| | <u>Type</u> | Description of Modification | Date Signed |
|------------------|----------------|---|--------------------|
| Reference | | Prime Contract No. DE-AC36-08GO28308 | by Contracting |
| | | | Officer |
| FISCAL | | | |
| YEAR 2009 | D 1' | n e | 00/00/00 |
| | Funding | Funding | 08/22/08 |
| M002 | Administrative | Revised: B.3 Transition Cost, Estimated Costs and Maximum Available Fee to change the beginning and ending dates of the Transition Period, the start date of M&O activities, and the Total Available Fee for the contract period beginning October 1, 2008 and ending September 30, 2009 H.3 Employee Compensation: Pay and Benefits to reflect the change in the beginning and end dates of the Transition Period I.140 970.5232-4 Obligation of Funds to correct the amount presently obligated by the Government to the Contract to be \$1,405,242.00 Section J, Attachment F Operating and Administrative Requirements Added: DOE N 206.5 CRD Response and Notification Procedures for Data Breaches Involving Personally Identifiable Information DOE O 210.2 CRD DOE Corporate Operating Experience Program DOE O 221.1A CRD Reporting Fraud, Waste, and Abuse to the Office of Inspector General DOE O 226.1A CRD Implementation of Department of Energy Oversight Policy DOE M 231.1-1A CRD Change 2 Environment, Safety and Health Reporting Manual Deleted: DOE O 226.1 CRD Implementation of Department of Energy Oversight Policy DOE M 231.1-1A CRD Change 1 Environment, Safety and Health Reporting Manual Deleted: DOE O 326.1 CRD Implementation of Department of Energy Oversight Policy DOE M 231.1-1A CRD Change 1 Environment, Safety and Health Reporting Manual DOE O 443.1 CRD Protection of Human Subjects Added: H.30 Incurrence of Relocation Costs Prior to the Initiation of M&O Activities H.31 Exemption of Management and Operation Contractual Obligations for Real Property | 09/12/08 |

| Modification Reference | Туре | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|----------------|---|------------------------------------|
| | | Encumbered by Government's Easement Outgrant. Reserved: Section J, Attachment N REQUIREMENTS TO LAWS, REGULATIONS, and DOE DIRECTIVES SUPPLEMENTAL is marked as Reserved. | |
| A003 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,500,044.00 | 09/12/08 |
| M004 | Administrative | Section H, Special Contract Requirements Revised: H.3 Employee Compensation: Pay and Benefits H.4 Post Contract Responsibilities for Pension and Other Benefit Programs Added: H.32 Privately Funded Technology Transfer Program H.33 Provisional Payment of Fee H.34 Temporary Laboratory Closures at NREL Section J, List of Documents, Exhibits, and Other Attachments Incorporated by reference: Attachment D Special Financial Institution Account Agreement for Use with The Payments Cleared Financing Arrangement dated August 27, 2008 between the Department of Energy (DOE), the Alliance for Sustainable Energy LLC (Alliance) and U.S. Bank Attachment K Diversity Plan (as submitted by the Alliance on September 15, 2008) Attachment M Equal Employment Opportunity Program (as submitted by the Alliance on September 15, 2008) | 10/01/08 |
| A005 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$55,999,544.00 | 10/01/08 |
| A006 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$346,804,034.71 | 10/10/08 |
| A007 | Funding | *Funding • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$407,719,233.92 | 10/16/08 |
| A008 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$347,074,486.42 | 10/15/08 |

| Modification Personne | Type | Description of Modification Prime Contract No. DE AC36 08C 022308 | Date Signed |
|--------------------------|----------------|---|--------------------------|
| <u>Reference</u> | | Prime Contract No. DE-AC36-08GO28308 | <u>by</u> Contracting |
| | | | Officer |
| M009 | Administrative | Revised: B.3 Transition Cost, Estimated Costs and Maximum Available Fee - to include fee from October 1, 2008 H.25 Special Financial Institution Account Agreement - to incorporate new Financial Institution Account Agreement Section I, Table of Contents – Clause I.30 52.219-26 Small Disadvantaged Business Participation Program-Disadvantaged Status and Reporting (Oct 1999) is deleted and replaced with I.30 52.219-25 Small Disadvantaged Business Participation Program-Disadvantaged Status and Reporting (Oct 1999) I.48 Section (a) – 52.223-7 Notice of Radioactive Materials (Jan 1997) I.57 Section (b)(2) – 52.225-9 Buy American Act – Construction Materials (Jan 2005) I.76 Section (d) – 52.244-2 Subcontracts (June 2007) I.82 Section (c) – 52.247-67 Submission of Transportation Documents for Audit (Feb 2006) I.111 Paragraph (b) – 970.5204-3 Access To and Ownership of Records (Jul 2005) I.125 Section (d)(2), and Section (e)(3)(v) – 970.5227-2 Rights in Data-Technology Transfer (Dec 2000) (Deviation-Jul 2006) I.136 – 970.5231-4 Pre-Existing Conditions (Dec 2000) Alternate I and Alternate II (Dec 2000) I.140 – Paragraph (c) 970.5232-4 Obligation of Funds (Dec 2000) Section J, Attachment F Operating and Administrative Requirements (deletions) DOE N 205.2 CRD - Foreign National Access to DOE Cyber Systems DOE N 205.3 CRD - Password Generation, Protection, and Use DOE N 205.3 CRD - Poseword Generation Process for Information Systems DOE N 205.9 CRD - Certification and Accreditation Process for Information Systems Including National Security Systems DOE N 205.10 CRD - Cyber Security Requirements for Risk Management DOE N 205.11 CRD - Security Requirements for Remote Access to DOE and Applicable Contractor Information Technology System Incorporated by Reference: | 12/12/08 |
| | | Incorporated by Reference: | |

| Modification | Type | Description of Modification | Date Signed |
|------------------|-------------|--|------------------------------|
| <u>Reference</u> | 2,82 | Prime Contract No. DE-AC36-08GO28308 | by Contracting Officer |
| | | Section J, Attachment O Commercialization Plan (as submitted by the Alliance on September 19, 2008) Section J, Attachment Q Organizational Conflict of Interest Management Plan (as submitted by the Alliance on October 31, 2008) Section J, Attachment R Organizational Conflict of Interest Implementation Plan (as submitted by the Alliance on October 31, 2008) Section J, Attachment S Human Resources Compensation Plan (as submitted by the Alliance on September 22, 2008) Section J, Attachment T Human Resources Workforce Plan (As submitted by the Alliance on September 22, 2008) | |
| A010 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$466,376,678.21 | 10/24/08 |
| A011 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$466,754,992.24 | 10/24/08 |
| A012 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$466,948,506.78 | 10/30/08 |
| A013 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$467,232,546.59 | 11/13/08 |
| A014 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$487,121,705.59 | 11/19/08 |
| A015 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$487,061,119.54 | 12/12/08 |
| A016 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$494,129,248.99 | 12/23/08 |
| A017 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$494,205,483.97 | 01/13/09 |
| A018 | Funding | I.140 970.5232-4 Obligation of Funds is revised to | 01/23/09 |

| Modification | <u>Type</u> | Description of Modification | Date Signed |
|------------------|---------------------------------|--|------------------------|
| <u>Reference</u> | | Prime Contract No. DE-AC36-08GO28308 | by Contracting Officer |
| | | reflect the amount presently obligated by the Government with respect to this contract as being \$495,632,049.00 | |
| A019 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$500,516,199.18 | 02/12/09 |
| A020 | Funding Corrects Mod A006 | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$500,516,199.18 | 02/20/09 |
| A021 | Funding Corrects Mod A015 | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$500,516,199.18 | 02/20/09 |
| A022 | Funding Corrects Mod A017 | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$500,516,199.18 | 02/20/09 |
| A023 | Funding Corrects Mod A018 | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$500,516,199.18 | 02/20/09 |
| A024 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$502,877,424.72 | 02/20/09 |
| A025 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$502,855,299.45 | 03/13/09 |
| M026 | Administrative | Revised: Section J, Attachment F Operating and Administrative Requirements • Added: - DOE O 200.1A CRD Information Management Program - DOE M 205.1-6 CRD Media Sanitization Manual • Deleted: - DOE O 200.1 CRD Information Technology Management Added: H.35 Special Provisions Relating to Work Funded Under American Recovery and Reinvestment Act of 2009 (Feb 2009) | 03/17/09 |
| A027 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the | 03/18/09 |

| Modification Reference | <u>Type</u> | <u>Description of Modification</u> <u>Prime Contract No. DE-AC36-08GO28308</u> | Date Signed by |
|---------------------------|-------------|---|---------------------------------|
| | | | Contracting Officer |
| | | Government with respect to this contract as being \$502,930,830.16 | |
| A028 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$503,011,412.68 | 04/16/09 |
| A029 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$503,053,038.90 | 04/22/09 |
| A030 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$602,981,473.93 | 04/24/09 |
| A031 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$610,953,523.92 | 04/29/09 |
| A032 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$610,945,826.60 | 05/08/09 |
| A033 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$625,713,595.10 | 5/13/09 |
| A034 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$626,027,104.44 | 5/14/09 |
| A035 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$640,828,496.21 | 05/22/09 |
| A036 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$641,445,306.89 | 06/10/09 |
| A037 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$643,976,306.89 | 06/17/09 ARRA \$2,531,000 |
| A038 | Funding | Accounting code changes only. No changes in funding | 06/17/09 |
| A039 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$643,796,306.89 | 06/18/09 |

| Modification | Type | Description of Modification | Date Signed |
|---------------------|---------|---|----------------------------------|
| <u>Reference</u> | | Prime Contract No. DE-AC36-08GO28308 | <u>by</u> |
| | | | Contracting Officer |
| A040 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$644,286,213.50 | 06/18/09 |
| A041 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$644,426,796.02 | 06/19/09 |
| A042 | Funding | Accounting code changes only. No changes in funding | 06/26/09 |
| A043 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$644,686,796.02 | 06/23/09 ARRA \$260,000 |
| A044 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$644,980,407.67 | 07/06/09 |
| A045 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$645,128,434.67 | 07/07/09 |
| A046 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$645,243,434.67 | 07/08/09 ARRA \$115,000 |
| A047 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$645,291,978.36 | 07/09/09 |
| A048 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$653,325,930.36 | 07/10/09 |
| A049 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$653,425,930.36 | 07/17/09 |
| A050 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$718,625,930.36 | 07/17/09 ARRA \$65,200,000 |
| A051 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$724,422,161.44 | 07/21/09 |
| A052 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the | 07/21/09 ARRA |

| Modification | <u>Type</u> | Description of Modification | Date Signed |
|--------------|-------------|---|----------------------------------|
| Reference | | Prime Contract No. DE-AC36-08GO28308 | Contracting |
| | | | Contracting Officer |
| | | Government with respect to this contract as being \$724,682,161.44 | \$260,000 |
| A053 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$735,672,368.76 | 07/23/09 |
| A054 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$738,937,868.76 | 07/24/09 ARRA \$3,265,500 |
| A055 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$759,937,868.76 | 08/07/09 ARRA \$21,000,000 |
| A056 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$773,234,368.76 | 08/13/09 ARRA \$13,296,500 |
| A057 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$773,267,368.76 | 08/14/09 ARRA \$33,000 |
| A058 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$773,576,996.92 | 08/14/09 |
| A059 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$784,387,739.09 | 08/17/09 |
| A060 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$789,504,539.09 | 08/18/09 ARRA \$5,116,800 |
| A061 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$790,014,539.09 | 08/18/09 ARRA \$510,000 |
| A062 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$790,764,539.09 | 08/19/09 |
| A063 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$790,945,409.96 | 08/20/09 |
| A064 | Funding | I.140 970.5232-4 Obligation of Funds is revised to | 08/20/09 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| | | reflect the amount presently obligated by the Government with respect to this contract as being \$791,034,874.04 | |
| A065 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$793,734,874.04 | 08/20/09 ARRA \$2,700,000 |
| A066 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$793,802,835.21 | 08/20/09 |
| A067 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$808,625,450.92 | 08/25/09 |
| A068 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$808,673,994.61 | 08/25/09 |
| A069 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$808,732,247.04 | 08/25/09 |
| A070 | Funding | Account code change only – zero dollar modification \$795,435,747.04 | 08/27/09 ARRA -\$13,296,500 |
| A071 | Funding | Accounting code change only – zero dollar modification \$808,732,247.04 | 08/27/09 ARRA \$13,296,500 |
| A072 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$809,105,795.58 | 09/08/09 |
| A073 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$819,055,795.58 | 09/08/09 ARRA \$9,950,000 |
| A074 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$819,175,795.58 | 09/09/09 ARRA \$120,000 |
| A075 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$819,490,582.58 | 09/09/09 |
| A076 | Funding | Deobligation De-obligation of Funding due to code changes which will be replaced in Modification A077 | 09/09/09 ARRA deobligated |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by |
|---------------------------|----------------|---|---|
| Keierence | | Time Contract No. DE-AC30-08G026300 | Contracting Officer |
| | | | -\$2,000,000 |
| A077 | Funding | Code Changes replacing funds de-obligated under Modification A076 | 09/10/09 ARRA \$2,000.000 |
| A078 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$809,540,582.58 | 09/11/09 Deobligation modification ARRA deobligated \$9,950,000 |
| A079 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$810,068,050.58 | 09/11/09 ARRA \$527,468 |
| M080 | Administrative | Revised: Section H, Special Contract Requirements H.35 Special Provisions Relating to Work Funded Under the American Recovery and Reinvestment Act of 2009 (April 2009) Section I, Contract Clauses 970.5232-3 Accounts, Records, and Inspection (Jun 2007) (Alternate 1) (Dec 2000) (Deviation) Section J, List of Documents, Exhibits, and Other Attachments Attachment F, Operating and Administrative Requirements Added: DOE O 450.1A CRD, Environmental Protection Program DOE N 456.1 CRD, Safe Handling of Unbound Engineered Nanoparticles Deleted: | 09/17/09 |
| | | Section E, Inspection and Acceptance E.3 Certification (applicable only to Recovery Act | |

| Modification | Type | Description of Modification | Date Signed |
|--------------|-------------|---|---------------------|
| Reference | | Prime Contract No. DE-AC36-08GO28308 | by Contracting |
| | | | Contracting Officer |
| | | work) | |
| | | Section G, Contract Administration Data G.4 Monthly Cost Reports G.5 Indirect Charges Section I, Contract Clauses | |
| | | - 52.203-15 Whistleblower Protections Under the American Recovery and Reinvestment Act of 2009 (Mar 2009) | |
| | | 52.204-11 American Recovery and Reinvestment Act – Reporting Requirements (Mar 2009) 52.225-21 Required Use of American Iron, Steel, and Other Manufactured Goods – Buy American Act – Construction Materials (Mar 2009) 52.225-22 Notice of Required Use of American Iron, Steel, and Other Manufactured Goods – Buy | |
| | | American Act – Construction Materials (Mar 2009)(Alternate I) (Mar 2009) – 52.225-23 Required Use of American Iron, Steel, and Other Manufactured Goods – Buy American Act | |
| | | Construction Materials Under Trade Agreements (Mar 2009)(Alternate I) (Mar 2009) 52.225-24 Notice of Required Use of American Iron, Steel, and Other Manufactured Goods – Buy American Act – Construction Materials Under Trade Agreements (Mar 2009)(Alternate I, Alternate II) (Mar 2009) | |
| A081 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$812,495,447.67 | 09/15/09 |
| A082 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$812,650,522.67 | 09/15/09 |
| A083 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$812,747,609.67 | 09/15/09 |
| A084 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$812,990,327.67 | 09/15/09 |
| A085 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being | 09/16/09 |

| Modification | <u>Type</u> | Description of Modification | Date Signed |
|------------------|-------------|---|---------------------------------|
| <u>Reference</u> | | Prime Contract No. DE-AC36-08GO28308 | <u>by</u> |
| | | | Contracting Officer |
| | | \$813,198,650.67 | Officer |
| A086 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$813,489,912.67 | 09/17/09 |
| A087 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$816,369,112.67 | 09/17/09 ARRA \$2,879,200 |
| A088 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$816,403,897.67 | 09/17/09 |
| A089 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$816,564,091.67 | 09/17/09 |
| A090 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$819,964,091.67 | 09/18/09 ARRA \$3,400,000 |
| A091 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$835,642,350.44 | 09/18/09 |
| A092 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$837,367,350.44 | 09/18/09 ARRA \$1,725,000 |
| A093 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$837,998,418.44 | 09/18/09 |
| A094 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$838,095,505.44 | 09/19/09 |
| A095 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$838,483,855.44 | 09/19/09 |
| A096 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$838,579,972.44 | 09/19/09 |
| A097 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being | 09/19/09 |

| Modification | Type | Description of Modification Discription of Modification | Date Signed |
|---------------------|---------|---|----------------------------------|
| <u>Reference</u> | | Prime Contract No. DE-AC36-08GO28308 | by Contracting Officer |
| | | \$838,759,972.44 | Officer |
| A098 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$838,857,059.44 | 09/19/09 |
| A099 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$839,342,496.33 | 09/21/09 |
| A100 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$839,442,496.33 | 09/21/09 ARRA \$100,000 |
| A101 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$840,970,296.33 | 09/21/09 |
| A102 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$850,920,296.33 | 09/21/09 ARRA \$9,950,000 |
| A103 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$861,786,146.33 | 09/22/09 |
| A104 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$908,776,694.33 | 09/22/09 |
| A105 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$927,672,694.33 | 9/23/09 ARRA \$84,096,000 |
| A106 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$927,753,670.33 | 09/23/09 |
| FISCAL YEAR 2010 | | • | |
| A107 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$932,276,774.90 | 10/15/09 |
| A108 | Funding | Deobligation De-obligation of Funding due to account code changes. I.140 970.5232-4 Obligation of Funds is revised to | 10/20/09 ARRA -\$7,300,000 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|----------------|---|------------------------------------|
| | | reflect the amount presently obligated by the Government with respect to this contract as being \$924,976,774.90 | |
| A109 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$926,209,413.73 | 10/21/09 |
| A110 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$929,251,913.73 | 10/22/09 |
| A111 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$936,551,913.73 | 10/23/09 ARRA \$7,300,000 |
| A112 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$937,222,413.73 | 11/04/09 ARRA \$670,500 |
| A113 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$940,367,441.68 | 11/12/09 |
| A114 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$943,203,573.08 | 11/17/09 |
| A115 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$946,236,593.71 | 11/18/09 |
| M116 | Administrative | Revised: Section B, Supplies and Services and Price/Cost B.3 Transition Cost, Estimated Costs and Maximum Available Fee Section J, List of Documents, Exhibits, and Other Attachments Attachment F, Operating and Administrative Requirements (List B) has been revised for administrative corrections The FY10 Commercialization Plan dated September 1, 2009 is incorporated as Attachment O Added: Section H, Special Contract Requirements H.36, Use of Force by Protective Force Personnel H.37, Privately Funded Technology Transfer | 11/19/09 |
| A117 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the | 11/20/09 ARRA |

| Modification Deference | <u>Type</u> | Description of Modification Prime Contract No. DE AC26 0900029209 | Date Signed |
|---------------------------|----------------|---|----------------------------------|
| Reference | | Prime Contract No. DE-AC36-08GO28308 | by Contracting Officer |
| | | Government with respect to this contract as being \$946,886,593.71 | \$650,000 |
| A118 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$954,117,159.22 | 12/09/09 |
| A119 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$954,211,624.23 | 12/10/09 |
| A120 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$955,451,624.23 | 12/15/09 ARRA \$1,240,000 |
| A121 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$956,013,081.57 | 12/16/09 |
| A122 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$981,550,884.71 | 12/18/09 |
| A123 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$987,930,934.71 | 12/21/09 |
| M124 | Administrative | • Increases the contract value from \$1.1 Billion to \$2.5 Billion | 12/29/09 |
| A125 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$998,454,152.71 | 12/31/09 ARRA \$10,423,218 |
| A126 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$999,693,603.39 | 01/14/10 |
| A127 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,043,418,103.39 | 01/14/10 ARRA \$43,724,500 |
| A128 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,045,899,662.07 | 01/14/10 |
| A129 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,045,959,662.07 | 01/20/10 |

| Modification Reference | Type Eunding | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer 01/22/10 |
|---------------------------|--------------|---|---|
| A130 | Funding | Deobligation De-obligation Funding Modification I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,003,109,662.07 | ARRA \$875,000.00 |
| A131 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,018,605,730.50 | 01/25/10 |
| A132 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,061,305,730.50 | 01/26/10 ARRA \$42,700,000 |
| A133 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,062,805,730.50 | 02/04/10 |
| A134 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,088,319,876.50 | 02/04/10 ARRA \$25,514,146 |
| A135 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,088,359,876.50 | 02/04/10 ARRA \$40,000 |
| A136 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,088,812,752.62 | 02/08/10 |
| A137 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,088,952,634.17 | 02/10/10 |
| A138 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,089,064,473.98 | 02/11/10 |
| A139 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,089,166,415.73 | 02/11/10 |
| A140 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,117,052,040.51 | 02/18/10 |
| A141 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the | 02/22/10 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|--|------------------------------------|
| | | Government with respect to this contract as being \$1,120,627,600.92 | |
| A142 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,121,227,600.92 | 02/22/10 ARRA \$600,000 |
| A143 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,121,239,251.41 | 02/22/10 |
| A144 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,131,084,742.41 | 02/25/10 ARRA \$9,845,491 |
| A145 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,147,008,556.41 | 03/04/10 ARRA \$15,923,814 |
| A146 | Funding | De-obligation De-obligation Funding Modification I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,146,980,347.11 | 03/08/10 |
| A147 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,160,433,240.40 | 03/16/10 |
| A148 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,204,592,110.40 | 03/17/10 |
| A149 | Funding | De-obligation • De-obligation Funding Modification • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,204,588,421.44 | 03/17/10 |
| A150 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,213,341,634.68 | 03/22/10 |
| A151 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,224,829,492.08 | 03/30/10 |
| A152 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the | 03/31/10 |

| Modification | <u>Type</u> | Description of Modification Diving Contract No. DE AC26 09 CO29209 | Date Signed |
|---------------------|-------------|---|----------------------------------|
| <u>Reference</u> | | Prime Contract No. DE-AC36-08GO28308 | by Contracting Officer |
| | | Government with respect to this contract as being \$1,232,585,095.08 | |
| A153 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,232,682,182.46 | 04/06/10 |
| A154 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,234,582,182.46 | 04/06/10 |
| A155 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,234,798,954.46 | 04/09/10 |
| A156 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,234,889,776.52 | 04/12/10 |
| A157 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,236,419,037.86 | 04/13/10 |
| A158 | Funding | Deobligation De-obligation Funding Modification I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,232,020,819.86 | 04/13/10 |
| A159 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,232,220,819.86 | 04/14/10 ARRA \$200,000 |
| A160 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,238,724,037.86 | 04/14/10 |
| A161 | Funding | Deobligation De-obligation Funding Modification I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,232,057,037.86 | 04/14/10 ARRA -\$6,667,000 |
| A162 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,232,508,963.10 | 04/15/10 |
| A163 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the | 04/19/10 |

