Structure Perception in 3D Point Clouds

Preprint

Kenny Gruchalla,¹ Sunand Raghupathi,² and Nicholas Brunhart-Lupo¹

¹ National Renewable Energy Laboratory
² Columbia University

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ABSTRACT
Understanding human perception is critical to the design of effective visualizations. The relative benefits of using 2D versus 3D techniques for data visualization is a complex decision space, with varying levels of uncertainty and disagreement in both the literature and in practice. This study aims to add easily reproducible, empirical evidence on the role of depth cues in perceiving structures or patterns in 3D point clouds. We describe a method to synthesize a 3D point cloud that contains a 3D structure, where 2D projections of the data strongly resemble a Gaussian distribution. We performed a within-subjects structure identification study with 128 participants that compared scatterplot matrices (canonical 2D projections) and 3D scatterplots under three types of motion: rotation, xy-translation, and z-translation. We found that users could consistently identify three separate hidden structures under rotation, while those structures remained hidden in the scatterplot matrices and under translation. This work contributes a set of 3D point clouds that provide definitive examples of 3D patterns perceptible in 3D scatterplots under rotation but imperceptible in 2D scatterplots.

CCS CONCEPTS
• Human-centered computing → Empirical studies in HCI; Empirical studies in visualization.

KEYWORDS
Visual perception, Scatterplots, Human factors, Data visualization, Data analysis, Encoding, Image generation

ACM Reference Format:

1 INTRODUCTION
In practice, data analysts use both 2D and 3D visualization techniques to examine point clouds of abstract data. While 2D visualization is pervasive, 3D visualization is becoming more commonplace with the increasing adoption of tools like ParaView [Ahrens et al. 2005] and immersive virtual environments. When examining 3D point cloud data, the literature offers little clarity on the approach to use: multiple 2D projections versus a 3D projection. We examine the question, can structures exist that are only perceptible in 3D, or are multiple 2D projections always sufficient?

Scatterplots are one of the most common visualization techniques for data analysis [Friendly and Denis 2005; Tufte 1986]. A 2D scatterplot encodes two quantitative variables as points in a two-dimensional graph, mapping one variable to the x-axis and a second variable to the y-axis. Three-dimensional data is often mapped onto three separate 2D scatterplots: an x-y scatterplot, an
x-z scatterplot, and a y-z scatterplot. Alternatively, 3D data can be mapped directly into 3D space and visualized with depth cues. There is very little empirical evidence to suggest whether 2D or 3D scatterplots – and in the case of 3D, which depth cues – better support data analysis. As such, there is generally no consensus in the visualization literature as to when or if 3D scatterplots should be used; however, it is generally accepted that the use of 3D visualization for abstract data can be problematic and requires careful justification [Munzner 2014].

The human vision system evolved to view a three-dimensional world, using various depth cues to interpret 3D structure, including structure-from-motion, stereopsis, vergence, perspective, occlusion, shading, and texture gradient [Howard and Rogers 2012a,b]. Visualization environments that support motion and stereo cues are becoming more accessible with the commoditization of virtual reality. Additionally, the field of immersive analytics [Skarbez et al. 2019] is growing. We have both empirical [Gruchalla 2004] and strong anecdotal evidence [Gruchalla and Brunhart-Lupo 2019] of improved data analysis in real-world settings in immersive environments. However, it is not clear if using 3D scatterplots is justified, particularly with some studies finding a benefit to 3D scatterplots [Arns et al. 1999; Kraus et al. 2019] and others studies showing 2D scatterplots and 3D scatterplot matrices should be preferred [Filho et al. 2017; Sedinmair et al. 2013]. We sought a simple, definitive, and reproducible example that would demonstrate a difference in the perception of a feature in 2D versus 3D scatterplots.

Hypothesis: Structures or patterns can exist in 3D point clouds that are imperceptible in 2D projections but are readily perceptible in 3D scatterplots using some combination of depth cues.

