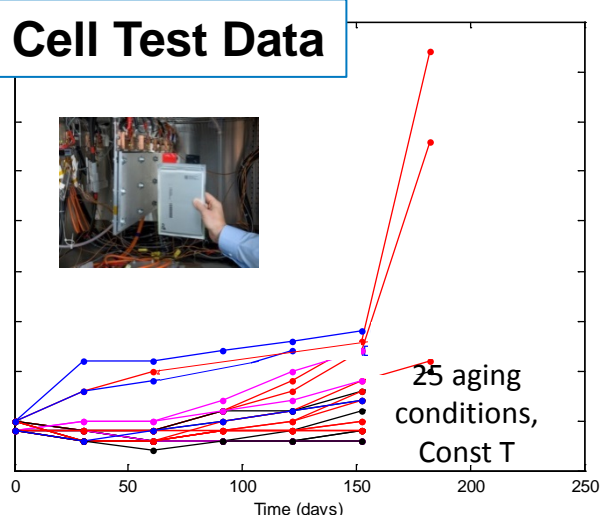
3) Battery control research projects

Battery Prognostic-Based Control for xEVs



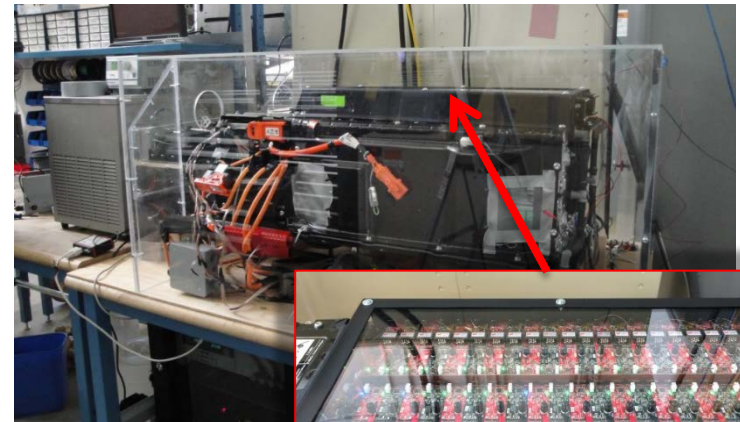
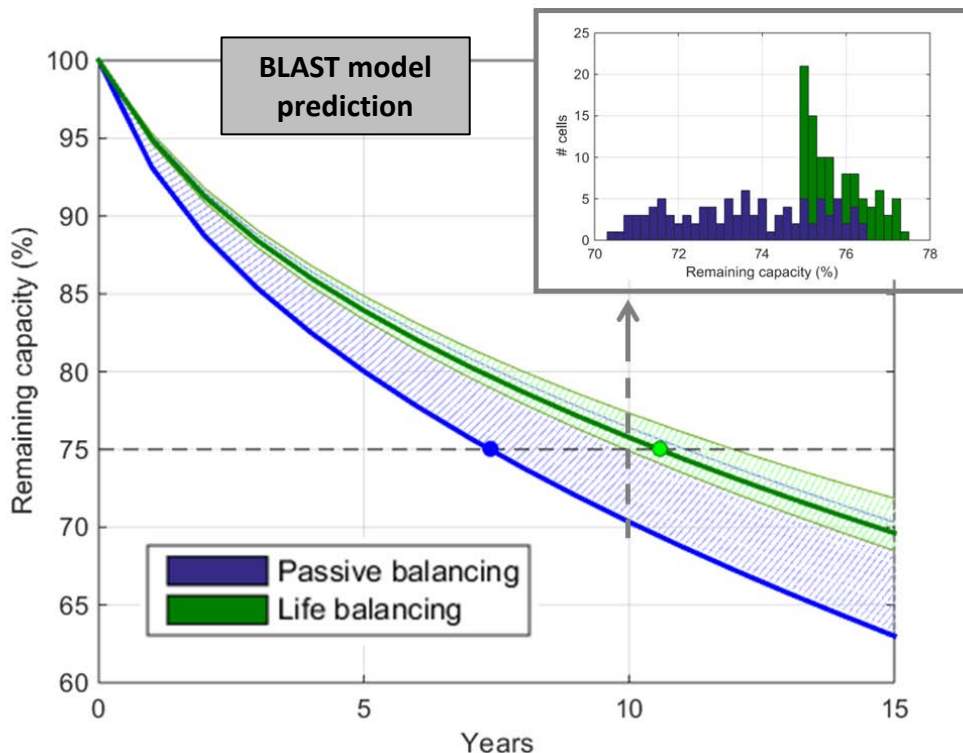
Figure: <http://www.eaton.com/Eaton/...ProductsServices/HybridPower/Applications/index.htm>

- ARPA-E AMPED project led by Eaton Corporation
- Issue: xEV battery packs are oversized & controls are conservatively tuned to achieve typical life of 10 years. Oversizing is expensive
- Solution: 35% smaller HEV battery by providing vehicle controller with real-time knowledge of battery degradation
- NREL roles: Developed battery prognostic model with 6 months accelerated cell testing. Validated model and controls with 33-month 4-season HIL pack testing



Robust Cell-Level Control of xEV Batteries

- ARPA-E AMPED project led by Utah State, with Ford, CU-Boulder, UCCS, NREL
- Life extension: 30% to 45% xEV battery life extension using new hardware and controls to differentially cycle weak cells & extend their life
- Cost neutral: Active cell balancing hardware supplies vehicle auxiliary 12V loads. Replaces HV → 12V DC-DC converter (~\$200 component)
- NREL roles: Benefits modeling, control strategy, validation w/ 1.5-year accel. aging