| Modification Reference | Туре | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| | | Government with respect to this contract as being \$1,233,015,820.39 | |
| A164 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,232,985,723.31 | 04/21/10 |
| A165 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,233,052,228.16 | 04/21/10 |
| A166 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,233,056,529.96 | 04/21/10 |
| A167 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,233,444,549.96 | 04/26/10 |
| A168 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,285,687,836.39 | 04/28/10 |
| A169 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,285,843,346.46 | 04/28/10 |
| A 170 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,286,522,958.11 | 05/06/10 |
| A171 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,286,552,958.11 | 05/06/10 |
| A172 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,287,635,228.11 | 05/07/10 ARRA \$1,082,270 |
| A173 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,287,735,228.11 | 05/10/10 |
| A174 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,287,822,322.11 | 05/11/10 |
| A175 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to | 05/13/10 |

| Modification | Type | Description of Modification | Date Signed |
|------------------|-----------------------|--|---------------------|
| <u>Reference</u> | | Prime Contract No. DE-AC36-08GO28308 | <u>by</u> |
| | | | Contracting Officer |
| | | reflect the amount presently obligated by the | |
| | | Government with respect to this contract as being | |
| A176 | Funding | \$1,288,561,981.61 • I.140 970.5232-4 Obligation of Funds is revised to | 05/18/10 |
| 71170 | 1 unung | reflect the amount presently obligated by the | 03/10/10 |
| | | Government with respect to this contract as being | |
| | | \$1,288,743,327.69 | 0.7/1.0/1.0 |
| A177 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to | 05/19/10 ARRA |
| | | reflect the amount presently obligated by the Government with respect to this contract as being | \$5,000,000 |
| | | \$1,293,743,327.69 | \$3,000,000 |
| M178 | Administrative | Deleted: | 05/19/10 |
| | | Section J, List of Documents, Exhibits, and Other Attachments | |
| | | Attachments Attachment A, Transition Plan | |
| | | Attachment D, Special Financial Institution Account | |
| | | Agreement for Use with the Payments Cleared Financing | |
| | | Arrangement (dated August 27, 2008) | |
| | | Attachment J, FY09 Performance Evaluation and Measurement Plan | |
| | | Added: | |
| | | Section H, Special Contract Requirements | |
| | | H.38 Contractor's Obligations Regarding Data | |
| | | Furnished Under DOE Cooperative Agreement | |
| | | Number DE-FC36-04GO14285 - H.39 Applicability of I.158 52.234-4 Earned Value | |
| | | Management System | |
| | | Section I, Contract Clauses | |
| | | - I.158 FAR 52.234-4 Earned Value Management | |
| | | SystemSection J, List of Documents, Exhibits, and Other | |
| | | Attachments | |
| | | Attachment A, Personnel (Appendix A) and the | |
| | | attachment thereto, entitled Appendix A – | |
| | | Attachment - Section 11. Travel and Relocation - Attachment D, Special Financial Institution Account | |
| | | Agreement for Use with the Payments Cleared | |
| | | Financing Arrangement dated August 11, 2009 | |
| | | between the Department of Energy (DOE), the | |
| | | Alliance for Sustainable Energy LLC (Alliance) and U.S. Bank is hereby incorporated into this contract | |
| | | by reference. | |
| | | Attachment J, FY2010 Performance Evaluation and | |
| | | Measurement Plan (as submitted by the Alliance on | |
| | | January 27, 2010) is hereby incorporated into this | |
| | | contract by reference Revised: | |
| | | Section J, List of Documents, Exhibits, and Other | |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---|---|---|------------------------------------|
| | | Attachments - Attachment F, Operating and Administrative Requirements (List B) has been revised for administrative corrections | |
| A179 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,297,243,327.69 | 05/21/10 ARRA \$3,500,000 |
| A180 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,297,380,026.72 | 05/21/10 |
| A181 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,297,962,550.99 | 05/25/10 |
| A182 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,293,817,500.99 | 05/25/10 ARRA \$2,854,950 |
| A183 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,297,962,550.99 | 06/04/10 ARRA \$4,145,050 |
| A184 | Funding Corrected letter and funding detail sent by GO 06-09-10 | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,299,555,519.82 | 06/08/10 |
| A185 Missing in FedConnect Restored 10/4/10 | Funding Executed Outside the FedConnect System | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,322,462,232.82 | 06/09/10 |
| A186 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,322,660,232.82 | 06/10/10 |
| A187 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,322,825,232.82 | 06/11/10 |
| A188 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,323,225,232.82 | 06/15/10 ARRA \$400,000 |
| A189 | Funding | Deobligation | 06/15/10 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|-------------|---|------------------------------------|
| | | De-obligation Funding Modification I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,322,875,232.82 | ARRA \$525,000 |
| A190 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,322,875,232.82 | 06/16/10 ARRA \$5,116,800 |
| A191 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,323,249,632.82 | 06/17/10 |
| A192 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,338,991,504.10 | 06/18/10 |
| A193 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,344,218,704.10 | 06/21/10 |
| A194 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,344,322,704.10 | 06/21/10 ARRA \$104,000 |
| A195 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,345,241,391.26 | 06/22/10 |
| A196 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,345,245,120.52 | 06/23/10 |
| A197 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,345,973,275.86 | 06/23/10 |
| A198 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,346,055,800.13 | 06/23/10 |
| A199 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,346,857,967.63 | 07/19/10 |
| A200 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being | 07/20/10 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by |
|---|--|---|---------------------------------|
| Kererence | | Time contract No. DE Meso vos 25500 | Contracting Officer |
| | | \$1,347,044,375.40 | 222222 |
| A201 Missing in FedConnect Restored 10/1/10 | Funding Executed Outside the FedConnect System | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,354,751,082.40 | 07/21/10 |
| A202 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,354,817,715.67 | 07/22/10 |
| A203 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,354,622,398.69 | 07/22/10 |
| A204 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,354,379,956.69 | 07/26/10 |
| A205 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,363,248,956.69 | 07/28/10 ARRA \$8,869,000 |
| A206 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,363,328,956.69 | 07/28/10 ARRA \$80,000 |
| A207 Missing in FedConnect Restored 10/4/10 | Funding Executed Outside the FedConnect System | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,385,468,441.69 | 07/30/10 |
| A208 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,385,857,200.76 | 07/30/10 |
| A209 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,386,019,597.82 | 08/11/10 |
| A210 Missing in FedConnect Restored 10/1/10 | Funding Executed Outside the FedConnect System | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,390,544,874.82 | 08/12/10 |
| A211 | Funding | I.140 970.5232-4 Obligation of Funds is revised to | 08/13/10 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting |
|---|--|---|----------------------------------|
| | | reflect the amount presently obligated by the Government with respect to this contract as being \$1,390,748,889.82 | Officer ARRA \$204,015 |
| A212 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,391,696,954.82 | 08/13/10 ARRA \$948,065 |
| A213 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,392,146,954.82 | 08/16/10 ARRA \$450,000 |
| A214 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,392,196,954.82 | 08/17/10 |
| A215 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,394,446,954.82 | 08/17/10 ARRA \$2,250,000 |
| A216 Missing in FedConnect Restored 10/1/10 | Funding Executed Outside the FedConnect System | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,395,199,847.21 | 08/18/10 |
| A217 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,395,299,847.21 | 08/18/10 ARRA \$100,000 |
| A218 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,395,649,847.21 | 08/18/10 ARRA \$350,000 |
| A219 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,395,972,579.81 | 08/19/10 |
| A220 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,408,694,738.81 | 08/20/10 |
| A221 Missing in FedConnect Restored 10/1/10 | Funding Same Funding – the is a re-cast modification and the contract amount Remains the | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,408,694,738.81 | 08/24/10 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---|--|---|------------------------------------|
| | same. Executed Outside the FedConnect System | | |
| A222 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,408,757,738.81 | 08/24/10 ARRA \$63,000 |
| A223 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,408,769,120.81 | 08/25/10 ARRA \$11,382 |
| A224 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,409,108,926.64 | 08/25/10 |
| A225 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,409,206,014.02 | 08/25/10 |
| A226 | Funding Same Funding – this Is a re-cast modification for FY 2005 and 2007 | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,409,206,014.02 | 08/26/10 |
| A227 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,410,206,014.02 | 08/26/10 ARRA \$1,000,000 |
| A228 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,410,324,581.98 | 08/30/10 |
| A229 Missing in FedConnect Restored 10/1/10 | Funding Executed Outside the FedConnect System | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,432,263,736.98 | 08/30/10 |
| A230 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,432,588,446.98 | 08/30/10 ARRA \$324,710 |
| A231 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being | 08/31/10 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---|--|---|------------------------------------|
| A232 | Funding | \$1,433,287,476.11 I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,433,311,747.95 | 08/31/10 |
| A233 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,433,370,000.38 | 08/31/10 |
| A234 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,432,421,935.38 | 09/08/10 |
| A235 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,432,606,401.40 | 09/08/10 |
| A236 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,434,296,443.40 | 09/08/10 ARRA \$1,690,042 |
| A237 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,434,326,655.05 | 09/09/10 |
| A238 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,434,341,218.16 | 09/09/10 |
| A239 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,434,511,121.07 | 09/09/10 |
| A240 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,434,649,757.07 | 09/10/10 ARRA \$138,636 |
| A241 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,434,649,757.07 | 09/10/10 |
| A242 Missing in FedConnect Restored 9/30/10 | Funding Executed Outside the FedConnect System | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,435,490,254.07 | 09/13/10 |
| A243 Missing | Funding | • I.140 970.5232-4 Obligation of Funds is revised to | 09/13/10 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|--|--|---|------------------------------------|
| in FedConnect Restored 9/30/10 | Executed Outside the FedConnect System | reflect the amount presently obligated by the Government with respect to this contract as being \$1,446,822,500.07 | |
| A244 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,446,895,315.60 | 09/14/10 |
| A245 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,446,909,878.71 | 09/14/10 |
| A246 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,447,409,878.71 | 09/15/10 |
| A247 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,447,506,966.09 | 09/15/10 |
| A248 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,447,805,966.09 | 09/16/10 ARRA \$299,000 |
| A249 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,448,124,542.79 | 09/16/10 |
| A250 Missing in FedConnect Restored but missing SF30 Form Restored 9/21/10 | Funding Executed Outside the FedConnect System | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,448,382,670.79 | 09/17/10 ARRA \$258,128 |
| A251 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,448,270,670.79 | 09/20/10 ARRA -\$112,000 |
| A252 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,448,382,670.79 | 09/20/10 ARRA \$112,000 |
| A253 Missing in FedConnect | Funding Executed Outside the | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being | 09/20/10 |

| Modification | Type | Description of Modification | Date Signed |
|---|--|---|-------------------------------|
| Reference | Турс | Prime Contract No. DE-AC36-08GO28308 | by Contracting |
| Restored but missing SF30 Form Restored 9/21/10 | FedConnect System | \$1,448,840,011.53 | Officer |
| A254 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,449,788,076.53 | 09/20/10 ARRA \$948,065 |
| A255 Missing SF30 Form in FedConnect | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,450,037,514,39 | 09/21/10 |
| A256 Missing SF30 Form in FedConnect | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,455,283,207.39 | 09/21/10 |
| A257 Missing SF30 Form in FedConnect | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,457,107,015.39 | 09/21/10 |
| A258 Missing in FedConnect Restored 10/7/10 | Funding Executed Outside the FedConnect System | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,457,155,952.39 | 09/22/10 ARRA \$48,937 |
| A259 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,457,148,917.84 | 09/23/10 |
| A260 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,457,141,083.79 | 09/23/10 |
| A261 Missing in FedConnect Restored 9/30/10 | Funding Executed Outside the FedConnect System | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,457,159,264.79 | 09/23/10 |
| M262 | Administrative | Funding • Redirected funding from CPS project #20758 ARRA 48C Tax Credits Application to CPS project #20378 NREL ARRA 48C Tax Credits Applications Deleted | 09/29/10 |

| Modification | <u>Type</u> | Description of Modification | Date Signed |
|---------------------|-------------|---|------------------------------|
| Reference | | Prime Contract No. DE-AC36-08GO28308 | by Contracting Officer |
| | | Clause I.153, FAR 52.204-11 American Recovery and Reinvestment Act – Reporting Requirements (Mar 2009) Attachment J, FY10 PEMP currently incorporated into this contract by reference Added Clause I.153, 52.204-11 American Recovery and Reinvestment Act – Reporting Requirements (Jul 2010) Attachment J, FY10 PEMP submitted by the Alliance on August 26, 2010 (this version reflects the addition of a new goal: Goal 9.0 – Major Construction) | |
| FISCAL YEAR 2011 | | | |
| A263 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,457,570,076.39 | 10/19/10 |
| A264 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,477,966,530.39 | 10/19/10 |
| A265 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,478,551,592.94 | 10/27/10 |
| A266 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,484,304,592.94 | 10/27/10 |
| A267 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,486,277,177.94 | 10/27/10 |
| A268 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,486,417,100.55 | 11/04/10 |
| A269 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,486,533,466.43 | 11/10/10 |
| A270 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,497,907,570.43 | 11/18/10 |
| A271 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to | 11/19/10 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| | | reflect the amount presently obligated by the Government with respect to this contract as being \$1,497,968,523.37 | |
| A272 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,499,268,523.37 | 11/19/10 |
| A273 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,499,373,718.67 | 11/24/10 |
| A274 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,499,824,930.01 | 12/07/10 |
| A275 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,500,324,930.01 | 12/07/10 |
| A276 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,500,705,500.52 | 12/09/10 |
| A277 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,500,758,897.61 | 12/09/10 |
| A278 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,502,409,383.05 | 12/14/10 |
| A279 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,502,871,027.05 | 12/14/10 |
| A280 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,502,956,514.62 | 12/17/10 |
| A281 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,515,384,544.62 | 12/17/10` |
| A282 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,515,461,851.01 | 12/20/10 |
| A283 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to | 01/06/11 |

| Modification Reference | Type | <u>Description of Modification</u> <u>Prime Contract No. DE-AC36-08GO28308</u> | Date Signed by Contracting Officer |
|--|---------|---|------------------------------------|
| | | reflect the amount presently obligated by the Government with respect to this contract as being \$1,516,482,719.58 | |
| A284 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,516,687,573.95 | 01/10/11 |
| A285 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,517,023,423.71 | 01/13/11 |
| A286 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,517,015,866.57 | 01/14/11 |
| A287 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,517,014,943.87 | 01/14/11 |
| A288 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,516,975,457.42 | 01/18/11 |
| A289 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,516,964,879.98 | 01/18/11 |
| A290 Incorrect letter replaced on 01/20/11 and sent by email to Finance Office | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,516,874,829.48 | 01/19/11 |
| A291 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,548,443,284.48 | 01/24/11 |
| A292 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,548,686,002.93 | 01/24/11 |
| A293 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the | 01/24/11 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|----------------------------|--|--|------------------------------------|
| | | Government with respect to this contract as being \$1,549,385,336.27 | |
| A294 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,549,691,089.17 | 01/26/11 |
| A295 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,549,902,197.09 | 02/07/11 |
| A296 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,550,052,057.49 | 02/15/11 |
| A297 Missing in FedConnect | Funding Executed Outside the FedConnect System | Deobligation. I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,550,047,906.07 | 02/16/11 |
| A298 | Funding | Deobligation. I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,550,045,884.89 | 02/26/11 |
| A299 | Funding | Deobligation • 140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,550,045,616.80 | 02/17/11 |
| A300 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,550,023,636.61. | 02/17/11 |
| A301 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,550,023,054.59. | 02/17/11 |
| A302 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,550,125,106.53. | 02/23/11 |
| A303 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,550,189,391.45 | 02/24/11 |
| A304 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to | 02/25/11 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---|--|------------------------------------|
| A305 | Funding | reflect the amount presently obligated by the Government with respect to this contract as being \$1,567,530,420.45. • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,568,731,963.79. | 02/25/11 |
| M306 | Administrative | Deleted and Replaced: H.9 Responsible Corporate Official, deleted and replaced to acknowledge the replacement of James L. Spigarelli, Ph.D. with Dr. Ronald D. Townsend and to update the address Attachment A, Personnel (Appendix A) deleted and replaced to revise Section 3. Compensation Attachment B, Key Personnel deleted and replaced to acknowledge the replacement of Robert T. McGrath with Dana C. Christensen as the Deputy Director for Science and Technology Attachment L, Employee Concerns Program (September 2008) deleted and replaced by Employee Concerns Program dated September 27, 2010 Deleted: I.120, 970.5223-2, Affirmative Procurement Program (Mar 2003) I.121, 970.5223-5, DOE Motor Vehicle Fleet Fuel Efficiency (Oct 2003) Added: H.40 DOE Access to Contractor's Leased Premises I.120, 970.5223-6 Executive Order 13423, Strengthening Federal Environmental, Energy, and Transportation Management I.121, 970.5223-7, Sustainable Acquisition Program (Oct 2010) | 02/28/11 |
| A307 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,552,858,888.79 | 03/02/11 |
| A308 | Funding Missing in FedConnect Restored 04/05/11 | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,553,012,520.89 | 03/02/11 |
| A309 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,556,240,561.89 | 03/10/11 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| A310 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,556,244,709.43 | 03/10/11 |
| A311 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,556,436,935.09 | 03/16/11 |
| A312 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,557,299,626.35 | 03/17/11 |
| A313 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,565,154,268.35 | 03/18/11 |
| A314 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,565,238,543.12 | 03/22/11 |
| A315 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,565,588,543.12 | 03/23/11 |
| A316 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,566,070,459.84 | 04/05/11 |
| A317 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,566,861,721.98 | 04/05/11 |
| A318 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,566,861,178.96 | 04/05/11 |
| A319 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,566,920,464.40 | 04/06/11 |
| A320 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,566,979,618.10 | 04/06/11 |
| A321 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being | 04/07/11 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|----------------|--|------------------------------------|
| | | \$1,578,213,782.10 | |
| A322 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,578,188,369.61 | 04/07/11 |
| A323 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,579,288,369.61 | 04/08/11 ARRA \$1,100,000 |
| A324 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,579,588,691.73 | 04/12/11 |
| A325 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,586,794,392.73 | 04/13/11 |
| M326 | Administrative | Corrected administrative error on Mod 306 Section H, Clause H.38-DOE Access to Contractor's Leased Premises, Corrected title to Section H, Clause H.40-DOE Access to Contractor's Leased Premises Deleted: Section H Clause H.2-Workforce Transition Clause H.32-Privately Funded Technology Transfer Program Deleted and replaced: Section J Attachment E-Applicable Laws and Regulations (List A) Added: EO 13514 – Federal Leadership in Environmental, Energy, and Economic Performance Attachment F-Operating and Administrative Requirements (List B) Deleted DOE 0 142.3 CRD CRD CRD CRD CRD | 04/14/11 |
| | | Unclassified Foreign Visits applicable in whole Approved: 06/18/04 Added DOE O 142.3A CRD CRD Unclassified Foreign Visits applicable in whole Approved: 10/14/2010 Deleted DOE O 251.1B CRD CRD Departmental Directives applicable in whole Approved: 08/16/06 Deleted DOE O 413.3A CRD CRD Program and Project applicable in whole Acquisition of Capital Assets Approved: 07/28/06 Added DOE O 413.3B CRD CRD Program and Project applicable in whole Added DOE O 413.3B CRD CRD Added DOE O 413.3B CRD CRD Program and Project applicable in whole | |

| Modification | Type | Description of Modification | Date Signed |
|--------------|----------|---|---------------------|
| Reference | <u> </u> | Prime Contract No. DE-AC36-08GO28308 | <u>by</u> |
| | | | Contracting Officer |
| | | Acquisition of Capital Assets | Officer |
| | | Approved: 11/29/2010 Deleted DOE M 470.4-1 CRD CRD Change 1 applicable in Safeguards and Security Program Planning and Management Approved: 08/26/05 | |
| | | Change 1: 03/07/06 Added DOE M 470.4-1 CRD CRD Change 2 applicable in Safeguards and Security Program Planning and Management Approved: 08/26/05 Change 1: 10/20/10 | |
| | | Deleted DOE M 470.4-4 CRD CRD Information Security applicable in part Attachment J-NREL's Performance Evaluation and | |
| | | Measurement Plan, dated March 25, 2011 Attachment K-NREL's Diversity Plan, dated March 24, 2011 | |
| | | Attachment O- NREL's Commercialization Plan, dated September 1, 2010 Attachment P-NREL's 5 Year Strategic Plan, dated September 1, 2010 | |
| A327 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,590,802,017.73 | 04/20/11 |
| A328 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,591,293,791.68 | 04/21/11 |
| A329 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,591,398,985.85 | 04/21/11 |
| A330 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,591,915,449.77 | 05/03/11 |
| A331 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,590,725,134.77 | 05/03/11 |
| 332 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the | 05/05/11 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|--|-------------|---|------------------------------------|
| | | Government with respect to this contract as being \$1,587,419,854.77 | |
| A333 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,587,696,994.14 | 05/05/11 |
| A334 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,589,007,272.14 | 05/18/11 |
| A335 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,589,186,124.71. | 05/18/11 |
| A336 Missing in FedConnect Restored 05/25/11 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,597,586,310.71. | 05/19/11 |
| A337 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,597,681,321.12. | 05/20/11 |
| A338 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,597,740,545.62 | 05/26/11 |
| A339 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,597,436,527.62 | 05/27/11 |
| A340 Duplicate in FedConnect Restored in FedConnect 9- 13-11 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,599,346,334.62 | 06/03/11 |
| A341 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,600,225,441.51 | 06/09/11 |
| A342 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,670,851,731.51 | 06/09/11 |
| A343 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the | 06/09/11 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|--|----------------|---|------------------------------------|
| | | Government with respect to this contract as being \$1,671,701,731.51 | |
| 344 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,671,928,007.91 | 06/16/11 |
| A345 Missing in FedConnect Showed up as Duplicate in FedConnect Restored in FedConnect 9- 6-11 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,671,902,403.97 | 06/17/11 |
| A346 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,671,902,185.29 | 06/20/11 |
| A347 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,671,805,416.40 | 06/21/11 |
| A348 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,671,865,980.59 | 06/21/11 |
| A349 Missing in FedConnect Restored to FedConnect 6/29/11 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,671,865,788.65 | 06/22/11 |
| A350 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,671,864,412.07 | 06/22/11 |
| A351 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,671,856,598.05 | 06/22/11 |
| M352 | Administrative | Deleted and replaced Section I, Clause I.114, 970.5215-1 Total Available Fee: Base Fee Amount and Performance | 06/29/11 |