There is a long history of synthesizing data sets to demonstrate the importance of data visualization. In 1973 F.J. Anscombe developed Anscombe’s Quad [Anscombe 1973] to demonstrate the value of visualizing data compared to only using summary statistics. The Quad is a set of four datasets with identical summary statistics (i.e., mean, standard deviation, and correlation), suggesting the datasets are marked similarly. However, visualizing the dataset with 2D scatterplots reveals they are marked differently. Matejka and Fitzmaurice have expanded on this notion, describing an optimization method to develop the Datassaurus Dozen [Matejka and Fitzmaurice 2017]: twelve visually distinct data sets with equivalent (to two decimal places) summary statistics to a data set with a 2D scatterplot that reveals the outline of a dinosaur.

Inspired by Anscombe’s Quad and the Datassaurus Dozen, we describe a method to develop 3D point clouds with structures that are visible in a 3D scatterplot, but are occluded in any 2D projection. We have developed three data sets by point sampling popular 3D models (the Stanford Bunny [Turk and Levoy 1994], the Utah Teapot [Blinn and Newell 1976], and the Viewpoint Animation Engineering Cow [Schroeder et al. 2006] packaged with Open Scene Graph). We then occlude those models with amorphous clusters of points (see Fig. 1). We developed a method to scale the density of these clusters to resemble some arbitrary probability distribution. For our three models, we chose the Gaussian distribution. Since searching for a rabbit is one of the objectives of these data, we have coined these the Caerbannog Point Clouds downloadable from https://data.nrel.gov/submissions/153.

We performed an Amazon Mechanical Turk user study with 128 participants, asking participants to identify occluded structures in point clouds. Users were presented with one of four models (the Stanford Bunny, the Utah Teapot, the OSG Cow, and a noise control with no hidden structure) in one of four conditions 3D scatterplot.
under motion (rotation, xy-translation, and z-translation) and 2D scatterplots of the canonical projections in a scatterplot matrix (see Figure 2). We arranged the models and conditions in a Graeco-Latin square, intermixed with attention tests to filter out careless and inattentive users. We found that users were significantly better at identifying the three models when visualized with a 3D scatterplot under rotation than in any other condition. Users generally could not identify the models from the 2D scatterplots or when visualized as a 3D scatterplot under translation.

2 RELATED WORK

If there is a benefit of visualizing 3D data with a 3D scatterplot, it would be a function of one or more depth cues that differentiates the 3D data from its 2D projection. There are many possible depth cues. Monocular depth cues include structure-from-motion, relative size, oculomotor accommodation, curvilinear perspective, texture gradient, defocus blur, lighting, and shading [Howard and Rogers 2012b]. Biocular depth cues include stereopsis, convergence, and shadow stereopsis [Howard and Rogers 2012a]. In this work, we only consider structure-from-motion to impart depth to our 3D scatterplots.

2.1 Structure-from-motion

Perceiving depth from motion is one of the strongest depth cues, and scientists and philosophers have been considering the importance of this depth cue for millennia [Todd 2004]. One form of structure-from-motion is the motion parallax, where an observer can judge the depth of stationary objects by the movement of the observer [Gibson et al. 1959]. Objects closer to the observer move faster across the visual field than objects farther away. Motion parallax is one of the primary depth cues provided by virtual reality displays [Cruz-Neira et al. 1993]. In this work, we consider a stationary observer and moving objects. Wallach and O’Connell [1953] performed one of the earliest published experiments on the visual perception of structure-from-motion of this form. Observers were able to identify rotating objects from the shadows cast from those objects. They described this as the kinetic depth effect. The original kinetic depth experiments were limited to solid and wire-frame objects. Later work demonstrated that users could perceive 3D structure from unconnected rotating points [Braunstein 1962; Green Jr. 1961; Ullman 1979]. In addition to rotation, early work also showed that users could perceive depth from translation [Braunstein 1966, 1976].

Since the original kinetic depth experiments, the empirical findings suggest users are reliably able to judge the topological, ordinal, and affine properties of objects under rigid motion. Furthermore, empirical results have shown that users can infer some depth information from an arbitrary configuration of points with as few as two motion-sequence frames [Todd 1995].