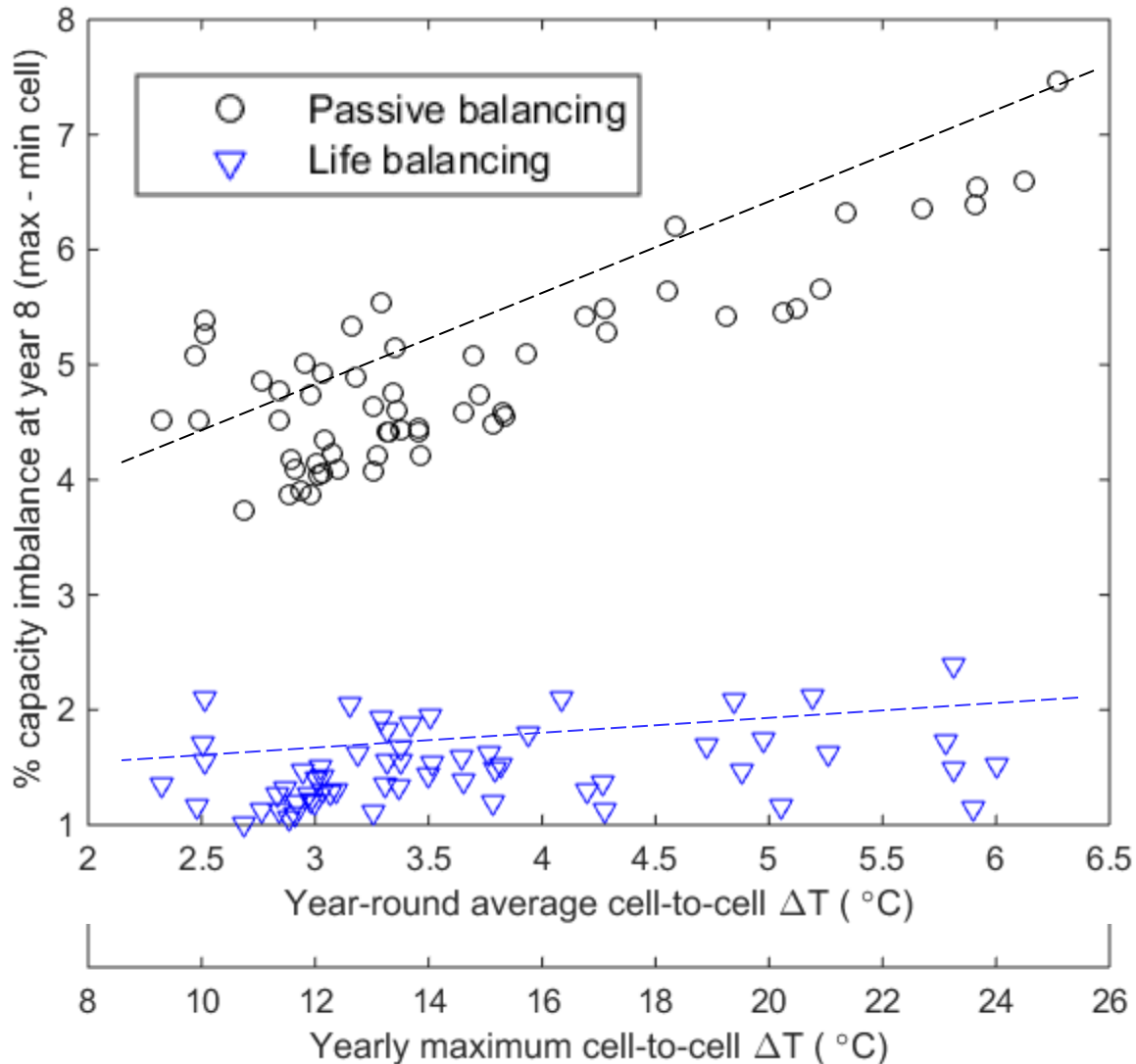


Validation testing on PHEV pack

- 35°C amb., 4-5 US06 cyc./day
- Bottom cells: Passive balancing
- Top cells: Life balancing

Life Balancing Control Strategy Reduces Need for Tight Cell-to-Cell Thermal Control

BLAST simulation. Individual data points are same scenarios as shown on slide 12.



- **Utah State University AMPED balancing system compensates for non-uniform cell aging**
- **Thermal management still needed to remove heat load and suppress maximum cell temperature**

Summary

- **Main calendar life factors: Average T & SOC**
 - DOD_{max} secondary (inverse correlation with avg. SOC)
- **Main cycle life factors: DOD & C-rate (max, RMS); high/low T extremes**
- **Today's life models reasonably extrapolate test data forward in time**
 - Extrapolation to untested duty cycles still uncertain
 - Integration with physics models needed to optimize next generation cell designs
- **Advanced controls show promise for**
 - 35% smaller HEV battery
 - 30%-45% longer PHEV & BEV life

Acknowledgements

- **DOE Vehicle Technologies Office**
 - Brian Cunningham
 - David Howell
- **DOE ARPA-E AMPED Program**
 - Pat McGrath
 - Russell Ross
 - Ilan Gur
- **ARPA-E AMPED Teams**
 - Eaton Corporation – Chinmaya Patil
 - Utah State Team – Regan Zane, Dyche Anderson, Dragan Maksimovic, Gregory Plett, Scott Trimboli,