| Modification | Type | Descripti | on of Modification | | Date Signed |
|--------------|---------|---------------------------------------|--|-------------------------|----------------|
| Reference | 2,100 | | ontract No. DE-AC36-08G | O28308 | by |
| | | | | | Contracting |
| | | | | | <u>Officer</u> |
| | | Fee Amou | ınt (Dec 2000) [delete secti | on $(c)(4)$ only] | |
| | | Added Se | ection I, Clause I.159 52.22 | 2-54 Employment | |
| | | Eligibility | Verification (Jan 2009) | | |
| | | | | | |
| | | | nd replaced: Section J | | |
| | | Attacl | nment F-Operating and Adı | ministrative | |
| | | | rements (List B) | 1 | |
| | | Deleted | DOE O 205.1A CRD Department of Energy Cyber | CRD applicable in whole | |
| | | | Security Management | whole | |
| | | | Approved: 12/04/06 | | |
| | | Added | DOE O 205.1B CRD Department of Energy Cyber | CRD applicable in | |
| | | | Security Program | 1 | |
| | | Deleted | Approved: 05/16/11 DOE O 210.2 CRD | CRD applicable in | |
| | | Deteted | Corporate Operating Experience | whole | |
| | | | Program | | |
| | | Added | Approved: 06/12/06 DOE O 210.2A CRD | CRD applicable in | |
| | | radea | DOE Corporate Operating | whole | |
| | | | Experience Program Approved: 04/08/11 | | |
| | | Deleted | DOE O 225.1A CRD | CRD applicable in | |
| | | | Accident Investigations | whole | |
| | | Added | Approved: 11/26/97 DOE O 225.1B CRD | CRD applicable in | |
| | | | Accident Investigations | whole | |
| | | Deleted | Approved: 03/04/11 DOE O 226.1A CRD | CRD applicable in | |
| | | Defeted | Implementation of Department | whole | |
| | | | of Energy Oversight Policy | | |
| | | Added | Approved: 07/31/07 DOE O 226.1B CRD | CRD applicable in | |
| | | | Implementation of Department | whole | |
| | | | of Energy Oversight Policy Approved 04/25/11 | | |
| | | Deleted | DOE O 414.1C CRD | CRD applicable in | |
| | | | Quality Assurance | part | |
| | | Added | Approved: 06/17/05 DOE O 414.1D CRD | CRD applicable in | |
| | | | Quality Assurance | part | |
| | | Deleted | Approved: 04/25/11 DOE O 430.1B CRD | CRD applicable in | |
| | | Defetted | Real Property Asset | whole | |
| | | | Management | | |
| | | Added | Approved: 09/24/03 DOE O 430.1B CRD Change 2 | CRD applicable in | |
| | | | Real Property Asset | whole | |
| | | | Management Approved: 09/24/03 | | |
| | | Added | DOE O 436.1 CRD | CRD applicable in | |
| | | | Departmental Sustainability | whole | |
| A353 | Funding | 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | Approved: 05/02/11 070-5232-4 Obligation of F | Sunda ia marzia d to | 07/06/11 |
| AJJJ | Tunding | | 970.5232-4 Obligation of F t the amount presently oblig | | 0 / / 00/ 1 1 |
| | | | rnment with respect to this | | |
| | | | 3,331,302.05 | contract as being | |
| | I | φ1,/0. | 2,221,204.02 | | |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|--|-------------|---|------------------------------------|
| A354 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,703,404,117.58 | 07/07/11 |
| A355 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,703,866,617.58 | 07/07/11 |
| A356 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,703,515,457.39 | 07/12/11 |
| A357 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,703,506,729.61 | 07/13/11 |
| A358 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,703,950,800.48 | 07/14/11 |
| A359 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,703,834,676.86 | 07/15/11 |
| A360 Duplicated in FedConnect Restored in FedConnect 9-13-11 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,703,864,676.86 | 0715/11 |
| A361 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,704,565,560.13 | 07/19/11 |
| A362 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,703,288,538.60 | 07/21/11 |
| A363 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,703,464,841.33 | 07/21/11 |
| A364 | Funding | None – Changing Funding Codes only | 07/21/11 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| A365 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,703,788,142.30 | 07/25/11 |
| A366 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,732,291,105.94 | 07/27/11 |
| A367 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,732,339,649.94 | 07/27/11 |
| A368 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,732,492,645.09 | 07/27/11 |
| A369 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,732,614,004,31 | 07/28/11 |
| A370 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,732,976,278.22 | 07/28/11 |
| A371 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,733,129,190.84 | 07/29/11 |
| A372 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,733,323,365.60 | 07/29/11 |
| A373 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,733,638,899.58 | 07/29/11 |
| A374 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,733,883,081.57 | 08/04/11 |
| A375 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,733,937,450.50 | 08/04/11 |
| A376 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,734,097,450.50 | 08/04/11 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| A377 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,734,510,071.86 | 08/08/11 |
| A378 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,734,655,702.93 | 08/09/11 |
| A379 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,774,060,185.65 | 08/15/11 |
| A380 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,774,422,990.43 | 08/15/11 |
| A381 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,774,450,174.90 | 08/15/11 |
| A382 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,774,537,553.54 | 08/15/11 |
| A383 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,790,758,957.42 | 08/17/11 |
| A384 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,790,378,957.42 | 08/17/11 |
| A385 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,790,476,044.80 | 08/17/11 |
| A386 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,790,651,986.23 | 08/17/11 |
| A387 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,790,651,841.60 | 08/22/11 |
| A388 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to | 08/22/11 |

| Modification | Type | Descrinti | on of Modification | | Date Signed |
|------------------------------------|----------------|--|--|--|------------------------------|
| Reference | Турс | | ontract No. DE-AC36-08GO28 | <u>8308</u> | by Contracting Officer |
| | | Gove | t the amount presently obligated rnment with respect to this cont 0,600,081.83 | | Officer |
| A389 | Funding | • I.140 reflect Gove | 970.5232-4 Obligation of Fund to the amount presently obligated rnment with respect to this cont 0,818,528.43 | d by the | 08/23/11 |
| A390 Missing in FedConnect 8/24/11 | Funding | • I.140 reflect Gove | 970.5232-4 Obligation of Fundate the amount presently obligated rument with respect to this cont 0,908,917.43 | d by the | 08/23/11 |
| M391 | Administrative | and Maxin Delete and Use of Am American (Oct 2010) Delete and Administra Deleted Deleted Added Deleted | I replace Section B.3 Transition Comm Fee Available I replace Section I, Clause I.156, 5 derican Iron, Steel, and Manufactur Act—Construction Materials under I replace Section J, Attachment Feative Requirements (List B) DOE M 205.1-6 CRD Media Sanitization Manual Approved: 12/23/08 DOE N 206.5 CRD Response and Notification Procedures for Data Breaches Involving Personally Identifiable Information Approved: 10/09/07 DOE O 350.2A CRD Use of Management and Operating or Other Facility Management Contractor Employees for Services to DOE in the Washington, D.C., Area Approved: 10/29/03 DOE O 350.2B CRD Use of Management and Operating or Other Facility Management Contractor Employees for Services to DOE in Washington, D.C., Area Approved: 05/31/11 I replace Section J, Attachment J, and Measurement Plan (PEMP) Fance on July 27, 2011 | 52-225-23 Required red Goods—Buy r Trade Agreement Operating and CRD applicable in whole CRD applicable in whole CRD applicable in whole CRD applicable in whole Performance | 08/24/11 |
| A392 | Funding | reflec Gove | 970.5232-4 Obligation of Fund to the amount presently obligated rnment with respect to this cont 1,129,305.78 | d by the | 08/25/11 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------------------|-------------|--|------------------------------------|
| A393 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,791,469,758.60 | 08/25/11 |
| A394 | Funding | De-obligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,791,469,004.61 | 08/30/11 |
| A395 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,791,433,053.25 | 08/30/111 |
| A396 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,791,329,613.71 | 08/30/11 |
| A397 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,791,330.584.59 | 08/31/11 |
| A398 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,794,059,554.09 | 08/31/11 |
| A399 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,794,139,165.74 | 08/31/11 |
| A400 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,794,546,932.73 | 08/31/11 |
| A401 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,795,008,405.47 | 09/07/11 |
| A402 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,795,408,405.47 | 09/07/11 |
| A403 To Bill for signature 9/16 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,795,193,561.72 | 09/09/11 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|--|-------------|---|------------------------------------|
| A404 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,795,259,546.95 | 09/12/11 |
| A405 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,810,062,270.95 | 09/14/11 |
| A406 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,810,125,863.18 | 09/14/11 |
| A407 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,810,271,324.35 | 09/14/11 |
| A408 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,810,284,431.15 | 09/14/11 |
| A409 Missing in FedConnect 9/15/11 Restored in FedConnect 9- 19-11 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,810,828,090.41 | 09/15/11 |
| A410 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,810,978,982.64 | 09/15/11 |
| A411 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,811,173,157.40 | 09/16/11 |
| A412 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,811,318,351.58 | 09/16/11 |
| A413 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,812,192,137.99 | 09/16/11 |
| A414 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,815,104,759.35 | 09/16/11 |
| A415 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to | 09/19/11 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| | | reflect the amount presently obligated by the Government with respect to this contract as being \$1,815,847,477.80 | |
| A416 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,816,034,251.59 | 09/19/11 |
| A417 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,816,075,858.73 | 09/19/11 |
| A418 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,817,030,227.67 | 09/19/11 |
| A419 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,819,204,984.95 | 09/20/11 |
| A420 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,819,837,484.95 | 09/20/11 |
| A421 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,819,913,213.11 | 09/20/11 |
| A422 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,820,107,387.87 | 09/21/11 |
| A423 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,820,360,877.22 | 09/21/11 |
| A424 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,820,385,877.22 | 09/22/11 |
| A425 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,820,436,111.20 | 09/22/11 |
| A426 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,820,601,159.74 | 09/23/11 |
| A427 | Funding | I.140 970.5232-4 Obligation of Funds is revised to | 09/23/11 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| | | reflect the amount presently obligated by the Government with respect to this contract as being \$1,822,542,907.31 | |
| A428 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,822,552,518.31 | 09/23/11 |
| A429 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,837,011,987.31 | 09/26/11 |
| A430 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,837,161,987.31 | 09/27/11 |
| A431 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,837,561,987.31 | 09/28/11 |
| A432 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,839,961,987.31 | 09/28/11 |
| A433 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,840,039,174.92 | 09/28/11 |
| A434 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,840,038,446.77 | 09/29/11 |
| FISCAL YEAR 2012 | | | |
| A435 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,842,021,617.16 | 10/14/11 |
| A436 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,842,738,244.37 | 10/24/11 |
| A437 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the | 10/25/11 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| | | Government with respect to this contract as being \$1,855,527,237.90 | |
| A438 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,855,833,369.29 | 10/27/11 |
| A439 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,856,309,355.29 | 10/28/11 |
| A440 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,856,612,587.03 | 11/03/11 |
| A441 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,857,589,206.04 | 11/03/11 |
| A442 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,858,378,368.07 | 11/09/11 |
| A443 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,858,820,123.93 | 11/16/11 |
| A444 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,858,836,449.17 | 11/17/11 |
| A445 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,860,177,342.48 | 11/17/11 |
| A446 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,863,308,410.44 | 11/23/11 |
| A447 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,863,394,949.40 | 11/23/11 |
| A448 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,863,362,755.40 | 11/23/11 |

| Modification Reference | <u>Type</u> | | n of Modification tract No. <mark>DE-AC36-08G</mark> | O28308 | Date Signed by Contracting Officer | |
|--|----------------|---------------------------------------|--|---------------------------------------|------------------------------------|--|
| A449 | Funding | reflect t Govern | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,872,575,732.84 | | | |
| A450 | Funding | reflect t Govern | 70.5232-4 Obligation of Fithe amount presently obligment with respect to this cases 875,732.84 | gated by the | 12/02/11 | |
| A451 Showing incorrectly in FedConnect 12/2/11 Fixed 12/5/11 | Funding | • I.140 9' reflect to Govern \$1,873, | 12/02/11 | | | |
| M452 | Administrative | Furnished by Announcen Delete and | Contractor's Obligations R by Grantees Under DOE Forent DE-FOA-0000058 replace Section J, Attachive Requirements (List B) DOE O 110.3A CRD Conference Management Approved: 01/25/07 DOE O 241.1A CRD Scientific and Technical Information Management Approved: 04/09/01 DOE O 241.1B CRD Scientific and Technical Information Management Approved: 12/13/10 DOE O 442.2 CRD Differing Professional Opinions for Technical Issues Involving Environmental, Safety, and Health Technical Concerns Approved: 07/29/11 DOE O 456.1 CRD The Safe Handling of Unbound Engineered Nanoparticles Approved: 05/31/11 DOE N 456.1 CRD The Safe Handling of Unbound Engineered | unding Opportunity ment F-Operating a | | |
| | | Added | Nanoparticles Approved: 01/05/09 DOE O 458.1 Chg 2 Radiation Protection of the Public and the Environment Approved: 06/06/11 | CRD applicable in part | | |
| | | Deleted | DOE M 470.4-1 CRD Change 2 | CRD applicable in part | | |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by |
|---------------------------|---------|--|---------------------|
| | | | Contracting Officer |
| | | Safeguards and Security Program Planning and Management Approved: 08/26/05 Change 1: 10/20/10 Added DOE O 470.4B CRD Safeguards and Security Program CRD applicable in part | |
| | | Approved: 07/21/11 Deleted DOE M 470.4-5 CRD CRD applicable Personnel Security in part Approved: 08/26/05 | |
| | | Added DOE O 472.2 CRD CRD applicable in part Approved: 07/21/11 | |
| | | Incorporate by reference Section J. Attachment J. Performance Evaluation and Measurement Plan (FY2012 as submitted by the Alliance on September 1, 2011) Incorporate by reference Section J, Attachment O, Commercialization Plan (FY12 as submitted by the Alliance on September 1, 2011) Incorporate by reference Section J, Attachment P, Five Year Strategic Plan (FY2012 as submitted by the Alliance on September 1, 2011) | |
| A453 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,873,739,253.97 | 12/07/11 |
| A454 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,873,724,437.71 | 12/13/11 |
| A455 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,873,798,179.62 | 12/13/11 |
| A456 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,873,745,393.70 | 12/13/11 |
| A457 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,874,495,393.70 | 12/13/11 |
| A458 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,874,508,852.92 | 12/16/11 |

| Modification | <u>Type</u> | Description of Modification | Date Signed |
|--------------|-------------|---|------------------------------|
| Reference | | Prime Contract No. DE-AC36-08GO28308 | by Contracting Officer |
| A459 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,875,058,630.45 | 12/20/11 |
| A460 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,875,098,630.45 | 12/21/11 |
| A461 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,876,313,758.93 | 01/12/12 |
| A462 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,877,472,908.11 | 01/13/12 |
| A463 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,877,945,054.99 | 01/19/12 |
| A464 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,901,978,143.49 | 01/19/12 |
| A465 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,902,095,621.20 | 01/24/12 |
| A466 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,902,286,392.62 | 02/08/12 |
| A467 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,902,586,392.62 | 02/08/12 |
| A468 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,903,900,603.82 | 02/09/12 |
| A469 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,903,967,332,78 | 02/13/12 |
| A470 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,904,477,041.52 | 02/14/12 |

| Modification | Type | Description of Modification | Date Signed |
|---|----------------|---|------------------------------|
| Reference | | Prime Contract No. DE-AC36-08GO28308 | by Contracting Officer |
| A471 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,904,622,672.59 | 02/14/12 |
| A472 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,905,917,819.48 | 02/14/12 |
| A473 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,906,067,374.28 | 02/21/12 |
| A474 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,906,064,349.78 | 02/23/12 |
| A475 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,906,455,346.67 | 02/24/12 |
| A476 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,954,802,767.09 | 02/24/12 |
| A477 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,954,217,988.04 | 03/14/12 |
| A478 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,955,005,217.76 | 03/15/12 |
| A479 Missing in FedConnect 3/26/12 Restored 3/27/12 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,955,751,724.41 | 03/23/12 |
| A480 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$1,971,680,638.56 | 03/23/12 |
| M481 | Administrative | Add Clause H.42, Non-Federal Agreement for Commercializing Technology (Pilot) Delete and replace Section J, Attachment A, Appendix A, | 03/27/12 |

| Modification Reference | <u>Type</u> | | n of Modification tract No. <mark>DE-AC36-08GO2</mark> | 8308 | Date Signed by Contracting Officer |
|---|-------------|-------------------------------|--|-------------------------------|------------------------------------|
| | | Subcontract Delete and | replace Section J, Attachmenting Plan FY2012 replace Section J, Attachmentive Requirements (List B) DOE O 227.1 CRD Independent Oversight | | |
| | | Deleted | Program Approved: 08/30/11 DOE M 231.1-2 CRD | in whole | |
| | | | Occurrence Reporting and Processing of Operations Information Approved: 08/19/03 | applicable in whole | |
| | | Added | DOE O 232.2 CRD Occurrence Reporting and Processing of Operations Information Approved: 08/30/11 | CRD applicable in whole | |
| | | Deleted | DOE O 470.2B CRD Independent Oversight and Performance Assurance Program Approved: 10/31/02 | CRD applicable in whole | |
| A482 | Funding | reflect t Govern | 70.5232-4 Obligation of Function amount presently obligate ment with respect to this con 047,899.65 | ed by the | 03/28/12 |
| A483 | Funding | reflect t Govern | 70.5232-4 Obligation of Function amount presently obligate ment with respect to this confusion 148,544.88 | d by the | 03/29/12 |
| A484 Missing in FedConnect 5/30/12 Restored 5/31/12 | Funding | reflect t Govern | 70.5232-4 Obligation of Function amount presently obligate ment with respect to this con 432,320.53 | d by the | 04/05/12 |
| A485 | Funding | reflect t Govern | 70.5232-4 Obligation of Function amount presently obligate ment with respect to this con 432,319.78 | d by the | 04/05/12 |
| A486 | Funding | • I.140 9' reflect t | 70.5232-4 Obligation of Function amount presently obligate ment with respect to this conformal of the confor | d by the | 04/09/12 |
| A487 | Funding | • I.140 9° reflect t | 70.5232-4 Obligation of Function amount presently obligate ment with respect to this con- | d by the | 04/10/12 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---|-------------|---|------------------------------------|
| | | \$2,075,526,453.52 | |
| A488 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,075,542,327.31 | 04/10/12 |
| A489 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,075,596,210.81 | 04/10/12 |
| A490 Missing in FedConnect 5/30/12 Restored 5/31/12 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,075,798,257.26 | 04/11/12 |
| A491 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,075,757,466.69 | 04/11/12 |
| A492 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,075,757,476.69 | 04/11/12 |
| A493 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,075,728,350.48 | 04/12/12 |
| A494 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,075,728,125.75 | 04/12/12 |
| A495 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,082,165,348.13 | 04/16/12 |
| A496 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,082,165,245.77 | 04/17/12 |
| A497 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the | 04/17/12 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---|---------|---|------------------------------------|
| | | Government with respect to this contract as being \$2,082,164,498.98 | |
| A498 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,082,164,407.06 | 04/17/12 |
| A499 Missing in FedConnect 5/30/12 Restored 5/31/12 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,082,136,749.11 | 04/17/12 |
| A500 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,082,119,195.61 | 04/17/12 |
| A501 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,082,396,053.35 | 04/23/12 |
| A502 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,082,454,305.78 | 04/23/12 |
| A503 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,082,599,936.85 | 04/23/12 |
| A504 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,082,519,765.86 | 04/23/12 |
| A505 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,082,519,630.57 | 04/24/12 |
| A506 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,082,819,630.57 | 04/24/12 |
| A507 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being | 04/25/12 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | | | Date Signed by Contracting Officer |
|---|----------------|--|--|---------------------------|---|
| | | \$2,083,066, | 249.49 | | |
| A508 | Funding | reflect the as | 7.140.050.5000.4.0111 | | |
| A509 | Funding | reflect the a | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being | | |
| M510 Missing in FedConnect 5/30/12 Restored 5/31/12 | Administrative | Verification of C Delete and repl Delete and repl Administrative I Deleted DC Off App Added DC CR Off | Contractor Personnel (Jan 1) lace Section J, Attachment lace Section J, Attachment Requirements (List B) DE O 551.1B CRD ficial Foreign Travel proved: 08/19/03 DE O DOE O 551.1C D ficial Foreign Travel proved: 06/24/08 | 2011) B, Key Personnel | 05/02/12 |
| A511 | Funding | • I.140 970.52 reflect the ar | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being | | |
| A512 | Funding | • I.140 970.52 reflect the ar | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being | | |
| A513 | Funding | • I.140 970.52 reflect the ar | reflect the amount presently obligated by the Government with respect to this contract as being | | |
| A514 | Funding | • I.140 970.52 reflect the ar | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being | | |
| A515 | Funding | • I.140 970.52 reflect the ar | \$2,085,083,385.06 I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,085,816,750.88 | | |
| A516 | Funding | • I.140 970.52 reflect the ar | 232-4 Obligation of Funds mount presently obligated t with respect to this contr | by the | 05/17/12 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---|-----------------------|---|------------------------------------|
| A517 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,093,020,880.06 | 05/17/12 |
| A518 Missing in FedConnect 5/20/12 Restored 5/22/12 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,093,506,316.95 | 05/21/12 |
| A519 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,085,816,750.88 | 05/21/12 |
| A520 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$-0-(Correction for Modification 519) | 05/23/12 |
| A521 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,073,928,121.80 | 05/24/12 |
| A522 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,074,037,923.47 | 05/24/12 |
| A523 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,073,992,821.32 | 05/24/12 |
| A524 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,073,950,371.17 | 05/25/12 |
| A525 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,073,998,914.86 | 05/30/12 |
| A526 Missing in FedConnect 5/30/12 Restored 5/31/12 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,074,027,070.20 | 05/30/12 |
| M527 | Administrative | Delete and replace Section J, Appendix A, Section 11. | 06/01/12 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| | | Travel and Relocation (subject to a subsequent modification) | |
| A528 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,074,783,833.76 | 06/07/12 |
| A529 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,074,847,617.31 | 06/14/12 |
| A530 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,077,351,967.46 | 06/15/12 |
| A531 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,077,594,561.11 | 06/20/12 |
| A532 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,077,788.735.87 | 06/20/12 |
| A533 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,078,371,260.14 | 06/20/12 |
| A534 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$-0-(Reallocating Funding from Government Provided Property (GPP) to Equipment) | 06/20/12 |
| A535 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,078,649,455.29 | 06/22/12 |
| A536 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,078,949.455.29 | 06/22/12 |
| A537 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,079,868,138.79 | 06/22/12 |
| A538 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,079,941,511.61 | 06/22/12 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|----------------|---|------------------------------------|
| A539 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,080,111,414,52 | 06/22/12 |
| A540 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,080,006,414.52 | 06/28/12 |
| A541 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,079,988,839.84 | 06/28/12 |
| A542 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,080,022,529.16 | 06/28/12 |
| A543 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,080,260,276.28 | 06/28/12 |
| A544 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,080,468,520.00 | 07/13/12 |
| A545 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,117,050,054.04 | 07/18/12 |
| A546 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,117,275,651.12 | 07/19/12 |
| A547 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,117,436,354.03 | 07/19/12 |
| A548 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,117,620,140.14 | 07/24/12 |
| M549 | Administrative | Delete and Replace: Section I Clause I.16, 52.204-10 Reporting Executive Compensation and First-Tier Subcontract Awards (Feb 2012) Section J | 07/26/12 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting |
|---------------------------|---------|--|----------------------------|
| | | | Contracting Officer |
| | | Attachment A, Appendix A, Personnel, Section 11. Travel and Relocation (format and grammar changes only) Attachment B, Key Personnel Attachment E, Applicable Laws and Regulations (List A) Attachment F, Operating and Administrative Requirements (List B) | |
| | | Deleted DOE O 243.1 CRD Records Management Program applicable in whole Deleted DOE O 551.1 CCRD Official CRD | |
| | | Foreign Travel applicable in whole | |
| | | Deleted DOE O 580.1 CRD Department of Energy Personal applicable in whole | |
| | | Added DOE O 243.1A CRD CRD applicable in whole Added DOE O 551.1D CRD CRD | |
| | | Added DOE O 531.1D CRD CRD applicable in whole Added DOE O 580.1A CRD CRD | |
| | | Department of Energy Personal applicable in Property Management whole | |
| | | Attachment I, Subcontracts, Purchase Orders And Other Actions Requiring DOE Review And Approval And Other Agreements Between the Parties Attachment J, Performance Evaluation and Measureme Plan (PEMP), dated July 5, 2012 Attachment K, NREL's Diversity Plan CY2012 | |
| A550 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,117,946,353.73 | 08/06/12 |
| A551 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,118,048,295.48 | 08/07/12 |
| A552 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,120,105,808.10 | 08/07/12 |
| A553 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,123,822,977.81 | 08/07/12 |
| A554 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being | 08/10/12 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|--|---------|---|------------------------------------|
| | | \$2,123,875,404.99 | |
| A555 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,123,881,230.23 | 08/10/12 |
| A556 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,123,997,735.08 | 08/10/12 |
| A557 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,124,094,816,63 | 08/10/12 |
| A558 Missing in FedConnect 8-13-12 Restored 8-14- 12 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,124,240,277.80 | 08/10/12 |
| A559 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,124,269,404.01 | 08/13/12 |
| A560 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,124,505,802.79 | 08/14/12 |
| A561 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,124,699,977.55 | 08/16/12 |
| A562 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,125,304,371.55 | 08/17/12 |
| A563 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,152,290,148.55 | 08/17/12 |
| A564 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,152,873,345.47 | 08/24/12 |
| A565 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,152,869,359.43 | 08/24/12 |