Despite the many decades of cognitive science research, there is limited empirical evidence on the relative benefits of depth cues in understanding 3D data visualizations [Ware 2012]. The vision and cognitive science literature tells us we can perceive structure from a cloud of 3D points under motion, but it doesn’t tell us if we should, particularly if we have good 2D alternatives.

2.2 2D vs 3D

After decades of empirical studies comparing 2D and 3D visualizations, the results are mixed. St. John et al. [2001] reviewed 16 studies that compared 2D and 3D visualizations and suggested that 3D displays can improve spatial understanding but may inhibit judging relative positions and distances. And they confirmed these findings with an experiment using simple block shapes. A more recent review of 162 publications describing 184 experiments by McIntire et al. [2014] focused on 2D visualization versus 3D visualization with stereoscopic displays. Here too, the results were mixed. In 60% of the studies, 3D showed a definitive benefit, while the remaining studies found a benefit to 2D, mixed results, or inconclusive results. The benefit of 3D varied by tasks. In the judgments of positions or distances, 57% of studies found a clear benefit for 3D. In navigation tasks, 42%. In tasks related to finding, identifying, and classifying objects, 65%. And 52% of studies with spatial understanding tasks found a benefit for stereoscopic 3D visualization.

The empirical evidence suggests that a 3D visualization is not always better, and the applicability of using 3D is highly dependent on the nature of the data, the tasks, and the combination of depth cues employed. Therefore, we consider what is specifically known about viewing 3D point cloud data.
2.3 Scatterplots

3D scatterplots are widely used [Brunhart-Lupo et al. 2020; Bugbee et al. 2019; Donoho et al. 1988; Kosara et al. 2004; Piringer et al. 2004; Sanftmann and Weiskopf 2012; Zeckzer et al. 2016], but there is comparatively little research that empirically investigates the benefit of 3D visualization for 3D point clouds. And once again, the 2D versus 3D scatterplot research provides mixed results.

Two notable studies have shown 2D scatterplots outperform 3D scatterplots. Sedlmair et al. [2013] performed a data study to evaluate the analysis of data produced from dimensionality reduction with 2D scatterplots, 2D scatterplot matrices, and interactive 3D scatterplots. Two trained coders evaluated 816 scatterplots and concluded that 2D scatterplots are often sufficient, while 3D scatterplots rarely helped and occasionally hurt. Wagner Filho et al. [2017] compared 2D scatterplots with screen-based and VR-based 3D approaches. Their tasks included finding nearest neighbors and classes, identifying classes and outliers, and comparing classes. In this study, users were faster using the 2D scatterplot and reported 2D to be more intuitive for the given tasks.

Conversely, there are studies with empirical evidence of 3D scatterplots outperforming 2D scatterplots. Kraus et al. [2019] performed a user study with 18 participants in a cluster identification task, comparing a scatterplot matrix with 3D scatterplots in three different visualization environments. The 3D scatterplots outperformed the 2D scatterplot matrix in task time and correctness. Arns et al. [1999] compared statistical data analysis between XGobi on a desktop to 3D scatterplot in an immersive environment. They evaluated the identification and brushing of data clusters. Users were able to identify clusters in the immersive environment twice as well as on the desktop. Raja et al. [2004] compared various 3D scatterplot tasks (e.g., trend determination, cluster identification, outlier identification) between immersive and non-immersive environments. The additional depth cues afforded by the immersive visualization seem to improve the task; however, the authors reached no definitive statistical conclusions due to a small subject population.

We contribute additional evidence in this area of investigation through an empirical user study, evaluating different structure-from-motion depth cues of 3D scatter plots against 2D projections with a clear result. We also contribute the point clouds used for the study as a publicly available dataset.

3 DATA SYNTHESIS

We have developed a data synthesis method\(^1\) to generate 3D point clouds from polygonal models, such that the shape of the model will be occluded in any 2D projection. We transform the polygon model into a point model by randomly sampling the polygon vertices. We then choose random points around the model location as seed locations for amorphous point clouds whose density we modulate such that 2D projections of the full set of points strongly resemble a Gaussian distribution.