| Modification | Type | Description of Modification | Date Signed |
|------------------|----------------|--|---------------------|
| <u>Reference</u> | | Prime Contract No. DE-AC36-08GO28308 | <u>by</u> |
| | | | Contracting Officer |
| A566 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,152,869,359.43 (reallocates funding \$-0-) | 08/24/12 |
| A567 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,153,160,621.57 | 08/30/12 |
| A568 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,153,338,686.29 | 08/30/12 |
| A569 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,153,632,977.19 | 09/05/12 |
| A570 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,154,052,220.88 | 09/05/12 |
| A571 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,154,411,853.89 | 09/05/12 |
| A572 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,154,529,329.62 | 09/05/12 |
| A573 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,155,500,203.41 | 09/05/12 |
| M574 | Administrative | Delete and Replace: Section J, Attachment F, Operating and Administrative Requirements (List B) Deleted DOE M 231.1-1A CRD CRD applicable in Whole Environment, Safety, and Health Reporting Manual Approved: 03/19/04 Change 2: 06/12/07 Added DOE O 231.1B CRD CRD applicable in Whole Environment, Safety and Health Reporting Approved: 06/27/11 Deleted DOE O 430.2A CRD CRD applicable in Whole Departmental Energy and Utilities Management • CRD applicable in Whole | 09/07/12 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting |
|---------------------------|---------|---|----------------------------|
| | | Deleted DOE O 450.1A CRD Environmental Protection Program Approved: 06/04/08 Section J, Delete Attachment J, Performance Evaluation and Measurement Plan (PEMP), dated July 5, 2012, and replace with Section J, Attachment J, Performance Evaluation and Measurement Plan dated July 27, 2012 | Officer |
| A575 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,155,537,096.61 | 09/11/12 |
| A576 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,155,684,743.91 | 09/11/12 |
| A577 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,156,084,743.91 | 09/11/12 |
| A578 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,156,244,938.09 | 09/12/14 |
| A579 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,156,317,753.62 | 09/12/12 |
| A580 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,156,581,345.85 | 09/12/12 |
| A581 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,156,659,015.75 | 09/12/12 |
| A582 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,156,863,571.58 | 09/13/12 |
| A583 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,156,871,338.57 | 09/13/12 |
| A584 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,157,066,387.12 | 09/13/12 |

| Modification Reference | Туре | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---|---------|---|------------------------------------|
| A585 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,157,166,387.12 | 09/14/12 |
| A586 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,174,893,497.21 | 09/14/12 |
| A587 Missing in FedConnect 9/18/12 Restored 9/21/12 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,176,274,079.73 | 09/18/12 |
| A588 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,176,812,914.68 | 09/19/12 |
| A589 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,176,910,002.06 | 09/19/12 |
| A590 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,177,060,002.06 | 09/19/12 |
| A591 Missing in FedConnect 9/20/12 Restored 9/20/12 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,177,419,353.16 | 09/20/12 |
| A592 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,177,642,654.13 | 09/20/12 |
| A593 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,178,632,654.13 | 09/20/12 |
| A594 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,181,059,370.64 | 09/25/12 |
| A595 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,182,224,419.18 | 09/25/12 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting |
|------------------------------------|----------------|---|----------------------------|
| A596 Missing in FedConnect 9/26/12 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,182,313,555.10 | Officer 09/26/12 |
| A597 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,182,944,623.06 | 09/26/12 |
| A598 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,183,244,623.06 | 09/26/12 |
| M599 | Administrative | Delete and replace Section J, Attachment E, Applicable Laws and Regulations, (List A) Modification 574 SF 30 FY2012 Performance Evaluation and Measurement Plan date is corrected from July 25, 2012 to July 27, 2012 Incorporate Section J, Attachment J, FY2013 Performance Evaluation and Measurement Plan dated September 1, 2012 Incorporate Section J, Attachment O, FY2013 Commercialization Plan dated September 1, 2012 Incorporate Section J, Attachment P, FY2013 Five-Year Plan dated September 1, 2012 Incorporate Section J, Attachment P, FY2013 Five-Year Plan dated September 1, 2012 By bilateral signature, the Parties agree to continue good faith negotiations of a final FY2013 Five-Year Plan and FY2013 Annual Plan and Performance Evaluation and Measurement Plan. The final planning documents will be incorporated upon completion of these negotiations. Administrative Modification 599 Table of Contents only was corrected for Section I. Section I content had no changes and remains Administrative Modification 549. | 09/27/12 |
| FY 2013 | | | |
| A600 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,250,561,674.06 | 10/11/12 |
| A601 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,251,431,287.20 | 10/18/12 |
| A602 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,256,149,287.20 | 10/18/12 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|--|---------|--|------------------------------------|
| A603 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,256,310,874.09 | 10/25/12 |
| A604 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,256,890,570.99 | 10/25/12 |
| A605 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,268,404,024.99 | 10/25/12 |
| A606 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,269,180,724.02 | 11/05/12 |
| A607 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,269,666,160.91 | 11/07/12 |
| A608 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,270,502,426.42 | 11/07/12 |
| A609 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,270,529,426.42 | 11/07/12 |
| A610 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,270,696,781.95 | 11/15/12 |
| A611 Missing in FedConnect Restored 02/14/13 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,273,004,357.95 | 11/19/12 |
| A612 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,274,126,511.95 | 11/27/12 |
| A613 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,274,126,511.95 (reallocates funding \$ -0-) | 11/29/12 |
| A614 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the | 12/06/12 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting |
|---|-------------|---|----------------------------|
| | | Government with respect to this contract as being \$2,274,646,910.49 | <u>Officer</u> |
| A615 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,274,753,133.69 | 12/13/12 |
| A616 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,275,371,699.08 | 12/14/12 |
| A617 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,275,542,038.35 | 12/19/12 |
| A618 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,279,017,538.35 | 12/19/12 |
| A619 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,279,794,237.38 | 12/20/12 |
| A620 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,280,095,208.25 | 12/20/12 |
| A621 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,280,218,968.75 | 01/04/13 |
| A622 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,280,268,495.04 | 01/10/13 |
| A623 Missing in FedConnect 01/15/13 Restored 01/17/13 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,281,366,459.04 | 01/10/13 |
| A624 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,281,774,317.45 | 01/17/13 |
| A625 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being | 01/17/13 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---|---------|---|------------------------------------|
| | | \$2,299,939,277.95 | |
| A626 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,300,002,385.72 | 01/18/13 |
| A627 Missing in FedConnect 1/18/13 Restored 1/25/13 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,300,366,463.39 | 01/18/13 |
| A628 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,300,358,369.52 | 01/22/13 |
| A629 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,300,948,527.54 | 01/24/13 |
| A630 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,301,448,527.54 | 01/24/13 |
| A631 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,301,470,220.37 | 01/29/13 |
| A632 Missing in FedConnect 2/7/13 Restored 2/11/13 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,301,617,402.12 | 02/06/13 |
| A633 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,301,612,384.03 | 02/07/13 |
| A634 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,301,855,102.48 | 02/07/13 |
| A635 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,301,849,176.14 | 02/07/13 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---|-------------|---|------------------------------------|
| A636 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,301,951,676.14 | 02/07/13 |
| A637 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,302,105,278.14 (Hurricane Sandy NJ) | 02/14/13 |
| A638 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,302,238,108.14 (Hurricane Sandy NY) | 02/14/13 |
| A639 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,302,282,336.87 | 02/15/13 |
| A640 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,302,498,715.51 | 02/15/13 |
| A641 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,302,543,181.53 | 02/15/13 |
| A642 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to 02/15/13reflect the amount presently obligated by the Government with respect to this contract as being \$2,302,543,083.98 | 02/15/13 |
| A643 Missing in FedConnect 2- 20-13 Restored 2-20- 13 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,306,945,886.98 | 02/19/13 |
| A644 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,308,445,886.98 | 02/19/13 |
| A645 Missing in FedConnect 2-20-13 Restored 2-22-13 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,308,439,067.12 | 02/20/13 |
| A646 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being | 02/21/13 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|--|----------------|--|------------------------------------|
| | | \$2,308,497,346.40 | |
| M647 | Administrative | Increases the contract value from \$2.5 Billion to \$2.8 Billion Deleted and Replaced: H.9 Responsible Corporate Official, deleted and replaced to acknowledge the replacement of Ronald D. Townsend with Richard Thomas Fleener, and updates the address and zip code from 1617 Cole Blvd, 80401 to 15013 Denver West Parkway, PO Box 4011, 80402-0000 Deleted and Replaced: Appendix A, Section 11, Travel and Relocation Incorporated by Reference in Section J: List of Documents, Exhibits, and Other Attachments: Attachment C, FY 2013 Small Business Subcontracting Plan Attachment J, FY 2013 Performance Evaluation And Measurement Plan, February 15, 2013 Attachment P, FY 2013 Five-Year Plan, January 31, 2013 | 03/05/13 |
| A648 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,308,832,297.85 | 03/06/13 |
| A649 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,309,123,559.99 | 03/07/13 |
| A650 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,309,154,627.95 | 03/07/13 |
| A651 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,309,441,530.78 | 03/07/13 |
| A652 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,309,819,277.87 | 03/14/13 |
| A653 Problem in FedConnect with duplicate entries. Reported 3- 18-13 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,313,933,616.87 | 03/14/13 |

| Modification | Type | Description of Modification Discription of Modification | Date Signed |
|---|---------|---|----------------------------|
| Reference | | Prime Contract No. DE-AC36-08GO28308 | <u>Contracting</u> Officer |
| A654 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,314,419,053.76 | 03/21/13 |
| A655 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,314,710,315.90 | 03/21/13 |
| A656 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,315,310,315.90 | 03/21/13 |
| A657 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,315,520,785.16 | 03/21/13 |
| A658 Missing in FedConnect 03/26/13 Restored 03/27/13 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,333,099,668.16 | 03/22/13 |
| A659 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,333,370,321.56 | 03/27/13 |
| A660 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,333,483,873.99 | 03/27/13 |
| A661 Missing in FedConnect 3/27/13 Restored 3/29/13 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,334,373,873.99 | 03/27/13 |
| A662 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,334,595,610.02 | 03/27/13 |
| A663 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,334,716,119.73 | 04/04/13 |
| A664 | Funding | Deobligation ■ I.140 970.5232-4 Obligation of Funds is revised to | 04/05/13 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---|---------|---|------------------------------------|
| | | reflect the amount presently obligated by the Government with respect to this contract as being \$2,334,709,874.78 | |
| A665 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,334,699,590.26 | 04/05/13 |
| A666 Repeated 2 times in FedConnect and 4 times under each entry. Reported 04- 10-13 Restored on 05-10-13 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,334,682,432.95 | 04/05/13 |
| A667 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,334,651,617.74 | 04/05/13 |
| A668 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,334,997,407.77 | 04/05/13 |
| A669 Missing in FedConnect 04/10/13 Restored 04/15/13 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,335,045,951.46 | 04/10/13 |
| A670 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,335,157,321.32 | 04/10/13 |
| A671 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,336,330,992.19 | 04/19/13 |
| A672 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,336,416,333.94 | 04/19/13 |
| A673 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the | 04/19/13 |

| Modification Reference | | Prime Contract No. DE-AC36-08GO28308 | | | |
|------------------------|---------|---|------------|--|--|
| | | Government with respect to this contract as being \$2,336,586,236.85 | | | |
| A674 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,350,060,778.49 | 04/19/13 | | |
| A675 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,350,675,650.29 | 04/19/13 | | |
| A676 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,355,633,671.29 | 04/22/13 | | |
| A677 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,355,897,748.96 | 04/24/13 | | |
| A678 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,376,693,062.96 | 04/24/13 | | |
| A677 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,355,897,748.96 | 04/24/13 | | |
| A678 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,376,693,062.96 | 04/24/13 | | |
| A679 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,377,085,308.27 | 04/24/2013 | | |
| A680 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,402,879,477.45 | 05/02/13 | | |
| A681 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,402,866,986.26 | 05/02/13 | | |
| A682 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,403,465,760.03 | 05/03/13 | | |
| A683 | Funding | I.140 970.5232-4 Obligation of Funds is revised to | 05/13/13 | | |

| Modification Reference | Type | Date Signed by Contracting Officer | |
|---|---------|---|----------|
| | | reflect the amount presently obligated by the Government with respect to this contract as being \$2,408,114,546.03 | |
| A684 Missing in FedConnect 05/13/13 Restored 05/16/13 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,408,378,380.49 | 05/13/13 |
| A685 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,408,917,215.44 | 05/13/13 |
| A686 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,408,986,438.74 | 05/13/13 |
| A687 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,409,374,788.25 | 05/14/13 |
| A688 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,409,565,788.25 | 05/16/13 |
| A689 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,409,947,611.57 | 05/16/13 |
| A690 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,410,147,611.57 | 05/23/13 |
| A691 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,410,683,351.51 | 05/23/13 |
| A692 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,410,705,494.23 | 05/28/13 |
| A693 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,410,749,183.55 | 05/29/13 |
| A694 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being | 06/06/13 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer | |
|---------------------------|----------------|---|------------------------------------|--|
| | | \$2,411,860,834.04 | | |
| A696 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,411,957,921.42 | 06/06/13 | |
| A696 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,412,241,671.49 | 06/06/13 | |
| A697 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,427,490,016.49 | 06/06/13 | |
| A698 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,430,258,548.82 | 06/13/13 | |
| A699 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,430,640,009.80 | 06/14/13 | |
| A700 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,430,805,009.80 | 06/14/13 | |
| A701 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,430,804,494.46 | 06/14/13 | |
| A702 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,431,289,931.35 | 06/14/13 | |
| M703 | Administrative | Deleted and Replaced: Contract Clause I.28, 52.219-9, Small Business Subcontracting Plan (Sep 2006), Alternative II (Oct 2001) is hereby replaced with 52.219-9, Small Business Subcontracting Plan (Jan 2011). | 06/21/13 | |
| A704 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,431,387,515.06 | 06/24/13 | |
| A705 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,431,397,369.43 | 06/24/13 | |

| Modification Reference | Type | Prime Contract No. DE-AC36-08GO28308 | |
|---------------------------|---------|---|----------|
| A706 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,431,445,913.12 | 06/24/13 |
| A707 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,431,849,990.79 | 06/25/13 |
| A708 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,432,078,184.96 | 06/27/13 |
| A709 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,432,476,386.38 | 06/27/13 |
| A710 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,432,411,673.95 | 07/03/13 |
| A711 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,432,411,039.85 | 07/08/13 |
| A712 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,432,408,415.95 | 07/08/13 |
| A713 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,432,406,472.21 | 07/08/13 |
| A714 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,432,484,142.11 | 07/09/13 |
| A715 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,432,681,229.49 | 07/09/13 |
| A716 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,432,734,627.55 | 07/09/13 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| A717 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,432,884,324,14 | 07/09/13 |
| A718 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,447,231,961.74 | 07/10/13 |
| A719 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,447,231,961.74,(\$-0- Funding Only Action - Transferring funds from one code to another) | 07/11/13 |
| A720 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,450,645,120.74 | 07/12/13 |
| A721 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,450,856,952.39 | 07/12/13 |
| A722 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,455,656,952.39 | 07/18/13 |
| A723 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,456,084,148.97 | 07/18/13 |
| A724 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,456.083,670.11 | 07/22/13 |
| A725 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,456,082,590.18 | 07/22/13 |
| A726 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,456,082,583.47 | 07/22/13 |
| A727 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,456,864,299.56 | 07/24/13 |

| Modification Reference | <u>Type</u> | Description of Modification Date Single Contract No. DE-AC36-08GO28308 Description of Modification Description Description of Modification Description Desc | | | |
|---------------------------|----------------|--|----------|--|--|
| A728 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,456,898,280.14 | 07/25/13 | | |
| A729 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,457,198,280.14 | 07/25/13 | | |
| A730 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,457,408,283.14 | 07/25/13 | | |
| A731 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,457,533,506.44 | 07/30/13 | | |
| M732 | Administrative | Revised: Section C, Paragraph C.5, Performance Goals and Attributes of Performance to delete the Commercialization Plan requirement Section H, Clause H.37, Privately Funded Technology Transfer Section I, Clause I.132, 970.5227-10 – Patent Rights Section J, Attachment E, Applicable Laws and Regulations (List A) to add 10 CFR 719, Contractor Legal Requirements Deleted and Reserved: Part III, Section J, Attachment O, Commercialization Plan, is hereby deleted in its entirety and replaced with: Attachment O Reserved Added: Part III, Section J, Attachment U, Treaties and International Agreements is hereby incorporated as a new attachment. Incorporates by reference: Part III, Section J, Attachment J, Performance Evaluation and Measurement Plan, dated June 28, 2013. | 08/08/13 | | |
| A733 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,458,079,986.15 | 08/08/13 | | |
| A734 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,458,177,936.64 | 08/09/13 | | |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|-----------------------|---|------------------------------------|
| A735 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,458,372,111.40 | 08/09/13 |
| A736 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,458,362,495.92 | 08/09/13 |
| A737 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,458,562,495.92 | 08/09/13 |
| M738 | Administrative | This bilateral modification extends the term of the Contract to May 31, 2015; and Deleted and replaced: Section F, Clause F.1, Term of Contract | 08/13/13 |
| A739 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,458,756,670.68 | 08/14/13 |
| A740 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,458,819,777.48 | 08/15/13 |
| A741 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,458,908,196.90 | 08/15/13 |
| A742 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,458,892,733.36 | 08/15/13 |
| A743 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,458,892,466.34 | 08/15/13 |
| A744 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,458,761.412.03 | 08/15/13 |
| A745 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,492,813,179.03 | 08/19/13 |

| Modification Reference | Type | <u>Description of Modification</u> <u>Prime Contract No. DE-AC36-08GO28308</u> | Date Signed by Contracting Officer | |
|---------------------------|---------|---|------------------------------------|--|
| A746 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,496,625,179.03 | 08/21/13 | |
| A747 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,496,659,159.61 | 08/21/13 | |
| A748 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,496,960,429.56 | 08/22/13 | |
| A749 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,496,551,429.56 | 08/22/13 | |
| A750 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,496,550,694.61 | 08/27/13 | |
| A751 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,496,550,509.01 | 08/28/13 | |
| A752 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,496,671,868.24 | 09/04/13 | |
| A753 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,497,196,140.08 | 09/05/13 | |
| A754 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,497,346,625.52 | 09/05/13 | |
| A755 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,497,832,062.41 | 09/05/13 | |
| A756 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,498,182,062.41 | 09/06/13 | |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer | |
|---------------------------|-------------|---|------------------------------------|--|
| A757 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,498,220,897.36 | 09/06/13 | |
| A758 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,499,182,670.45499,182,670.45 | 09/06/13 | |
| A759 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,499,575,645.21 | 09/06/13 | |
| A760 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,499,527,101.52 | 09/09/13 | |
| A761 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,536,013,014.70 | 09/12/13 | |
| A762 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,536,116,682.07 | 09/12/13 | |
| A763 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,536,988,526.73 | 09/12/13 | |
| A764 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,537,124,449.06 | 09/12/13 | |
| A765 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,537,168,138.38 | 09/12/13 | |
| A766 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,537,362,313.14 | 09/12/13 | |
| A767 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,537,420,565.57 | 09/13/13 | |