We chose to obscure our model of interest by surrounding it with a second model of an amorphous cloud. We wanted to surround the points of interest with a point cloud of similar density and texture, which ruled out random 3D noise. Therefore, we used another 3D polygonal mesh, an amorphous cloud, as an occluding model sampling its vertices in the same method we sampled the model of interest. To seed our occluding model locations, we placed the point-sampled model-of-interest (e.g., Stanford Bunny points) in the center of three concentric spheres. Next, we sampled points randomly across the surfaces of those spheres, using those points as the seed locations of the occluding model. With the model-of-interest and the occluding models arranged, we assign the point densities of those objects (see Figure 3).

We modulate the models’ point densities so that any arbitrary 2D projection of the dataset will resemble a 2D Gaussian distribution, and the 3D density of the dataset resembles a 3D Gaussian distribution. First, we choose a basis density, which we use to scale the subsequent densities. In our case, we use the density of the model-of-interest as the basis density. Then, we choose some probability

\(^1\) Source code is available at https://www.github.com/kgruchal/bring-me-a-shrubbery
Table 1: Table of study questions. The study was prefaced with four pretest questions, followed by questions, counter-balancing models and conditions with periodic attention tests.

<table>
<thead>
<tr>
<th>Question</th>
<th>Grouping</th>
<th>Model</th>
<th>Condition</th>
<th>Occlusion</th>
<th>Image</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>pretest</td>
<td>Dragon</td>
<td>rotation</td>
<td>None</td>
<td><a href="https://i.imgur.com/8AS5Nzb7.gif">https://i.imgur.com/8AS5Nzb7.gif</a></td>
</tr>
<tr>
<td>2</td>
<td>pretest</td>
<td>Bunny</td>
<td>rotation</td>
<td>Minimal</td>
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</tr>
<tr>
<td>3</td>
<td>pretest</td>
<td>Teapot</td>
<td>2D projections</td>
<td>Minimal</td>
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</tr>
<tr>
<td>4</td>
<td>pretest</td>
<td>Cow</td>
<td>xy-translation</td>
<td>Minimal</td>
<td><a href="https://i.imgur.com/kCmg9J9.gif">https://i.imgur.com/kCmg9J9.gif</a></td>
</tr>
<tr>
<td>5</td>
<td>row 1</td>
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<td>rotation</td>
<td>Medium</td>
<td><a href="https://i.imgur.com/vnuK7ck.gif">https://i.imgur.com/vnuK7ck.gif</a></td>
</tr>
<tr>
<td>6</td>
<td>row 1</td>
<td>Teapot</td>
<td>xy-translation</td>
<td>Medium</td>
<td><a href="https://i.imgur.com/p09eq9zf.gif">https://i.imgur.com/p09eq9zf.gif</a></td>
</tr>
<tr>
<td>7</td>
<td>row 1</td>
<td>Cow</td>
<td>z-translation</td>
<td>Heavy</td>
<td><a href="https://i.imgur.com/IR3NTqY.gif">https://i.imgur.com/IR3NTqY.gif</a></td>
</tr>
<tr>
<td>8</td>
<td>row 1</td>
<td>Bunny</td>
<td>2D projections</td>
<td>Light</td>
<td><a href="https://i.imgur.com/BqXYTZ3.png">https://i.imgur.com/BqXYTZ3.png</a></td>
</tr>
<tr>
<td>9</td>
<td>attention</td>
<td>Cow</td>
<td>rotation</td>