| Modification Reference | | | | | |
|------------------------|---------|---|----------|--|--|
| A768 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,537,512,798.58 | 09/13/13 | | |
| A769 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,539,454,546.15 | 09/13/13 | | |
| A770 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,539,539,983.04 | 09/13/13 | | |
| A771 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,539,831,245.18 | 09/13/13 | | |
| A772 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,540,081,245.18 | 09/13/13 | | |
| A773 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,540,154,060.71 | 09/16/13 | | |
| A774 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,540,214,060.71 | 09/13/13 | | |
| A775 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,540,359,691.78 | 09/16/13 | | |
| A776 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,540,676,924.35 | 09/18/13 | | |
| A777 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,541,470,613.67 | 09/18/13 | | |
| A778 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,541,575,468.04 | 09/18/13 | | |
| A779 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,541,866,730.18 | 09/18/13 | | |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer | |
|---------------------------|----------------|---|------------------------------------|--|
| A780 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,541,910,419.50 | 09/18/13 | |
| A781 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,544,263,614.12 | 09/19/13 | |
| A782 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,544,846,138.39 | 09/20/13 | |
| A783 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,545,011,138.39 | 09/20/13 | |
| A784 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,546,613,080.14 | 09/22/13 | |
| A785 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,549,123,976.39 | 09/22/13 | |
| A786 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,550, 033,234.61. | 09/23/13 | |
| M787 | Administrative | Deleted and Replaced: Incorporates Section J, Attachment J, FY 2014 Performance Evaluation and Measurement Plan, Version 0.1 dated September 12, 2013 | 09/30/13 | |
| FISCAL | YEAR | 2014 | | |
| A0788 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,550,848,839.91 | 10/09/13 | |
| A0789 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,551,197,242.08 | 10/21/13 | |
| A0790 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,551,274,096.09 | 10/23/13 | |

| Modification | <u>Type</u> | | n of Modification | ••• | Date Signed | | | | |
|---------------------|-----------------------|------------|---|-------------------|---------------------|--|--|--|--|
| Reference | | Prime Con | tract No. DE-AC36-08GO28 | <u>308</u> | by Contracting | | | | |
| | | | | | Contracting Officer | | | | |
| M0791 | Administrative | Deleted ar | nd replaced: | | 10/30/13 | | | | |
| | | • | | | | | | | |
| | | | Regulations (List A) | | | | | | |
| | | | - Corrected title to: 10 CFR 719 Contractor | | | | | | |
| | | | Legal Management Requirements | | | | | | |
| | | | - Deleted: 7 CCR 1101-8, Conveyance | | | | | | |
| | | | Regulations | 111. C 1 (IDC) | | | | | |
| | | | - Updated: International B | | | | | | |
| | | | 2009 to International Bui 2012 | liding Code (IBC) | | | | | |
| | | | - Updated: International Fi | ira Coda (IEC) | | | | | |
| | | | 2009 to International Fire | | | | | | |
| | | | - Corrected Title: OHSAS | | | | | | |
| | | | Management Systems to | | | | | | |
| | | | Health and Safety Manag | | | | | | |
| | | Deleted ar | <u>id replaced:</u> | · | | | | | |
| | | • | Section J, Attachment F, Ope | | | | | | |
| | | | Administrative Requirements | | | | | | |
| | | Deleted | DOE N 203.1 CRD | CRD | | | | | |
| | | | Software Quality Assurance | applicable in | | | | | |
| | | | Approved: 10/02/00 | whole | | | | | |
| | | Deleted | DOE N 206.3 CRD | CRD | | | | | |
| | | | Personal Identity | applicable in | | | | | |
| | | | Verification | part | | | | | |
| | | Added | Approved: 11/22/05 DOE O 206.2 CRD | CRD | | | | | |
| | | Audeu | Identity, Credential and | applicable in | | | | | |
| | | | Access Management | part | | | | | |
| | | | (ICAM) | Part | | | | | |
| | | | Approved: 02/19/13 | | | | | | |
| | | Deleted | DOE O 243.1A CRD | CRD | | | | | |
| | | | Records Management | applicable in | | | | | |
| | | | Program | whole | | | | | |
| | | | Approved: 11/07/11 | | | | | | |
| | | Added | DOE O 243.1B CRD | CRD | | | | | |
| | | | Records Management | applicable in | | | | | |
| | | | Program | part | | | | | |
| | | Dalatad | Approved: 03/11/13 DOE O 243.2 | CDD | | | | | |
| | | Deleted | Vital Records | CRD applicable in | | | | | |
| | | | Approved: 02/02/06 | whole | | | | | |
| | | Deleted | DOE M 450.4-1 CRD | CRD | | | | | |
| | | Dolotted | Integrated Safety | applicable in | | | | | |
| | | | Management System | whole | | | | | |
| | | | Manual | | | | | | |
| | | | Approved: 11/01/06 | | | | | | |

| Modification | Type | Descriptio | n of Modification | | Date Signed |
|--------------|-------------|------------|-------------------------------------|--------------------|----------------|
| Reference | | | ntract No. DE-AC36-08GO28 | 308 | by |
| | | | | | Contracting |
| | | | | | <u>Officer</u> |
| | | Deleted | DOE M 470.4-2 CRD | CRD | |
| | | | Change 1 | applicable in | |
| | | | Physical Protection | part | |
| | | | Approved: 08/26/05 | | |
| | | D 1 . 1 | Change 1: 03/07/06 | CDD | |
| | | Deleted | DOE M 470.4-3 CRD | CRD | |
| | | | Change 1 | applicable in | |
| | | | Protective Force | part | |
| | | | Approved: 08/26/05 | | |
| | | Added | Change 1: 03/07/06 DOE O 473.3 CRD | CRD | |
| | | Added | Protection Program | applicable in | |
| | | | Operations | | |
| | | | Approved: 6/29/11 | part | |
| | | Deleted | DOE O 482.1 CRD | CRD | |
| | | Defeted | DOE Facilities Technology | applicable in | |
| | | | Partnering Programs | whole | |
| | | | Approved: 01/12/01 | Whole | |
| | | Deleted | DOE O 483.1 CRD | CRD | |
| | | Beleted | DOE Cooperative Research | applicable in | |
| | | | and Development | whole | |
| | | | Agreements | Whole | |
| | | | Approved: 01/12/01 | | |
| | | Added | DOE O 483.1 CRD Change | CRD | |
| | | | 1 | applicable in | |
| | | | DOE Cooperative Research | whole | |
| | | | and Development | | |
| | | | Agreements | | |
| | | | Approved: 09/18/13 | | |
| A0792 | Funding | • I.1 | 40 970.5232-4 Obligation of F | unds is revised to | 11/01/13 |
| | | | flect the amount presently obli | | |
| | | G | overnment with respect to this | contract as being | |
| | | \$2 | 2,551,380,052.76 | _ | |
| A0793 | Funding | • I.1 | 40 970.5232-4 Obligation of F | unds is revised to | 11/04/13 |
| | | re | flect the amount presently obli | gated by the | |
| | | G | overnment with respect to this | contract as being | |
| | | \$2 | 2,564,628,126.76 | | |
| A0794 | Funding | • I.1 | 40 970.5232-4 Obligation of F | unds is revised to | 11/07/13 |
| | | | flect the amount presently obli | | |
| | | | overnment with respect to this | contract as being | |
| | | | 2,564,817,657.85 | | |
| A0795 | Funding | | 40 970.5232-4 Obligation of F | | 11/07/13 |
| | | | flect the amount presently obli | | |
| | | | overnment with respect to this | contract as being | |
| | | \$2 | 2,564,961,466.59. | | |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|----------------|---|------------------------------------|
| A796 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,566,567,466.59 | 11/12/13 |
| A797 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,566,658,564.26 | 11/15/13 |
| A798 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,566,840,084.78 | 11/20/13 |
| A799 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,568,442,026.53 | 11/20/13 |
| A800 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,581,322,075.53 | 11/21/13 |
| M801 | Administrative | Deleted and Replaced: Incorporates by reference Section J, Attachment J, Performance Evaluation and Measurement Plan, Version 1.0 dated October 31, 2013. | 12/05/13 |
| A802 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,581,321,777.87 | 12/05/13 |
| A803 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,581,874,844.86 | 12/09/13 |
| A804 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,585,244,973.86 | 12/09/13 |
| A805 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,585,749,858.22 | 12/09/13 |
| A806 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,586,026,815.95 | 12/11/13 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting |
|---------------------------|----------------|---|----------------------------|
| | | | Contracting Officer |
| A807 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,586,214,076.32 | 12/19/13 |
| A808 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,611,038,997.32 | 12/19/13 |
| JANUARY | 2014 | | |
| A0809 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,611,670,033.79 | 01/07/14 |
| A0810 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,612,247,222.94 | 01/16/14 |
| A0811 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,619,847,222.94 | 01/16/14 |
| A0812 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,620,183,631.68 | 01/23/14 |
| A0813 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,628,077,564.10 | 01/23/14 |
| M0814 | Administrative | Added: Section H, Clause H.43, Privacy Act Records Deleted and Replaced: Section J, List of Documents, Exhibits, and Other Attachments • Attachment F, Operating and Administrative Requirements (List B) Added DOE O 206.1 CRD Department of Energy Privacy Program applicable in Approved: 01/16/09 whole Deleted DOE O 483.1 CRD Change 1 CRD DOE Cooperative Research and poevelopment Agreements Approved: 09/18/13 Added DOE O 483.1A CRD DOE Cooperative Research and applicable in Doevelopment Agreements Approved: 09/18/13 Added DOE O 483.1A CRD DOE Cooperative Research and applicable in Development Agreements Approved: 11/06/13 | 01/27/14 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|-------------|---|------------------------------------|
| A0815 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,628,126,107.79 | 02/06/14 |
| A0816 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,628,582,418.52 | 02/07/14 |
| A0817 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,629,226,555.92 | 02/07/14 |
| A0818 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,635,084,310.92 | 02/07/14 |
| A0819 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,635,686,463.88 | 02/13/14 |
| A0820 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,635,880,463.88 | 02/13/14 |
| A0821 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,636,230,036.73 | 02/21/14 |
| A0822 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,636,271,310.34 | 02/24/14 |
| A0823 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,635,951,310.34 | 02/24/14 |
| A0824 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,636,630,922.06 | 02/26/14 |
| A0825 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,636,583,862.06 | 02/26/14 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| A0826 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,666,257,973.92 | 03/06/14 |
| A0827 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,666,577,440.16 | 03/06/14 |
| A0828 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,666,842,926.53 | 03/13/14 |
| A0829 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,667,201,562.76 | 03/17/14 |
| A0830 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,666,751,562.76 | 03/18/14 |
| A0831 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,671,886,084.76 | 03/25/14 |
| A0832 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,671,974,590.95 | 03/26/14 |
| A0833 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,672.294,225.83 | 04/04/14 |
| A0834 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,684,118,236.33 | 04/04/14 |
| A0835 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,684,193,236.33 | 04/04/14 |
| A0836 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,687,143,236.33 | 04/10/14 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|-------------|---|------------------------------------|
| A0837 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,687,346,639.34 | 04/10/14 |
| A0838 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,687,431,187.34 | 04/18/14 |
| A0839 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,687,519,826.36 | 04/18/14 |
| A0840 | Funding | • 140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,687,578,078.79 | 04/18/14 |
| A0841 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,687,838,322.06 | 04/21/14 |
| A0842 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,689,002,322.06. | 04/24/14 |
| A0843 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,689,322,252.53 | 04/24/14 |
| A0844 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,720,127,541.53 | 04/24/14 |
| A0845 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,720,122,716.42 | 04/30/13 |
| A0846 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,720,622,716.42 | 05/01/14 |
| A0847 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,728,610,457.01 | 05/08/14 |

| Modification | Type | Description of Modification | Date Signed |
|--------------|----------------|---|--------------------|
| Reference | | Prime Contract No. DE-AC36-08GO28308 | <u>by</u> |
| | | | Contracting |
| A0848 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,729,155,952.50 | 05/08/14 |
| M0849 | Administrative | \$2,729,133,932.30 | 05/15/14 |
| M0849 | Administrative | Deletes and Replaces: Table of Contents in Part II, Section I, Contract Clauses in its entirety. Updated: Part II, Section I, Contract Clauses as follows: Deleted and Replaced: - 52.202-1 Definitions (Nov 2013) - 52.203-7 Anti-Kickback Procedures (Oct 2010) - 52.203-12 Limitation on Payments to Influence Certain Federal Transactions (Oct 2010) - 52.203-13 Contractor Code of Business Ethics and Conduct (Apr 2010) - 52.204-4 Printed or Copied Double-Sided on Postconsumer Fiber Content Paper (May 2011) - 52.204-7 System for Award Management (Jul 2013) - 52.204-10 Reporting Executive Compensation and First-Tier Subcontract Awards (Jul 2013) - 52.209-6 Protecting the Government's Interest When Subcontracting with Contractors Debarred, Suspended, or Proposed for Debarment (Aug 2013) - 52.215-13 Subcontractor Certified Cost or Pricing Data — Modifications (Oct 2010) - 52.215-14 Integrity of Unit Prices (Oct 2010) - 52.219-4 Notice of Price Evaluation for HUBZone Small Business Concerns (Jan 2011) - 52.219-8 Utilization of Small Business Concerns (Jul 2013) - 52.219-9 Small Business Subcontracting Plan (Jul 2013) - 52.219-25 Small Disadvantaged Business Participation Program—Disadvantaged Status and Reporting (Jul 2013) - 52.222-35 Equal Opportunity for Veterans (Sep 2010) - 52.222-35 Equal Opportunity for Veterans (Sep 2010) - 52.222-36 Affirmative Action for Workers With Disabilities (Oct 2010) - 52.222-37 Employment Reports on Veterans (Sep 2010) - 52.222-38 Compliance with Veterans' Employment Reporting Requirements (Sep 2010) - 52.222-35 Pollution Prevention and Right-to-Know Information (May 2011) | 05/15/14 |

| Modification | <u>Type</u> | Description of Modification | Date Signed |
|--------------|-------------|--|-------------|
| Reference | 1,100 | Prime Contract No. DE-AC36-08GO28308 | by |
| | | | Contracting |
| | | | Officer |
| | | - 52.223-10 Waste Reduction Program (May 2011) | |
| | | - 52.225-1 Buy American Act – Supplies (Feb 2009) | |
| | | - 52.225-9 Buy American Act – Construction Materials | |
| | | (Sep 2010) | |
| | | - 52.225-10 Notice of Buy American Act Requirement – | |
| | | Construction Materials (Feb 2009) Alternate I (May | |
| | | 2002) | |
| | | - 52.225-13 Restriction on Certain Foreign Purchases (Jun | |
| | | 2008) | |
| | | - 52.230-2 Cost Accounting Standards (May 2012) | |
| | | - 52.230-6 Administration of Cost Accounting Standards | |
| | | (Jun 2010) | |
| | | - 52.232-17 Interest (Oct 2010) | |
| | | - 52.232-33 Payment by Electronic Funds Transfer – | |
| | | System for Award Management (Jul 2013) - 52.237-11 Accepting and Dispensing of \$1 Coin (Sep | |
| | | 2008) | |
| | | - 52.244-2 Subcontracts (October 2010) Alternate I (Jun | |
| | | 2007) | |
| | | - 52.244-6 Subcontracts for Commercial Items (Dec 2013) | |
| | | - 52.251-1 Government Supply Sources (Apr 2012) | |
| | | - 952.204-2 Security (Mar 2011) | |
| | | - 952.204-71 Sensitive Foreign Nations Controls (Mar | |
| | | 2011) | |
| | | - 952.209-72 Organizational Conflicts of Interest (Aug | |
| | | 2009) Alternate I | |
| | | - 952.211-71 Priorities and Allocations (Atomic Energy) | |
| | | (Apr 2008) | |
| | | - 952.217-70 Acquisition of Real Property (Mar 2011) | |
| | | - 952.247-70 Foreign Travel (Jun 2010) | |
| | | - 952.251-70 Contractor Employee Travel Discounts (Aug | |
| | | 2009) | |
| | | - 970.5204-1 Counterintelligence (Dec 2010) | |
| | | - 970.5215-3 Conditional Payment of Fee, Profit, and | |
| | | Other Incentives – Facility Management Contracts (Aug | |
| | | 2009) Alternate I (Aug 2009) Alternate II (Aug 2009) | |
| | | - 970.5223-4 Workplace Substance Abuse Programs at DOE Sites (Dec 2010) | |
| | | - 970.5227-3 Technology Transfer Mission (Aug 2002) | |
| | | Alternate I (Aug 2002) (Deviation) | |
| | | - 970.5228-1 Insurance – Litigation and Claims (July | |
| | | 2013) | |
| | | - 970.5232-3 Accounts, Records, and Inspection (Dec | |
| | | 2010) Alternate I (Dec 2000) | |
| | | - 970.5235-1 Federally Funded Research and | |
| | | Development Center Sponsoring Agreement (Dec 2010) | |
| | | - 970.5242-1 Penalties for Unallowable Costs (Aug 2009) | |
| | | - 970.5244-1 Contractor Purchasing System (Jan 2013) | |
| | | - 970.5245-1 Property (Jan 2013) Alternate I (Dec 2000) | |

| Modification | <u>Type</u> | Description of Modification | Date Signed |
|------------------|-------------|---|------------------------------|
| <u>Reference</u> | | Prime Contract No. DE-AC36-08GO28308 | by Contracting Officer |
| | | 52.203-15 Whistleblower Protections Under the American Recovery and Reinvestment Act of 2009 (Jun 2010) 52.225-21 Required Use of American Iron, Steel, and Other Manufactured Goods—Buy American Act—Construction Materials (Oct 2010) 52.225-22 Notice of Required Use of American Iron, Steel, and Manufactured Goods—Buy American Act—Construction Materials (Oct 2010) 52.225-23 Required Use of American Iron, Steel, and Manufactured Goods—Buy American Act—Construction Materials under Trade Agreements (Nov 2013) Alternate I) (Oct 2010) 52.225-24 Notice of Required Use of American Iron, Steel, and Manufactured Goods—Buy American Act—Construction Materials Under Trade Agreements (Oct 2010) 52.225-24 Notice of Required Use of American Iron, Steel, and Manufactured Goods—Buy American Act—Construction Materials Under Trade Agreements (Oct 2010) 52.222-54 Employment Eligibility Verification (Aug 2013) Revised to delete Alternate language at bottom of clause because it is already incorporated into body of clause: 52.233-3 Protest after Award (Aug 1996) Alternate I (Jun 1985) 970.5232-2 Payments and Advances (Dec 2000) Alternate II (Dec 2000) Alternate III (Dec 2000) Revised to incorporate Alternate language into the body of the clause: 52.223-3 Hazardous Material Identification and Material Safety Data (Jan 1997) Alternate I (Jul 1995) 52.249-6 Termination (Cost-Reimbursement) (May 2004); Modified By DEAR 970.4905-1 (Dec 2000) Added: 52.204-13 System for Award Management Maintenance (Jul 2013) 52.232-40 Providing Accelerated Payments to Small Business Subcontractors (Dec 2013) Deleted: 52.215-20 Requirements for Cost or Pricing Data or Information Other Than Cost or Pricing Data (Oct 1997) 52.222-14 Toxic Chemical Release Reporting (Aug 2003) 952.224-70 Paperwork Reduction Act (Apr 1994) | Officer |
| A0850 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,729,710,029.43 | 05/15/14 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| A0851 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,729,808,854.67 | 05/16/14 |
| A0852 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,728,090,203.05 | 05/16/14 |
| A0853 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,728,315,870.35 | 05/22/14 |
| A0854 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,728,339,201.42 | 05/22/14 |
| A0855 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,728,515,415.01 | 05/22/14 |
| A0856 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,728,624,541.22 | 05/22/14 |
| A0857 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,732,678,186.22 | 05/22/14 |
| A0858 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,732,723,453.21 | 06/05/14 |
| A0859 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,732,844,812.43 | 06/06/14 |
| A0860 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,732,914,718.28 | 06/06/14 |
| A0861 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,753,979,230.00 | 06/09/14 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| A0862 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,753,978,225.57 | 06/12/14 |
| A0863 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,753,977,182.36 | 06/12/14 |
| A0864 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,753,975,974.31 | 06/13/14 |
| A0865 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,753,923,207.30 | 06/13/14 |
| A0866 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,754,967,126.39 | 06/13/14 |
| A0867 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,755,324,986.15 | 06/19/14 |
| A0868 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,779,350,153.72 | 06/20/14 |
| A0869 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,780,357,283.79 | 07/02/14 |
| A0870 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,783,568,856.79 | 07/02/14 |
| A0871 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,783,598,039.31 | 07/03/14 |
| A0872 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,784,083,476.20 | 07/03/14 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|-------------|--|------------------------------------|
| A0873 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,784,162,487.85 | 07/03/14 |
| A0874 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,784,199,319.89 | 07/03/14 |
| A0875 | Funding | • 140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,784,247,863.58 | 07/07/14 |
| A0876 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,786,596,670.98 | 07/17/14 |
| A0877 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,786.747,420.49 | 07/17/14 |
| A0878 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,786,788,682.63 | 07/17/14 |
| A0879 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,787,100,333.12. | 07/18/14 |
| A0880 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,831,321,585.53. | 07/23/14 |
| A0881 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,831,389,546.70. | 07/23/14 |
| A0882 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,831,531,674.63. | 07/24/14 |
| A0883 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,831, 496,405.36 | 08/05/14 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|----------------|---|------------------------------------|
| A0884 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,831,812,672.80. | 08/11/14 |
| A0885 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,831,812,104.98 | 08/12/14 |
| A0886 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,831,808,144.09 | 08/12/14 |
| A0887 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,831,806,377.05 | 08/13/14 |
| A0888 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,831,805,182.00 | 08/13/14 |
| A0889 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,831,950,813.07. | 08/14/14 |
| A0890 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,835,700,813.07 | 08/14/14 |
| M0891 | Administrative | Deleted and Replaced: Section B.3 Transition Cost, Estimated Costs and Maximum Available Fee. Section J, Attachment A, Personnel (Appendix A) incorporated by reference. Section J. Attachment C, FY 2014 Small Business Subcontracting Plan. Section J, Attachment J, FY 2014 Performance Evaluation and Measurement Plan, Version 2.0, dated March 20, 2014 incorporated by reference. Section J, Attachment K, NREL's Diversity Plan CY 2014 incorporated by reference. Section J, Attachment P, FY 2014 Five-Year Plan dated November 26, 2013 incorporated by reference. | 08/18/14 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|----------------|--|------------------------------------|
| A0892 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,836,695,020.37 | 08/22/14 |
| A0893 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,836,714,437.85 | 08/22/14 |
| A0894 | Funding | • 140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,837,154,437.85 | 08/25/14 |
| A0895 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,837,290,360.18 | 08/26/14 |
| A0896 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,837,314,632.02 | 08/26/14 |
| A0897 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,837,363,175.71 | 08/26/14 |
| A0898 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,837,403,466.97 | 08/26/14 |
| A0899 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,859,627,591.61 | 08/26/14 |
| A0900 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,867,657,591.61 | 08/27/14 |
| M0901 | Administrative | Added: • Incorporates Section H, Clause H.44, Conference Spending Consolidated and Further Continuing Appropriations Act 2013 for Management and Operating Contracts (May 2013) | 09/04/14 |
| A0902 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,871,704,427.61 | 09/05/14 |

| Modification Reference | Type | <u>Description of Modification</u> <u>Prime Contract No. DE-AC36-08GO28308</u> | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| A0903 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,871,800,544.11 | 09/09/14 |
| A0904 | Funding | • I.140 970.5232-4 Obligation of Funds is I.revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,872,050,544.11 | 09/09/14 |
| A0905 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,872,172,146.55 | 09/10/14 |
| A0906 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,894,122,746.26 | 09/13/14 |
| A0907 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,894,957,697.72 | 09/13/14 |
| A0908 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,895,301,862.76 | 09/13/14 |
| A0909 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,896,685,357.90 | 09/13/14 |
| A0910 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,896,707,688.00 | 09/13/14 |
| A0911 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,897,012,056,93 | 09/15/14 |
| A0912 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,897,497,493.82 | 09/15/14 |
| A0913 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,897,822,493.82 | 09/15/14 |
| A0914 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,897,968,124.89 | 09/15/14 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|--|------------------------------------|
| A0915 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,898,744,825.86 | 09/15/14 |
| A0916 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,898,982,689.94 | 09/15/14 |
| A0917 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,899,216,670.52 | 09/15/14 |
| A0918 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,899,284,631.69 | 09/15/14 |
| A0919 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,900,263,033.63 | 09/15/14 |
| A0920 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,900,575,091.88 | 09/15/14 |
| A0921 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,901,545,965.67 | 09/15/14 |
| A0922 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,903,002,276.35 | 09/16/14 |
| A0923 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,904,118,781.20 | 09/16/14 |
| A0924 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,904,293,538.48. | 09/16/14 |
| A0925 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,904,379,930.81 | 09/16/14 |
| A0926 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,904,785,031.16 | 09/17/14 |

| Modification Reference | Туре | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|----------------|---|------------------------------------|
| A0927 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,908,093,283.59 | 09/18/14 |
| A0928 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,909,689,083.59 | 09/25/14 |
| FISCAL | YEAR | 2015 | |
| A0929 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,933,841,397.59 | 10/09/14 |
| A0930 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2, 935,452,541.29. | 10/14/14 |
| A0931 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,937,680,841.29. | 10/22/14 |
| A0932 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,938,028,618.96. | 10/24/14 |
| M0933 | Administrative | This bilateral modification extends the term of the Contract to September 30, 2018; and Deleted and replaced • Section F, Deliveries or Performance | 11/03/14 |
| A0934 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,939,345,279.24. | 11/19/14 |
| A0935 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,966,079.890.87. | 11/20/14 |
| A0936 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,966,142,997.67. | 11/21/14 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|----------------|---|------------------------------------|
| A0937 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,966,075,232.67. | 12/09/14 |
| M0938 | Administrative | The following corrections are made to modification 933: Increases the total award value from \$2.8 billion to \$3.6 billion. Paragraph B.3, Transition Cost, Estimated Costs and Maximum Available Fee, is hereby deleted and replaced to update the Total Available Fee – Research and Development Option Period table. Corrected to provide the complete description of Section F as captured in the signed version of Modification attached in Stripes. Deleted and Replaced: Part I, Section H, Special Contract Requirements, Clause H.9, Responsible Corporate Official, reflects a change to the responsible corporate official. Section J, Attachment I, Subcontracts, Purchase Orders And Other Actions Requiring DOE Review and Approval and Other Agreement Between the Parties, to reflect a change to the dollar threshold in paragraph (h). Added: Clause I.160 – 52.203-17, Contractor Employee Whistleblower Rights and Requirement to Inform Employees of Whistleblower Rights (Apr 2014), is added by reference to Part II, Section I, Contract Clauses, Table of Contents, and is added to Part II, Section I, Contract Clauses. Incorporates by reference Section J, Attachment J, FY2015 Performance Evaluation and Measurement Plan, dated December 11, 2014, Rev 2. | 12/17/14 |
| A0939 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,978,253,259.62 | 12/18/14 |
| A0940 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,980,375,060.99 | 12/19/14 |
| A0941 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,980,482,827.98 | 12/22/14 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|-------------|---|------------------------------------|
| A0942 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,980,904,687.79 | 12/22/14 |
| A0943 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$2,981,079,300.41 | 12/23/14 |
| A0944 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,008,764,763.66 | 01/21/15 |
| A0945 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,010,226,527.93 | 01/22/15 |
| A0946 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,010,420,702.69 | 01/23/15 |
| A0947 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,010,450,246.38 | 01/23/15 |
| A0948 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,010,450,246.62 | 01/26/15 |
| A0949 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,010,514,324.29 | 02/02/15 |
| A0950 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,011,314,233.12 | 02/03/15 |
| A0951 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,011,680,413.46 | 02/03/15 |
| A0952 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,011,512,408.84 | 02/05/15 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|-----------------------|--|------------------------------------|
| A0953 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,011,493,845.97 | 02/05/15 |
| A0954 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,011,492,040.93 | 02/09/15 |
| A0955 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,011,488,077.33 | 02/09/15 |
| A0956 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,011,481,324.54 | 02/10/15 |
| A0957 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,011,480,328.15 | 02/10/15 |
| A0958 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,011,477,915.50 | 02/11/15 |
| A0959 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,012,157,527.15 | 02/12/15 |
| A0960 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,012,657,609.61 | 02/12/15 |
| A0961 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,012,851,784.37 | 02/18/15 |
| M0962 | Administrative | Deleted and Replaced: Clause H.42, Non-Federal Agreement for Commercializing Technology (Pilot), Paragraph 12, Termination of ACT Authority, is deleted and replaced to update the termination date. Section J, Attachment C, Small Business | 03/04/15 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting |
|---------------------------|-------------|--|----------------------------|
| | | Subcontracting Plan: The FY2014 Small Business Subcontracting Plan is hereby deleted and replaced with the FY2015 Small Business Subcontracting Plan. Deleted: Clause I.160 – 52.203-17, Contractor Employee Whistleblower Rights and Requirement to Inform Employees of Whistleblower Rights (Apr 2014), is deleted from Part II, Section I, Contract Clauses, Table of Contents, and from Part II, Section I, Contract Clauses and are marked as [RESERVED]. Incorporates by reference: Section J, Attachment J, FY 2015 Performance Evaluation And Measurement Plan dated February 13, 2015 Rev 3. Section J, Attachment L, Employee Concerns Program Plan dated January 22, 2015. Section J, Attachment P, NREL's Five -Year Plan (FY15-FY19) dated October 23, 2014, Rev 2. Section J, Attachments Q and R, Organizational Conflicts of Interest Management Plan and Implementation Program, Volumes I and II, dated | Officer |
| A0963 | Funding | October 6, 2014. • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,032,203,866.91 | 03/05/15 |
| A0964 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,032,460,092.48 | 03/05/15 |
| A0965 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,032,532,908.01 | 03/06/15 |
| A0966 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,032,430,022.32 | 03/13/15 |
| A0967 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,033,121,686.17 | 03/17/15 |
| A0968 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,033,789,911.56 | 03/18/15 |

| Modification Reference | <u>Type</u> | <u>Description of Modification</u> <u>Prime Contract No. DE-AC36-08GO28308</u> | Date Signed by Contracting Officer |
|---------------------------|-------------|---|------------------------------------|
| A0969 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,033,848,163.99 | 03/18/15 |
| A9070 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,057,703,321.52 | 03/19/15 |
| A0971 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,057,709,139.97 | 03/20/15 |
| A0972 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,063,281,352.97 | 04/01/15 |
| A0973 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,063,376,657.77 | 04/02/15 |
| A0974 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,063,473,745.15 | 04/06/15 |
| A0975 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,063,673,745.15 | 04/07/15 |
| A0976 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,063,866,372.30 | 04/08/15 |
| A0977 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,064,837,246.09 | 04/08/15 |
| A0978 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,064,835,066.97 | 04/10/15 |
| A0979 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,064,831,022.45 | 04/13/15 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|-------------|---|------------------------------------|
| A0980 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,064,830,920.88 | 04/13/15 |
| A0981 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,064,828,710.41 | 04/13/15 |
| A0982 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,064,812,331.83 | 04/16/15 |
| A0983 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,064,809,628.02 | 04/17/15 |
| A0984 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,064,808,003.28 | 04/17/15 |
| A0985 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,064,767,662.90 | 04/17/15 |
| A0986 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,098,705,560.94 | 04/21/15 |
| A0987 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,098,897,702.69 | 04/22/15 |
| A0988 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,098,946,093.95 | 04/22/15 |
| A0989 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,099,249,006.57 | 04/22/15 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| A0990 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,097,538,362.63 | 05/05/15 |
| A0991 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,108,528,789.11 | 05/06/15 |
| A0992 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,109,039,294.75 | 05/07/15 |
| A0993 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,109,080,556.89 | 05/07/15 |
| A0994 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,109,527,182.13 | 05/08/15 |
| A0995 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,109,611,742.13 | 05/14/15 |
| A0996 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,109,700,977.32 | 05/14/15 |
| A0997 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,109,772,727.72 | 05/19/15 |
| A0998 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,109,806,618.98 | 05/19/15 |
| A0999 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,110,000,793.74 | 05/20/15 |
| A1000 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,110,222,561.73 | 05/20/15 |

| Modification | <u>Type</u> | Description of Modification | Date Signed |
|------------------|-------------|---|------------------------------|
| <u>Reference</u> | | Prime Contract No. DE-AC36-08GO28308 | by Contracting Officer |
| A1001 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,141,606,146.95 | 06/03/15 |
| A1102 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,145,442,713.72 | 06/04/15 |
| A1003 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,145,462,131.20 | 06/04/15 |
| A1004 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,145,607,762.27 | 06/04/15 |
| A1005 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,145,724,267.12 | 06/04/15 |
| A1006 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,147,985,274.12 | 06/10/15 |
| A1007 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,350,370.76 | 6/10/15 |
| A1008 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,561,327.07 | 06/11/15 |
| A1009 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,558,793.11 | 06/12/15 |
| A1010 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,536,162.23 | 06/15/15 |
| A1011 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,527,946.82 | 06/15/15 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|-------------|---|------------------------------------|
| A1012 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,514,052.54 | 06/15/15 |
| A1013 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,514,014.58 | 06/15/15 |
| A1014 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,499,641.10 | 06/15/15 |
| A1015 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,494,405.26 | 06/15/15 |
| A1016 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,494,275.79 | 06/15/15 |
| A1017 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149.491,313.66 | 06/16/15 |
| A1018 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,378,736.65 | 06/16/15 |
| A1019 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,374,311.03 | 06/16/15 |
| A1020 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,367,525,40 | 06/16/15 |

| Modification Reference | Туре | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| A1021 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,365,909.83 | 06/16/15 |
| A1022 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,365,228.74 | 06/17/15 |
| A1023 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,362,016.11 | 06/17/15 |
| A1024 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,360,788.92 | 06/17/15 |
| A1025 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,338,677.58 | 06/17/15 |
| A1026 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,336,376.07 | 06/07/15 |
| A1027 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,334,437.56 | 06/17/15 |
| A1028 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,333,936.01 | 06/17/15 |
| A1029 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,423,568.51 | 06/18/15 |
| A1030 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,150,006,092.78 | 06/19/15 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|----------------|--|------------------------------------|
| A1031 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,150,140,558.80 | 06/19/15 |
| A1032 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,149,938,225.56 | 06/22/15 |
| M1033 | Administrative | Deleted and Replaced: Section J, Attachment B, Key Personnel, is hereby deleted and replaced to update the Deputy Laboratory Director/COO Clause I.111 − 970.5204-3 − Access to and Ownership of Records (JUL 2005) is hereby deleted from Part II, Section I, Contract Clauses, and is replaced in Part II, Section I, Clauses with I.111 − 970.5204-3 − Access to and Ownership of Records (OCT 2014) (DEVIATION) Added: Clause I.161 − 52.203-17 − Contractor Employee Whistleblower Rights and Requirement To Inform Employees of Whistleblower Rights (Apr 2014), is hereby added to Part II, Section I, Contract Clauses Incorporates by reference: Section J, Attachment J, FY 2015 Performance Evaluation And Measurement Plan dated February 13, 2015 Rev 3, is hereby deleted and the FY 2015 Performance Evaluation And Measurement Plan dated May 11, 2015, Rev 4, is hereby incorporated into this contract by reference Section J, Attachment F, Operating and Administrative Requirements (List B) is deleted and replaced as follows to reflect one deletion: Deleted DOE 0 412.1 CRD Work Authorization System Population Population | 07/06/15 |
| A1034 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,157,456,947.28 | 07/07/15 |
| A1035 | Funding | Deobligation • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,157,456,794.24 | 07/08/15 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|--|---|------------------------------------|
| A1036 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,157,456,478.04 | 07/09/15 |
| A1037 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,157,453,990.00 | 07/09/15 |
| A1038 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,157,451,993.31 | 07/09/15 |
| A1039 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,157,451,612.57 | 07/09/15 |
| A1040 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,157,732,000.92 | 07/15/15 |
| A1041 | Funding (Correction to Mod 1040) | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,157,732,000.92 | 07/16/15 |
| A1042 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,158,693,826.83 | 07/16/15 |
| A1043 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,158,718,098.67 | 07/16/15 |
| A1044 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,158,756,933.62 | 07/16/15 |
| A1045 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,159,006,933.62 | 07/17/15 |
| A1046 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,159,380,629.59 | 07/22/15 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|--|---|
| A1047 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,159,477,716.97 | 07/22/15 |
| A1048 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,159,497,983.69 | 07/23/15 |
| A1049 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,159,506,721.55 | 08/05/15 |
| A1050 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,159, 642,643.88 | 08/06/15 |
| A1051 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,194,980,671.52 | 08/07/15 |
| A1052 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,195,420,850.02 | 08/12/15 |
| A1053 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,195,519,879.15 | 08/13/15 |
| A1054 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,195,616,761.67 | 08/13/15 |
| A1055 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,195,705,676.23 | 08/13/15 |
| A1056 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,195,705,676.23 | 08/18/15 |
| A1057 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,204,243,798.98 | 08/20/15 |
| A1058 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,205,214,672.77 | 08/21/15 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| A1059 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,205,311,545.59 | 08/21/15 |
| A1060 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,206,282,419.38 | 08/21/15 |
| A1061 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,206,573,681.52 | 08/21/15 |
| A1062 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,213,885,746.84 | 09/04/15 |
| A1063 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,213,982,834.22 | 09/05/15 |
| A1064 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,215,904,193.44 | 09/05/15 |
| A1065 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,217,020,698.29 | 09/05/15 |
| A1066 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,217,106,852.66 | 09/05/15 |
| A1067 | Funding | I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,217,301,998.29 | 09/05/15 |
| A1068 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,217,309,279.84 | 09/05/15 |
| A1069 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,217,382,095.37 | 09/05/15 |
| A1070 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,217,514,669.16 | 09/05/15 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|---------|---|------------------------------------|
| A1071 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,217,602,047.80 | 09/06/15 |
| A1072 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,217,877,047.80 | 09/06/15 |
| A1073 | Funding | Deobligation I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,217,582,029.76 | 09/08/15 |
| A1074 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,217,776,204.52 | 09/11/15 |
| A1075 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,217,824,748.21 | 09/13/15 |
| A1076 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,217,921,835.59 | 09/13/15 |
| A1077 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,217,960,670.54 | 09/13/15 |
| A1078 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,218,446,107.43 | 09/13/15 |
| A1079 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,219,110,076.36 | 09/13/15 |
| A1080 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,219,124,639.47 | 09/14/15 |
| A1081 | Funding | • I.140 970.5232-4 Obligation of Funds is revised to reflect the amount presently obligated by the Government with respect to this contract as being \$3,219,998,425.88 | 09/14/15 |

incorporated into this contract by reference.

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|----------------|--|------------------------------------|
| | | Section J, Attachment K Calendar Year 2014 Diversity Plan is deleted and replaced with Calendar Year 2015 Diversity Plan and is incorporated into this contract by reference. | |
| A1089 | Funding | • I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,278,597,127.48 obligated and reflects letter mods dated 10/15/15 and 10/21/15. | 10/30/15 |
| A1090 | Funding | • I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,324,846,883.26 obligated and reflects letter mods dated 11/04/15, 11/10/15 and 11/19/15. | 11/25/15 |
| M1091 | Administrative | Deleted and Replaced: Section J, Attachment B, Key Personnel, is deleted and replaced with an updated listing of Key Personnel. Section J, Attachment P, Five Year Plan, FY 15 through FY19, Rev 2 dated October 23, 2014 is deleted and the Five Year Plan, FY16 through FY20, dated November 5, 2015, is incorporated into this contract by reference. | 12/03/2015 |
| A1092 | Funding | • I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,348,124,815.13 obligated and reflects letter mod dated 12/01/15, 12/09/15 and 12/14/15. | 12/22/2015 |
| A1093 | Funding | • I.140 970.5232-6 Obligation of Funds reflects total amount of \$3,348,221,902.51 obligated and reflects letter mod dated 12/16/15 (see letter dated 01/08/16 for updates to 12/9/15 and 12/16/15). | 12/23/2015 |
| A1094 | Funding | • I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,348,243,747.17 obligated and reflects letter mod dated 01/08/16. | 01/15/2016 |
| A1095 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,348,343,747.17 obligated and is inconsistent with letter mod dated 01/12/16. | 01/20/2016 |
| A1096 | Funding | • I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,353,919,667.94 obligated and reflects letter mods dated 01/08/16, 01/12/16, 01/14/16 and 01/20/16. | 01/27/2016 |
| A1097 | Funding | • I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,355,130,733.96 obligated and reflects letter mod dated 01/27/16. | 01/27/2016 |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|----------------|--|------------------------------------|
| A1098 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,355,713,258.23 obligated and is inconsistent with letter mod dated 02/08/16. | 02/22/2016 |
| A1099 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,355,732,675.71 obligated and is inconsistent with letter mod dated 02/16/16. | 02/23/2016 |
| A1100 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,355,742,384.45 obligated and is inconsistent with letter mod dated 02/22/16. | 02/23/2016 |
| A1101 | Funding | • Clause I.140 970-5232-4 Obligation of Funds reflects total amount of \$3,407,970,348.22 obligated and is inconsistent with letter mods dated 02/05/16, 02/09/16 and 02/18/16. | 02/24/2016 |
| M1102 | Administrative | Part II, Section I. Clause I.27 52.219-8, Utilization of Small Business Concerns (Jul 2013) is hereby deleted from Part II, Section I, Contract Clauses and is replaced with I.27 52.219-8 Utilization of Small Business Concerns (Oct 2014). Section J, Attachment B, Key Personnel, is deleted and replaced with an updated listing of Key Personnel. Section J, Attachment C, Small Business Subcontracting Plan: The FY 2015 Small Business Subcontracting Plan is deleted and replaced with the FY 2016 Small Business Subcontracting Plan. | 03/02/2016 |
| A1103 | Funding | Clause I 140 970.5232-4 Obligation of Funds reflects total amount of \$3,385,817,787.47 obligated and is inconsistent with letter mod dated 03/21/16. | 03/28/2016 |
| A1104 | Funding | • Clause I 140 970.5232-4 Obligation of Funds reflects total amount of \$3,385,866,331.16 obligated and is inconsistent with letter mod dated 03/21/16. | 03/29/2016 |
| A1105 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,420,187,702.64 obligated and reflects letter mods dated 03/02/16, 03/10/16, 03/16/16 and 03/24/16 | 03/30/2016 |
| M1106 | Administrative | Added: • Clause H.47, Risk Management and Insurance Programs is hereby incorporated into Part I, Section H, Special Contract Requirements. Deleted and Replaced: | 04/20/2016 |
| | | Part II, Section I. Clause I.28, 52.219-9, Small Business Subcontracting Plan (Jul 2013) is deleted | |

| Modification | <u>Type</u> | Description of Modification | Date Signed |
|------------------|-------------|---|------------------------------|
| <u>Reference</u> | | Prime Contract No. DE-AC36-08GO28308 | by Contracting Officer |
| | | from Part II, Section I, Contract Clauses and is replaced with I.28, 52.219-9 Small Business Subcontracting Plan (Oct 2015), Alternate II (Oct 2001). • Section J, Attachment A, Personnel (Appendix A), is deleted and replaced to update Section 3, Paragraph 5, effective January 1, 2016. • Section J, Attachment F, Operating and Administrative Requirements (List B) is deleted and replaced to delete DOE O 350.1 CRDs, Change 1 and to incorporate DOE O 350.1, CRD, Change 5, Contractor Human Resource Management Programs. • Section J, Attachment J, Performance Evaluation and Measurement Plan, FY 2016, Revision 4, dated October 5, 2015, is deleted and replaced with the FY 2016 Performance Evaluation and Measurement Plan dated February 23, 2016. | |
| A1107 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,420,287,702.64 obligated and is inconsistent with letter mod dated 04/14/16. | 04/26/2016 |
| A1108 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,421,170,226.91 obligated and is inconsistent with letter mod dated 04/14/16. | 04/28/2016 |
| A1109 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,421,243,042.44 obligated and is inconsistent with letter mod dated 04/14/16. | 04/28/2016 |
| A1110 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,421,953,719.14 obligated and is inconsistent with letter mod dated 04/25/16. | 04/28/2016 |
| A1111 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,463,288,720.98 obligated and is inconsistent with letter mod dated 04/06/16. | 04/29/2016 |
| A1112 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,530,522,962.56 obligated and reflects letter mods dated 05/05/16, 05/16/16, 05/18/16 and is inconsistent with 05/23/16. | 05/24/2016 |
| A1113 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,532,124,014.57 obligated and reflects letter mods dated 05/05/16, 05/16/16, 05/18/16 and 05/23/16. | 05/24/2016 |
| A1114 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,532,341,882.67 obligated and is inconsistent with letter mods dated 06/09/16 and 06/14/16. | 06/28/2016 |