<td>Minimal</td>
<td><a href="https://i.imgur.com/2jQJ4dy.gif">https://i.imgur.com/2jQJ4dy.gif</a></td>
</tr>
<tr>
<td>10</td>
<td>row 2</td>
<td>Teapot</td>
<td>z-translation</td>
<td>Medium</td>
<td><a href="https://i.imgur.com/xgH87sw.gif">https://i.imgur.com/xgH87sw.gif</a></td>
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<td>Noise</td>
<td>2D projections</td>
<td>Medium</td>
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<tr>
<td>12</td>
<td>row 2</td>
<td>Bunny</td>
<td>rotation</td>
<td>Light</td>
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</tr>
<tr>
<td>13</td>
<td>row 2</td>
<td>Cow</td>
<td>xy-translation</td>
<td>Heavy</td>
<td><a href="https://i.imgur.com/ndkm89G.gif">https://i.imgur.com/ndkm89G.gif</a></td>
</tr>
<tr>
<td>14</td>
<td>attention</td>
<td>Teapot</td>
<td>rotation</td>
<td>Medium</td>
<td><a href="https://i.imgur.com/niEGHJH.png">https://i.imgur.com/niEGHJH.png</a></td>
</tr>
<tr>
<td>15</td>
<td>row 3</td>
<td>Cow</td>
<td>2D projections</td>
<td>Heavy</td>
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</tr>
<tr>
<td>16</td>
<td>row 3</td>
<td>Bunny</td>
<td>z-translation</td>
<td>Light</td>
<td><a href="https://i.imgur.com/pcjone0.gif">https://i.imgur.com/pcjone0.gif</a></td>
</tr>
<tr>
<td>17</td>
<td>row 3</td>
<td>Noise</td>
<td>xy-translation</td>
<td>Medium</td>
<td><a href="https://i.imgur.com/24CdVOh9.png">https://i.imgur.com/24CdVOh9.png</a></td>
</tr>
<tr>
<td>18</td>
<td>row 3</td>
<td>Teapot</td>
<td>rotation</td>
<td>Medium</td>
<td><a href="https://i.imgur.com/8z2c22K.gif">https://i.imgur.com/8z2c22K.gif</a></td>
</tr>
<tr>
<td>19</td>
<td>attention</td>
<td>Bunny</td>
<td>z-translation</td>
<td>Minimal</td>
<td><a href="https://i.imgur.com/J6lv06O.gif">https://i.imgur.com/J6lv06O.gif</a></td>
</tr>
<tr>
<td>20</td>
<td>row 4</td>
<td>Bunny</td>
<td>xy-translation</td>
<td>Light</td>
<td><a href="https://i.imgur.com/NgRip7Is.gif">https://i.imgur.com/NgRip7Is.gif</a></td>
</tr>
<tr>
<td>21</td>
<td>row 4</td>
<td>Cow</td>
<td>rotation</td>
<td>Heavy</td>
<td><a href="https://i.imgur.com/xxErFcw.gif">https://i.imgur.com/xxErFcw.gif</a></td>
</tr>
<tr>
<td>22</td>
<td>row 4</td>
<td>Teapot</td>
<td>2D projections</td>
<td>Medium</td>
<td><a href="https://i.imgur.com/S09qnmcm.png">https://i.imgur.com/S09qnmcm.png</a></td>
</tr>
<tr>
<td>23</td>
<td>row 4</td>
<td>Noise</td>
<td>z-translation</td>
<td>Medium</td>
<td><a href="https://i.imgur.com/ukkdMcb.gif">https://i.imgur.com/ukkdMcb.gif</a></td>
</tr>
<tr>
<td>24</td>
<td>attention</td>
<td>Teapot</td>
<td>2D projections</td>
<td>Minimal</td>
<td><a href="https://i.imgur.com/w2wKQWj.png">https://i.imgur.com/w2wKQWj.png</a></td>
</tr>
</tbody>
</table>

distribution function (in our case, a 3D Gaussian). For each of the sampled points, we calculate the value of the function at that point and scale the seed density by this value. This scaling defines the density of the object placed at that point. As a result, the 3D density plot of the dataset resembles a 3D Gaussian, and any arbitrary 2D projections resemble a 2D Gaussian.