| Modification | <u>Type</u> | Description of Modification | Date Signed |
|---------------------|----------------|--|--------------------|
| <u>Reference</u> | | Prime Contract No. DE-AC36-08GO28308 | by Contracting |
| | | | Officer |
| A1115 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,534,268,747.92 obligated, reflects letter mod dated 06/21/16 and includes letter mods dated 06/09/16 and 06/14/16. | 06/30/2016 |
| M1116 | Administrative | Deleted and Replaced: | 07/20/2016 |
| | | Section J, Attachment B, Key Personnel, is deleted and replaced to update key personnel information. Section J, Attachment E, Applicable Laws and Regulations (List A) is deleted and replaced to: Add: | |
| | | Section J, Attachment F, Operating and Administrative Requirements (List B) is deleted and replaced to add DOE O 443.1B CRD, Change 1, Protection of Human Research Subjects. | |
| A1117 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,537,384,827.98 obligated and is inconsistent with letter mods dated 07/12/16 and 07/20/16. | 07/26/2016 |
| A1118 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,587,651,630.86 obligated, reflects letter mod dated 07/20/16 and includes letter mod dated 07/06/16. | 07/27/2016 |
| A1119 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,624,169,797.40 obligated and is inconsistent with letter mods dated 08/03/16, 08/11/16, 08/17/16 and 08/26/16. | 08/31/2016 |
| M1120 | Administrative | The total award value is increased from \$3.6 billion to \$4.5 billion. Deleted and Replaced: Section J, List of Documents, Exhibits, and Other Attachments • Attachment F, Operating and Administrative Requirements (List B) Added DOE O 470.5 CRD Insider CRD applicable in part • Section J, Attachment K, NREL's Diversity Plan for CY 2015 is deleted and replaced with the Diversity Plan for CY 2016, incorporated by reference. | 09/23/16 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|-----------------------|---|------------------------------------|
| A1121 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,670,685,000.13 obligated and is inconsistent with letter mods dated 09/08/16, 09/15/16 and 09/26/16. | 09/27/16 |
| A1122 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,678,833,171.24 obligated, reflects letter mod dated 09/26/16 and includes letter mods dated 09/08/16 and 09/15/16. | 09/29/16 |
| FISCAL | YEAR | 2017 | |
| A1123 | Funding | Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,687,666,642.24 obligated and reflects letter mod dated 10/25/16. | 10/27/16 |
| M1124 | Administrative | Deleted and Replaced: Section H, Clause H.3, Employee Compensation: Pay and Benefits, is deleted and replaced to capture updates to Clause H.3. Section H, Clause H.9, Responsible Corporate Official, is deleted and replaced to acknowledge Thomas Sack as the Chair, Alliance Board of Directors. Section J, Attachment F, Operating and Administrative Requirements (List B) is deleted and replaced to delete DOE O 350.2B, Use of Management and Operating or Other Facility Management Contractor Employees for Services to DOE in Washington, D.C. Area. | 11/21/16 |
| A1125 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,696,040,069.63 obligated and is inconsistent with letter mods dated 11/03/16, 11/09/16, 11/16/16 and 11/22/16. | 11/23/16 |
| A1126 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,724,146,821.58 obligated, reflects letter mod dated 11/22/16 and includes letter mods dated 11/03/16, 11/09/16 and 11/16/16. | 11/23/16 |
| A1127 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,811,346,717.98 obligated and is inconsistent with letter mods dated 12/06/16, 12/14/16 and 12/21/16. | 12/22/16 |
| A1128 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,813,231,646.60 obligated, reflects letter mod dated 12/21/16 and includes letter mods dated 12/06/16 and 12/14/16. | 12/22/16 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|-----------------------|---|------------------------------------|
| M1129 | Administrative | Incorporated by Reference: Section J. Attachment J. Performance Evaluation and Measurement Plan, FY 2016 dated February 23, 2016 is deleted, and the FY 2017 Performance Evaluation and Measurement Plan dated December 21, 2016 is incorporated into this contract by reference. | 01/5/17 |
| M1130 | Administrative | Increased the total award value <u>Deleted and Replaced:</u> Section B, Clause B.3, Transition Cost, Estimated Costs and Maximum Available Fee Section C, Clause C.4, Statement of Work Section F, Clause F.1, Term of Contract Section I, Clause I.25, 52.217-9, Option to Extend the Term of the Contract Section J, Attachment H, Performance Guarantee Agreement | 01/18/17 |
| A1131 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,862,205,907.44 obligated and is inconsistent with letter mods dated 01/24/17, 01/19/17, 01/11/17 and 01/04/17. | 01/25/17 |
| A1132 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,864,647,319.87 obligated, reflects letter mod dated 01/24/17 and includes letter mods dated 01/19/17, 01/11/17 and 01/04/17. | 01/26/17 |
| A1133 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,889,390,720.30 obligated and is inconsistent with letter mods dated 02/22/17, 02/05/17 and 02/08/17. | 02/23/17 |
| A1134 | <u>Funding</u> | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,891,223,038.27 obligated, reflects letter mod dated 02/22/17 and includes letter mods dated 02/05/17 and 02/08/17. | 02/23/17 |
| A1135 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,892,446,037.62 obligated and is inconsistent with letter mod dated 03/15/17. | 03/15/17 |
| M1136 | Administrative | Deleted and Replaced: Section E, Inspection and Acceptance, is deleted and replaced to remove Clause E.3, Certification, and the Section E, Table of Contents, is updated accordingly. Section G, Contract Administration Data, is deleted and replaced to update Clause G.2, Contract Administration, to remove Clauses G.4, Monthly Cost Reports and Clause G.5, Indirect Charges. The Section G, Table of Contents is updated accordingly. | 03/20/17 |

| Modification | Type | Description of Modification | Date Signed |
|--------------|----------------|--|------------------------|
| Reference | Турс | Prime Contract No. DE-AC36-08GO28308 | by Contracting Officer |
| | | Section J, Attachment C, Small Business Subcontracting Plan: The FY 2016 Small Business Subcontracting Plan is deleted and replaced with the FY 2017 Small Business Subcontracting Plan. Section J, Attachment D, Special Financial Institution Account Agreement: The agreement as executed on August 11, 2009, and as subsequently modified, is incorporated by reference. Added: | |
| | | Section H, Clause H.48, Contractor's Performance of Obligations under DOE/GFO's Small Generator Interconnection Agreement with Public Service Company of Colorado (SGIA385-0.0.0) for NREL/NWTC Wind and Solar Generation Project, is hereby incorporated into Part I, Section H, Special Contract Requirements and Part I, Section H, Special Contract Requirements, Table of Contents. | |
| A1137 | <u>Funding</u> | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,893,786,779.45 obligated and includes letter dated 03/22/17. | 03/22/17 |
| A1138 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,915,663,249.92 obligated and includes letter dated 03/24/17. | 03/24/17 |
| A1139 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,915,847,715.94 obligated and includes letter dated 04/04/17. | 04/04/17 |
| A1140 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,920,668,329.19 obligated and includes letter dated 04/18/17. | 04/18/17 |
| A1141 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,936,161,809.42 obligated and includes letter dated 04/21/17. | 04/25/17 |
| M1142 | Administrative | Section H: Special Contract Requirements: <u>Deleted:</u> H.14 Strengthening Federal Environmental, Energy, and Transportation Management, Executive Order 13423 H.23 Compliance with Internet Protocol Version 6 (IPv6) in Acquiring Information Technology H.24 Activities During Contract Transition (Special) H.30 Incurrence of Relocation Costs Prior to the Initiation of M&O Activities H.35 Special provisions relating to work funded under American Recovery and Reinvestment Act of 2009 (APR 2009) | 05/16/17 |

| Modification | Type | Description of Modification | Date Signed |
|--------------|-------|---|--------------------|
| Reference | 1,100 | Prime Contract No. DE-AC36-08GO28308 | by |
| | | | Contracting |
| | | | Officer |
| | | H.38 Contractor's Obligations Regarding Data | |
| | | Furnished Under DOE Cooperative Agreement Number | |
| | | DE-FC36-04GO14285 | |
| | | H.41 Contractor's Obligations Regarding Data | |
| | | Furnished by Grantees Under DOE Funding Opportunity | |
| | | Announcement DE-FOA-0000058 | |
| | | H.44 CONFERENCE SPENDING CONSOLIDATED AND EXPERIENCE SPENDING CO | |
| | | AND FURTHER CONTINUING APPROPRIATIONS | |
| | | ACT, 2013 FOR MANAGEMENT AND OPERATING CONTRACTS (MAY 2013) | |
| | | Deleted and Replaced with Revised Corporate Clauses: | |
| | | H.1 No Third Party Beneficiaries replaced with H.1 No | |
| | | Third Party Beneficiaries (DOE-H-2002, Oct 2014) | |
| | | H.4 Post Contract Responsibilities for Pension and | |
| | | Other Benefit Programs replaced with H.4 Post | |
| | | Contract Responsibilities for Pension and Other | |
| | | Benefit Programs (DOE-H-2004, Oct 2014) | |
| | | H.5 Labor Relations replaced with H.5 Labor Relations | |
| | | (DOE-H-2028, Oct 2014) | |
| | | H.10 Alternative Disputes Resolution replaced with | |
| | | H.10 Alternative Disputes Resolution (DOE-H-2033, | |
| | | Oct 2014) 11.1.1. Allocation Of Bosmansibility, And Liebility For | |
| | | H.11 Allocation Of Responsibility And Liability For Contractor And U.S. Department Of Energy (DOE) | |
| | | Environmental Compliance Activities replaced with | |
| | | H.11 Contractor Acceptance of Notices of Violation or | |
| | | Alleged Violations, Fines, and Penalties (DOE-H- | |
| | | 2014, Oct 2014) | |
| | | H.16 Lobbying Restriction (Energy And Water Act) | |
| | | (2007) replaced with H.16 Lobbying Restriction (DOE- | |
| | | H-2076, Oct 2014) | |
| | | H.45 Conference Management replaced with H.45 | |
| | | Conference Management (DOE-H-2068, Oct 2014) | |
| | | Deleted and Updated with Content Changes: | |
| | | H.3 Employee Compensation: Pay and Benefits | |
| | | H.12 Long-Range Planning, Program Development and | |
| | | Budgetary Administration | |
| | | H.13 Standards of Contractor Performance Evaluation H.25 Special Financial Institution Account Agreement | |
| | | H.25 Special Financial Institution Account Agreement H.28 Employee Concerns Program | |
| | | H.28 Employee Concerns Frogram H.34 Temporary Laboratory Closures at NREL | |
| | | H.36 Use of Force by Protective Force Personnel | |
| | | H.37 Privately-Funded Technology Transfer | |
| | | H.39 Applicability Of I.158 52.234-4 Earned Value | |
| | | Management System (Jul 2006) | |
| | | H.43 Privacy Act Records | |
| | | H.48 Contractor's Performance of Obligations under | |

| Modification Reference | <u>Type</u> | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|----------------|--|------------------------------------|
| | | DOE/GFO's Small Generator Interconnection Agreement with Public Service Company of Colorado (SGIA385-0.0.0) for NREL/NWTC Wind and Solar Generation Project Section J: List of Documents, Exhibits, and Other Attachments: Deleted and Replaced: • Section J, Attachment A, Personnel (Appendix A) effective January 1, 2016 is hereby deleted and Personnel (Appendix A), effective May 15, 2017 is | |
| | | hereby incorporated into this contract by reference. Section J, Attachment F, Operating and Administrative Requirements (List B) is deleted and replaced to delete DOE O 483.1A CRD DOE Cooperative Research and Development Agreements and to add DOE O 483.1B CRD DOE Cooperative Research and Development Agreements. Section J, Attachment J, Performance Evaluation and Measurement Plan, FY 2017 dated December 21, 2016 is deleted, and the FY 2017 Performance Evaluation and Measurement Plan dated March 14, 2017 is incorporated into this contract by reference. | |
| A1143 | <u>Funding</u> | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,938,496,402.50 obligated and includes letter dated 05/19/17. | 05/19/17 |
| A1144 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,951,270,872.70 obligated and includes letter dated 05/23/17. | 05/23/17 |
| A1145 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,956,021,925.82 obligated and includes letter dated 06/16/17. | 06/16/17 |
| A1146 | <u>Funding</u> | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,956,680,865.51 obligated and includes letter dated 06/21/17. | 06/21/17 |
| A1147 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,979,981,201.06 obligated and includes letter dated 06/23/17. | 06/23/17 |
| A1148 | <u>Funding</u> | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$3,981,833,947.63 obligated and includes letter dated 07/20/17. | 07/20/17 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|----------------|---|------------------------------------|
| A1149 | <u>Funding</u> | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,047,491,545.06 obligated and includes letter dated 07/25/17. | 07/26/17 |
| A1150 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,049,084,448.54 obligated and includes letter dated 08/03/17. | 08/03/17 |
| A1151 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,050,987,943.02 obligated and includes letter dated 08/14/1 | 08/14/17 |
| M1152 | Administrative | Revised: Section C, Description/Specification/Statement of Work Revised: Part II, Section I, Contract Clauses Table of Contents Part II, Section I, Contract Clauses is updated as follows: Added: I.162 52.203-19 Prohibition on Requiring Certain Internal Confidentiality Agreements or Statements (Jan 2017) I.163 52.210-1 Market Research (Apr 2011) I.166 52.22313 Acquisition of EPEAT® - Registered Imaging Equipment (Jun 2014) I.167 52.223-14 Acquisition of EPEAT® - Registered Televisions (Jun 2014) I.168 52.223-16 Acquisition of EPEAT® - Registered Personal Computer Products (Oct 2015) Alternate 1 (Jun 2014) I.169 52.223-18 Encouraging Contractor Policies to Ban Text Messaging While Driving (Aug 2011) I.170 52.223-19 Compliance With Environmental Management Systems (May 2011) I.171 52.225-8 Duty-Free Entry (Oct 2010) I.172 52.232-39 Unenforceability of Unauthorized Obligations (Jun 2013) I.174 970.5225-1 Compliance with Export Control Laws and Regulations (Nov 2015) | 08/23/17 |
| | | Deleted and RESERVED: I.13 52.204-5 Women-Owned Business Other Than Small Business (May 1999) I.14 52.204-7 System for Award Management (Jul 2013) I.25 52.217-9 Option to Extend the Term of the | |

| Modification | Type | Description of Modification | Date Signed |
|------------------|------|---|----------------|
| <u>Reference</u> | 1,00 | Prime Contract No. DE-AC36-08GO28308 | by |
| | | | Contracting |
| | | Contract (May 2000) | <u>Officer</u> |
| | | Contract (Mar 2000) • I.30 52.219-25 Small Disadvantaged Business | |
| | | Participation Program – Disadvantaged Status and | |
| | | Reporting (Jul 2013) | |
| | | • I.42 52.222-38 Compliance with Veterans' | |
| | | Employment Reporting Requirements (Sep 2010) | |
| | | • I.58 52.225-10 Notice of Buy American Act Requirement – Construction Materials (Feb 2009) | |
| | | Alternate I (May 2002) | |
| | | • I.133 970.5227-12 Patent Rights – Management and | |
| | | Operating Contracts, For-Profit Contractor, Advanced | |
| | | Waiver (Aug 2002) | |
| | | • I.152 52.203-15 Whistleblower Protections Under the American Recovery and Reinvestment Act of 2009 (Jun | |
| | | 2010) | |
| | | • I.153 52.204-11 American Recovery and Reinvestment | |
| | | Act – Reporting Requirements (Jul 2010) | |
| | | • I.154 52.225-21 Required Use of American Iron, Steel, | |
| | | and Other Manufactured Goods – Buy American Act – | |
| | | Construction Materials (Oct 2010) • I.155 52.225-22 Notice of Required Use of American | |
| | | Iron, Steel, and Manufactured Goods – Buy American | |
| | | Act – Construction Materials (Oct 2010) | |
| | | • I.156 52.225-23 Required Use of American Iron, Steel, | |
| | | and Manufactured Goods – Buy American Act – | |
| | | Construction Materials under Trade Agreements (Nov 2013) Alternate I (Oct 2010) | |
| | | • I.157 52.225-24 Notice of Required Use of American | |
| | | Iron, Steel, and Manufactured Goods – Buy American | |
| | | Act – Construction Materials Under Trade Agreements | |
| | | (Oct 2010) | |
| | | Revised: | |
| | | • I.1 52.252-2 Clauses Incorporated by Reference (Feb | |
| | | 1998) | |
| | | • I.2 52.202-1 Definitions (Nov 2013) (As Modified by | |
| | | DEAR 952.201-2) (Feb 2011) • I.4 52.203-5 Covenant Against Contingent Fees (May | |
| | | 2014) | |
| | | • I.6 52.203-7 Anti-Kickback Procedures (May 2014) | |
| | | • I.7 52.203-8 Cancellation, Rescission, and Recovery of | |
| | | Funds for Illegal or Improper Activity (May 2014) | |
| | | • I.8 52.203-10 Price or Fee Adjustment for Illegal or Improper Activity (May 2014) | |
| | | • I.10 52.203-13 Contractor Code of Business Ethics and | |
| | | Conduct (Oct 2015) | |
| | | • I.11 52.203-14 Display of Hotline Poster(s) (Oct 2015) | |
| | | • I.16 52.204-10 Reporting Executive Compensation and | |

| Modification | Type | Description of Modification | Date Signed |
|--------------|----------|--|-----------------|
| Reference | <u> </u> | Prime Contract No. DE-AC36-08GO28308 | by |
| 110101010 | | | Contracting |
| | | | Officer Officer |
| | | First-Tier Subcontract Awards (Oct 2016) | |
| | | • I.16A 52.204-13 System for Award Management | |
| | | Maintenance (Oct 2016) | |
| | | • I.17 52.209-6 Protecting the Government's Interest | |
| | | When Subcontracting with Contractors Debarred, | |
| | | Suspended, or Proposed for Debarment (Oct 2015) | |
| | | • I.26 52.219-4 Notice of Price Evaluation for HUBZone | |
| | | Small Business Concerns (Oct 2014) | |
| | | • I.27 52.219-8 Utilization of Small Business Concerns | |
| | | (Nov 2016) | |
| | | • I.28 52.219-9 Small Business Subcontracting Plan (Jan | |
| | | 2017) Alternate II (Nov 2016) | |
| | | • I.34 52.222-4 Contract Work Hours and Safety | |
| | | Standards Act - Overtime Compensation (May 2014) | |
| | | • I.35 52.222-20 Contracts for Materials, Supplies, | |
| | | Articles and Equipment Exceeding \$15,000 (May 2014) | |
| | | • I.36 52.222-21 Prohibition of Segregated Facilities | |
| | | (Apr 2015) | |
| | | • I.37 52.222-26 Equal Opportunity (Sep 2016) | |
| | | • I.38 52.222-29 Notification of Visa Denial (Apr 2015) | |
| | | • I.39 52.222-35 Equal Opportunity for Veterans (Oct 2015) | |
| | | • I.40 52.222-36 Equal Opportunity for Workers with | |
| | | Disabilities (Jul 2014) | |
| | | • I.41 52.222-37 Employment Reports on Veterans (Feb | |
| | | 2016) | |
| | | • I.44 52.222-50 Combating Trafficking in Persons (Mar | |
| | | 2015) | |
| | | • I.50 52.223-11 Ozone-Depleting Substances and High | |
| | | Global Warming Potential Hydroflurocarbons (Jun | |
| | | 2016) | |
| | | • I.51 52.223-12 Maintenance, Service, Repair, Or | |
| | | Disposal Of Refrigeration Equipment And Air | |
| | | Conditioners (Jun 2016) | |
| | | • I.56 52.225-1 Buy American – Supplies (May 2014) | |
| | | • I.57 52.225-9 Buy American – Construction Materials | |
| | | (May 2014) | |
| | | • I.62 52.230-2 Cost Accounting Standards (Oct 2015) | |
| | | • I.64 52.232.17 Interest (May 2014) | |
| | | • I.65 52.232.24 Prohibition of Assignment of Claims | |
| | | (May 2014) | |
| | | • I.67 52.233-1 Disputes (May 2014) Alternate 1 (Dec | |
| | | 1991) | |
| | | • I.78 52.244-6 Subcontracts for Commercial Items (Jan 2017) | |
| | | 2017) • 1.90, 952, 204.2. Security Requirements (Aug. 2016) | |
| | | • I.90 952.204-2 Security Requirements (Aug. 2016) | |
| | | • I.116 970.5217-1 Strategic Partnership Projects | |

| Modification | <u>Type</u> | Description | on of Modification | | Date Signed | |
|------------------|-------------|------------------------|---|-------------------------|---------------------|--|
| <u>Reference</u> | <u> </u> | | ntract No. DE-AC36-08GO2830 | <u>8</u> | <u>by</u> | |
| | | | | | Contracting Officer | |
| | | Progra | am (Apr 2015) | | Officer | |
| | | _ | • I.128 970.5227-5 Notice and Assistance Regarding | | | |
| | | | and Copyright Infringement (Dec | | | |
| | | | $970.5227\text{-}10\ \ Patent\ Rights-Ma$ | | | |
| | | • | ting Contracts, Nonprofit Organiz | ation or Small | | |
| | | | ess Firm Contractor (Dec 2000) | Dunings From dies | | |
| | | | 970.5232-6 Strategic Partnership orization (Apr 2015) | Project runding | | |
| | | | 970.5244-1 Contractor Purchasir | ng System (AUG | | |
| | | 2016) | | | | |
| | | , | 970.5245-1 Property (AUG 2016 | (i) Alternate I | | |
| | | , | 2016) | | | |
| | | | 52.234-4 Earned Value Manager | nent System | | |
| | | (Nov : | | ty Vanifiaatier | | |
| | | Oct 201 | 52.222-54 Employment Eligibili | iy verification | | |
| | | | <i>5)</i> | | | |
| | | Revised: | | | | |
| | | Section J, Attachme | List of Documents, Exhibits, an nts | d Other | | |
| | | | ttachment F, Operating and Adu equirements (List B): | ninistrative | | |
| | | Deleted | | | | |
| | | | Unclassified Foreign Visits | in whole | | |
| | | A 11 1 | and Assignments Program | CDD 1: 11 | | |
| | | Added | DOE O 142.3A Chg 1 (MinChg) CRD | CRD applicable in whole | | |
| | | | Unclassified Foreign Visits | in whole | | |
| | | | and Assignments Program | | | |
| | | | Approved: 10/14/10 | | | |
| | | D.1.1 | Chg 1 (MinChg): 01/18/17 | CDD 1: 1: | | |
| | | Deleted | DOE O 151.1C CRD Comprehensive Emergency | CRD applicable in whole | | |
| | | | Management System | III WHOIC | | |
| | | Added | DOE O 151.1D CRD | CRD applicable | | |
| | | | Comprehensive Emergency | in whole | | |
| | | | Management System | | | |
| | | Deleted | Approved: 08/11/16 DOE O 200.1A CRD | CRD applicable | | |
| | | Deteted | Information Technology | in whole | | |
| | | | Management | | | |
| | | Added | DOE O 200.1A Chg 1 | CRD applicable | | |
| | | | (MinChg) CRD | in whole | | |
| | | | Information Technology | | | |
| | | | Management Approved: 12/23/08 | | | |
| | | | Approved: 12/23/08 Chg 1 (MinChg): 01/13/17 | | | |
| | | | | | | |

| Modification | Type | Description | on of Modification | | Date Signed |
|--------------|-------|--------------------------------------|--|----------------|--------------------|
| Reference | 1,500 | Prime Contract No. DE-AC36-08GO28308 | | | by |
| <u> </u> | | 111110 00 | <u> </u> | <u> </u> | Contracting |
| | | | | | Officer |
| | | Deleted | DOE O 205.1B CRD | CRD applicable | |
| | | | Department of Energy Cyber | in part | |
| | | | Security Program | 1 | |
| | | Added | DOE O 205.1B Chg 3 | CRD applicable | |
| | | | (PgChg) CRD | in part | |
| | | | Department of Energy Cyber | | |
| | | | Security Program | | |
| | | | Approved: 05/16/11 | | |
| | | | Chg 3 (PgChg): 04/29/14 | | |
| | | Deleted | DOE O 221.1A CRD | CRD applicable | |
| | | | Reporting Fraud, Waste, and | in whole | |
| | | | Abuse to the Office of | | |
| | | | Inspector General | | |
| | | Added | DOE O 221.1B CRD | CRD applicable | |
| | | | Reporting Fraud, Waste and | in whole | |
| | | | Abuse to the Office of | | |
| | | | Inspector General | | |
| | | | Approved: 09/27/16 | CDD 11 11 | |
| | | Deleted | DOE O 221.2 CRD | CRD applicable | |
| | | | Cooperation with the Office | in whole | |
| | | A 11 1 | of Inspector General | CDD 1: 11 | |
| | | Added | DOE O 221.2A CRD | CRD applicable | |
| | | | Cooperation with the Office | in whole | |
| | | | of Inspector General Approved: 02/25/08 | | |
| | | Deleted | DOE O 227.1 CRD | CRD applicable | |
| | | Defeted | Independent Oversight | in whole | |
| | | | Program Program | III WHOIC | |
| | | Added | DOE O 227.1A CRD | CRD applicable | |
| | | 1 Idaea | Independent Oversight | in whole | |
| | | | Program | III WHOIC | |
| | | | Approved: 12/21/15 | | |
| | | Deleted | DOE O 231.1 CRD | CRD applicable | |
| | | | Environment, Safety and | in whole | |
| | | | Health Reporting | | |
| | | Added | DOE O 231.1B Admin Chg 1 | CRD applicable | |
| | | | CRD | in whole | |
| | | | Environment, Safety and | | |
| | | | Health Reporting | | |
| | | | Approved: 06/27/11 | | |
| | | | Admin Chg 1: 11/28/12 | | |
| | | Deleted | DOE O 232.2 CRD | CRD applicable | |
| | | | Occurrence Reporting and | in whole | |
| | | | Processing of Operations | | |
| | | | Information | 0.7.7. | |
| | | Added | DOE O 232.2A CRD | CRD applicable | |
| | | | Occurrence Reporting and | in part | |
| | | | Processing of Operations | | |
| | | 11 | Information | | |

| Modification | Type | Description | on of Modification | | Date Signed |
|--------------|--------------|-------------|---|-------------------------|-------------|
| Reference | <u>1 ypc</u> | | ntract No. DE-AC36-08GO2830 | 8 | by |
| | | | | _ | Contracting |
| | | | | | Officer |
| | | | Approved: 01/17/17 | | |
| | | Deleted | DOE O 241.1B CRD | CRD applicable | |
| | | | Scientific and Technical | in whole | |
| | | | Information Management | | |
| | | Added | DOE O 241.1B Chg 1 (Admin | CRD applicable | |
| | | | Chg) CRD | in whole | |
| | | | Scientific and Technical | | |
| | | | Information Management Approved: 12/13/10 | | |
| | | | Chg 1 (Admin Chg): 04/26/16 | | |
| | | Deleted | DOE O 243.1B CRD | CRD applicable | |
| | | Defeted | Records Management Program | in part | |
| | | Added | DOE O 243.1B Admin Chg 1 | CRD applicable | |
| | | | CRD | in part | |
| | | | Records Management Program | 1 | |
| | | | Approved: 03/11/13 | | |
| | | | Admin Chg 1: 07/08/13 | | |
| | | Deleted | DOE O 413.1A CRD | CRD applicable | |
| | | | Management Control Program | in whole | |
| | | Added | DOE O 413.1B CRD | CRD applicable | |
| | | | Internal Control Program | in whole | |
| | | Dalatad | Approved: 10/28/08 DOE O 413.2B CRD | CDD annlinghia | |
| | | Deleted | Laboratory Directed Research | CRD applicable in whole | |
| | | | and Development | ili wilole | |
| | | Added | DOE O 413.2C CRD | CRD applicable | |
| | | 114454 | Laboratory Directed Research | in whole | |
| | | | and Development | | |
| | | | Approved: 10/22/15 | | |
| | | Deleted | DOE O 413.3B CRD | CRD applicable | |
| | | | Program and Project | in whole | |
| | | | Management for the | | |
| | | 4 1 1 1 | Acquisition of Capital Assets | CDD 1: 11 | |
| | | Added | DOE O 413.3B Chg 3 (PgChg) | CRD applicable | |
| | | | CRD Program and Project | in whole | |
| | | | Management for the | | |
| | | | Acquisition of Capital Assets | | |
| | | | Approved: 11/29/10 | | |
| | | | Chg 3 (PgChg): 12/20/16 | | |
| | | Deleted | DOE O 414.1D CRD | CRD applicable | \vdash |
| | | Defetted | Quality Assurance | in part | |
| | | Added | DOE O 414.1D Admin Chg 1 | CRD applicable | |
| | | | CRD | in part | |
| | | | Quality Assurance | • | |
| | | | Approved: 04/25/11 | | |
| | | | Admin Chg 1: 05/08/13 | | |

| Modification | Type | Description | on of Modification | | Date Signed |
|--------------|-------|-------------|---|------------------------|-------------|
| Reference | 1,100 | | ntract No. DE-AC36-08GO2830 | 8 | by |
| | | | | | Contracting |
| | | | | | Officer |
| | | Deleted | DOE O 442.2 CRD | CRD applicable | |
| | | | Differing Professional | in whole | |
| | | | Opinions for Technical Issues | | |
| | | | Involving Environmental, | | |
| | | | Safety, and Health Technical | | |
| | | | Concerns | GDD 1: 11 | |
| | | Added | DOE O 442.2 Chg 1 (PgChg) | CRD applicable | |
| | | | CRD | in whole | |
| | | | Differing Professional | | |
| | | | Opinions for Technical Issues Involving Environmental, | | |
| | | | Safety, and Health Technical | | |
| | | | Concerns | | |
| | | | Approved: 07/29/11 | | |
| | | | Chg 1 (PgChg): 10/05/16 | | |
| | | Deleted | DOE O 456.1 CRD | CRD applicable | |
| | | | The Safe Handling of | in part | |
| | | | Unbound Engineered | • | |
| | | | Nanoparticles | | |
| | | Added | DOE O 456.1A CRD | CRD applicable | |
| | | | The Safe Handling of | in whole | |
| | | | Unbound Engineered | | |
| | | | Nanoparticles | | |
| | | D 1 . 1 | Approved: 07/15/16 | CDD 1: 11 | |
| | | Deleted | DOE O 458.1 Change 2 CRD Radiation Protection of the | CRD applicable | |
| | | | Public and the Environment | in part | |
| | | Added | DOE O 458.1 Admin Chg 3 | CRD applicable | |
| | | 7 Idded | CRD | in part | |
| | | | Radiation Protection of the | in part | |
| | | | Public and the Environment | | |
| | | | Approved: 02/11/11 | | |
| | | | Admin Chg 3: 01/15/13 | | |
| | | Added | DOE O 470.3C CRD (U) | CRD applicable | |
| | | | Design Basis Threat (DBT) | in part | |
| | | | Approved: 11/23/16 | | |
| | | Deleted | DOE O 470.4B CRD | CRD applicable | |
| | | | Safeguards and Security | in part | |
| | | Added | Program DOE O 470.4B Chg 2 | CDD opplieskle | <u> </u> |
| | | Added | (MinChg) CRD | CRD applicable in part | |
| | | | Safeguards and Security | in part | |
| | | | Program | | |
| | | | Approved: 07/21/11 | | |
| | | | Chg 2 (MinChg): 01/17/17 | | |
| | | Deleted | DOE O 471.3 CRD | CRD applicable | |
| | | | Identifying and Protecting | in whole | |
| | | | Official Use | | |
| | | | Only Information | | |

| Modification | Type | Description | on of Modification | | Date Signed |
|--------------|------|-------------|--|-------------------------|----------------|
| Reference | | | ntract No. DE-AC36-08GO2830 | <u>8</u> | by |
| | | | | _ | Contracting |
| | | | | | <u>Officer</u> |
| | | Added | DOE O 471.3 Admin Chg 1 CRD Identifying and Protecting Official Use Only Information Approved: 04/09/03 | CRD applicable in whole | |
| | | Deleted | Admin Chg 1: 01/13/11 DOE M 471.3-1 CRD Manual for Identifying And Protecting Official Use Only Information | CRD applicable in whole | |
| | | Added | DOE M 471.3-1 Admin Chg 1 CRD Manual for Identifying and Protecting Official Use Only Information Approved: 04/09/03 Admin Chg 1: 01/13/11 | CRD applicable in whole | |
| | | Deleted | DOE O 472.2 CRD Personnel Security | CRD applicable in part | |
| | | Added | DOE O 472.2 Chg 1 (PgChg) CRD Personnel Security Approved: 07/21/11 Chg 1 (PgChg): 07/09/14 Certified Date: 07/16/15 | CRD applicable in part | |
| | | Deleted | DOE O 473.3 CRD Protection Program Operations | CRD applicable in part | |
| | | Added | DOE O 473.3A CRD Protection Program Operations Approved: 03/23/16 | CRD applicable in part | |
| | | Deleted | DOE O 483.1A CRD DOE Cooperative Research and Development Agreements | CRD applicable in part | |
| | | Added | DOE O 483.1B CRD DOE Cooperative Research and Development Agreements Approved: 12/20/16 | CRD applicable in part | |
| | | Deleted | DOE O 484.1 CRD Reimbursable Work for the Department of Homeland Security | CRD applicable in whole | |
| | | Added | DOE O 484.1 Admin Chg 2 CRD Reimbursable Work for the Department of Homeland Security Approved: 08/17/06 Admin Chg 2: 06/30/14 Certified Date: 01/15/15 | CRD applicable in whole | |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting |
|---------------------------|----------------|---|----------------------------------|
| | | Deleted DOE O 551.1D CRD CRD applicable in whole Added DOE O 551.1D Chg 2 (Minor Revision) CRD official Foreign Travel in whole Approved: 04/02/12 Chg 2 (Minor Revision): 08/09/16 Deleted DOE O 580.1A CRD CRD applicable in whole CRD applicable in whole CRD applicable in whole CRD applicable in whole | Officer |
| A1153 | Funding | Management Program Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,052,315,810.15 obligated and includes letter dated 08/24/17. | 08/24/17 |
| A1154 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,094,940,822.85 obligated and does not include a letter mod. See M1155 for a letter dated 08/28/17 referencing A1154. | 08/29/17 |
| M1155 | Administrative | Administrative Modification 1155 provides the following minor corrections to Admin Mod 1152 and to Financial Mod 1154 only: Remove erroneously placed document titled, Section I, Contract Clauses, under Attachment 4 to Modification 1152, and replace with the correct Section I, Contract Clauses, as originally intended. Remove erroneously placed document titled, Mod 1154 | 09/11/17 |
| A1156 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,103,660,079.68 obligated and includes letter dated 09/20/17. | 09/21/17 |
| A1157 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,124,366,180.24 obligated and includes letter dated 09/25/17. | 09/25/17 |
| A1158 | Funding | Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,127,293,250.16 obligated and includes letter dated 09/26/17. ROW INTENTIONALLY LEFT BLANK | 09/26/17 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|----------------|---|------------------------------------|
| FISCAL | YEAR | 2018 | |
| A1159 | <u>Funding</u> | • SF30 reflects the of \$4,156,127,733.16 for the Total Obligated Amount for this Award. Clause I.140 970.5232-4 will be corrected to reflect the same amount in the next Funding Mod. A letter dated 10/24/17 is included. | 10/24/17 |
| A1160 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,159,266,843.37 obligated and includes letter dated 10/26/17. | 10/26/17 |
| A1161 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,163,926,048.71 obligated and includes letter dated 11/09/17. | 11/09/17 |
| A1162 | <u>Funding</u> | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,164,746,240.26 obligated and includes letter dated 11/16/17. | 11/16/17 |
| M1163 | Administrative | Deleted and Replaced: Table of Contents in Part II, Section I, Contract Clauses in its entirety. Added: Clause I. 164 52.215-23, Limitations on Pass-Through Charges (Oct 2009) Clause I.165 52.222-40, Notification of Employee Rights Under the National Labor Relations Act (Dec 2010) Deleted and Replaced: Section J, Attachment E, Applicable Laws and Regulation (List A) Incorporated by Reference: Section J, Attachment J, Performance Evaluation and Measurement Plan. FY 2017 Performance Evaluation and Measurement Plan, dated March 14, 2017 is deleted and replaced with the FY 2018 Performance Evaluation and Measurement Plan, dated September 30, 2017 and is incorporated by reference. Updated: Section J, List of Documents, Exhibits, and Other Attachments is updated with formatting changes and administrative corrections to attachment modification numbering (Admin Mod Headers). | 12/06/17 |

| Modification | <u>Type</u> | Description of Modification | Date Signed |
|------------------|-------------|---|------------------------------|
| <u>Reference</u> | | Prime Contract No. DE-AC36-08GO28308 | by Contracting Officer |
| | | • Attachment B, Key Personnel (from M1142 to M1116) | |
| | | Attachment C, Small Business Subcontracting Plan (from M1130 to M1136) | |
| | | Attachment D, Special Financial Institution Account Agreement for Use with the Payments Cleared Financing Agreement (from M1130 to M1136) | |
| | | Attachment G, Sensitive Foreign Nations Control (from M1130 to M000) | |
| | | Attachment I, Subcontracts, Purchase Orders and Other Actions Requiring DOE Review and Approval and Other Agreements Between the Parties (from M1130 to M938) | |
| | | Attachment K, Diversity Plan (from M1130 to M1120) | |
| | | Attachment L, Employee Concerns Program (ECP) Implementation Plan (from M1130 to M962) | |
| | | Attachment M, Equal Opportunity Program (from M1130 to M004) | |
| | | Attachment N, Supplemental Requirements to Laws, Regulations, and DOE Directives (from M1130 to M002) | |
| | | Attachment O Reserved – (formerly Commercialization Plan) (from M1130 to M732) | |
| | | Attachment P, Five Year Strategic Plan (from M1130 to M1091) | |
| | | Attachment Q, Organizational Conflict Of Interest Management Plan (from M1130 to M962) | |
| | | Attachment R, Organizational Conflict of Interest Implementation Program (from M1130 to M962) | |
| | | Attachment S, Human Resources Compensation Plan (from M1130 to M009) | |
| | | Attachment T, Human Resources Workforce Plan (from M1130 to M009) | |
| | | Attachment U, Treaties and International Agreements (from M1130 to M732) | |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|----------------|---|------------------------------------|
| A1164 | <u>Funding</u> | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,198,891,771.98 obligated and includes letter dated 12/14/17. | 12/14/17 |
| A1165 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,201,366,318.40 obligated and includes letter dated 12/20/17. | 12/21/17 |
| M1166 | Administrative | Deleted and Replaced: Section H, Clause H.3, Employee Compensation: Pay and Benefits, with updated version to reflect changes to contractual requirements related to the Employee Benefits Cost Study as approved by the Deputy Secretary under the Regulatory Reform Initiative. Section J, Attachment I, Subcontracts, Purchase Orders and Other Actions Requiring DOE Review and Approval and Other Agreements Between the Parties, with updated version accepting NREL's proposed changes dated November 30, 2017. Added: DEAR 952.204-77 Computer Security (Aug 2006), in its entirety, as Section I, Clause I.173, as required by DOE policy. | 12/28/17 |
| A1167 | Funding | Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,216,667,085.28 obligated and includes letter dated 01/12/18. | 01/12/18 |
| A1168 | <u>Funding</u> | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,218,946,130.99 obligated and includes letter dated 01/18/18. | 01/18/18 |
| M1169 | Administrative | Added: Section H, Clause H.49, Contractor Assurance System, is incorporated into Part I, Section H, Special Contract Requirements. Deleted and Replaced: Section I, Clause I.26 52.219-4 Notice of Price Evaluation Preference for HUBZone Small Business Concerns (Oct 2014) is updated with administrative corrections. Section I, Clause I. 34 52.222-4 Contract Work Hours and Safety Standards – Overtime Compensation (May 2014) is updated with administrative corrections. Section I, Clause I.78 52.244-6 Subcontracts for Commercial Items (Nov 2017) is deleted and replaced. | 01/30/18 |

| Modification Reference | Type | Description of Modification Prime Contract No. DE-AC36-08GO28308 | Date Signed by Contracting Officer |
|---------------------------|----------------|--|------------------------------------|
| | | Section J, Attachment F, Operating and Administrative Requirements (List B) is deleted and replaced. Deleted DOE O 413.3B Chg 3 (PgChg) CRD Program and Project Management for the Acquisition of Capital Assets Approved: 12/20/16 Added DOE O 413.3B Chg 4 (MinChg) CRD Program and Project Management for the Acquisition of Capital Assets Approved: 10/13/17 Section J, Attachment C, FY 2017 Small Business | |
| A1170 | Funding | Subcontracting Plan, is deleted and replaced with FY 2018 Small Business Subcontracting Plan. • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,220,597,811.45 obligated and | 02/02/18 |
| A1171 | Funding | Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,249,907,913.94 obligated and includes letter dated 02/07/18. | 02/08/18 |
| A1172 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,251,277,132.97 obligated and includes letter dated 02/14/18. | 02/15/18 |
| A1173 | <u>Funding</u> | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,277,129,439.25 obligated and includes letter dated 03/08/18. | 03/08/18 |
| A1174 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,285,581,400.79 obligated and includes letter dated 03/16/18. | 03/16/18 |
| M1175 | Administrative | Deleted and Replaced: Section J, Attachment J, Performance Evaluation and Measurement Plan. FY 2018 Performance Evaluation and Measurement Plan, dated September 30, 2017 is deleted and replaced with the FY 2018 Performance Evaluation and Measurement Plan, dated March 12, 2018 and is incorporated by reference. | 03/20/18 |

| A1176 | <u>Funding</u> | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,313,922,829.10 obligated and includes letter dated 03/12/18. | 04/13/18 |
|-------|----------------|---|----------|
| A1177 | <u>Funding</u> | Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,317,266,534.57 obligated and includes letter dated 04/19/18 | 04/20/18 |
| M1178 | Administrative | Peleted and Replaced: Section H, Special Contract Requirements Clause H.42 Non-Federal Agreement for Commercializing Technology (Pilot) is deleted and replaced with H.42 Agreements for Commercializing Technology. Section J, Attachment E, Applicable Laws and Regulations (List A) is deleted and replaced: Section J, Attachment E, Applicable Laws and Regulations (List A) is deleted and replaced: Section J, Attachment E, Applicable Laws and Regulations (List A) is deleted and replaced: Section J, Attachment F, Operating and Administrative Requirements (List B) is deleted and replaced. Deleted DOE O 350.1 Chg 5 CRD Contractor Human Resource Management Programs Added DOE O 350.1 Chg 6 (MinChg) Contractor Human Resource Management Programs Chapter VIII − Contractor Workplace Substance Abuse Programs CRD Whole Chapter IX − Employee Assistance Programs CRD Applicable in Whole CRD Approved: 09/30/96 Chg 6 (MinChg): O2/01/18 Section J, Attachment K, CY 2016 Diversity Plan is deleted and replaced with the CY 2017 Diversity Plan incorporated by reference. | 05/04/18 |
| A1179 | <u>Funding</u> | Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,347,209,557.57 obligated and includes letter dated 05/11/18. | 05/11/18 |
| A1180 | <u>Funding</u> | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,349,888,364.14 obligated and includes letter dated 05/18/18. | 05/18/18 |

| A1181 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,361,759,219.74 obligated and includes letter dated 06/08/18. | 06/08/18 |
|-------|----------------|---|----------|
| A1182 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,365,046,701.82 obligated and includes letter dated 06/15/18. | 06/15/18 |
| A1183 | Funding | • Clause I.140 970.5232-4 Obligation of Funds reflects total amount of \$4,366,546,701.82 obligated and includes letter dated 06/15/18. | 06/19/18 |
| M1184 | Administrative | Deleted and Replaced: Section J, Attachment J, Performance Evaluation and Measurement Plan. FY 2018 Performance Evaluation and Measurement Plan, dated March 12, 2018, is deleted and replaced with the FY 2018 Performance Evaluation and Measurement Plan, dated June 22, 2018 and is incorporated by reference. | 07/03/18 |

^{*} A007 was processed after modification A008

<u>NOTE:</u> To access the Prime Contract content please visit http://www.nrel.gov/extranet/primecontract The Web page is updated as soon as the files are made available by the Department of Energy Golden Field Office.