4 STUDY

To empirically evaluate the perceptibility of the models in our point clouds, we conducted a user study on Amazon Mechanical Turk. The study used a within-subjects design, with 24 questions that presented the users with static images or an animation of a point cloud and asked, "What shape, if any, do you see hidden in the points?" with multiple choice answer options of Dragon, Bunny, Cow, Teapot, or Nothing. The 24 questions included pretest, study, and attention questions (see Table 1).

4.1 Pretest Questions

We used the first four questions as training and pretest with obvious answers to identify and filter out any users that might not understand the instructions. The first training-pretest question presented a rotating point-sampled version of the Stanford Dragon [Curless and Levoy 1996] unoccluded, asking, "In the following set of questions we will ask you to identify shapes that are made of points. What shape do you see?". Followed by a rotating cloud of points partially occluding the bunny model with the following text, "The shape, if it exists, will be hidden in a cloud of points. What shape, if any, do you see hidden in the points?". Pretest question 3: three on-axis 2D projections of the teapot model, "Here we show the front, top, and side views of a cloud of points. What shape, if any, do you see hidden in the points?"

4.2 Study Questions

In the primary questions, users were presented with one of four models (the Stanford Bunny, the Utah Teapot, the OSG Cow, or a noise control with no identifiable structure) in one of four conditions: 3D scatterplot under motion (xy-translation, z-translation, rotation) or 2D scatterplots of the canonical projections in a scatter-plot matrix (see Figure 2). The density of the point clouds occluding the three models varied with the three models. The bunny was the least occluded, followed by the teapot, and finally, the cow in the highest density. We counter-balanced the models and conditions in a Graeco-Latin square. We presented the animated conditions as GIFs, which we captured using MayaVi [Ramachandran and Varoquaux 2011] using the default perspective projection. We presented the static 2D projections in a group of three principal projections: front, top, side.

4.3 Attention Questions

We also intermixed attention tests with the study questions as an attempted mechanism to filter out careless and inattentive users.
The attention questions mimicked the study questions but at a much lower level of occlusion. A level that we believed participants would be able to detect the model structure easily.

5 RESULTS
We recruited participants through TurkPrime [Litman et al. 2017]. All participants had completed over 100 Human Intelligence Tasks (HITs) with a HIT approval of at least 90%. We recruited a total of 143 participants, 128 users completed all 24 questions in an average time of 25 minutes. We discarded the partial results of the 15 participants that did not complete all 24 questions from the analysis.

We began with an analysis of the quality of the responses from the remaining 128 participants, based on the attention questions. Of the attention questions, question 14 (see Table 1) was an outlier with less than 69% of the participants correctly identifying the teapot. On analysis, we realized question 14 was incorrectly coded, which we had intended to be an attention test with a minimal amount of occlusion; however, it was miscoded with an occlusion equivalent to the study questions. Therefore, we disregarded question 14 as an attention test. Of the remaining seven attention and pretests, 91 participants (71%) answered all seven of these tests correctly, while 118 participants (92%) answered at least six of the questions correctly (see Figure 4). The number of correct responses for the individual attention and pretest is inconsistent (see Figure 5), ranging from 99% correct to 78% correct. Measuring the attentiveness of workers on Mechanical Turk is a challenging problem with no accepted standard to what classifies a worker as attentive or inattentive [Hauser et al. 2018]. Furthermore, the inconsistency across our attention tests may suggest that the difficulty of these tests were unsuccessfully chosen and may be measuring something other than attentiveness. Therefore, in the following analysis, we consider both the results for the 91 attentive participants and the results for the full complement of 128 participants. While the attentive participants were generally more successful, we see the differences were slight and always within the confidence interval (see Figures 6 and 8).

Table 2: Table of study results, comparing the rotation condition to the other conditions with a 2-sample test for equality of proportions.

<table>
<thead>
<tr>
<th>Model</th>
<th>Condition</th>
<th>No. correct</th>
<th>Significance $\chi^2(1, 128)$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bunny</td>
<td>Rotation</td>
<td>104 (81.25%)</td>
<td>$92.663, p&lt;2.2e-16$</td>
</tr>
<tr>
<td>Bunny</td>
<td>xy-translation</td>
<td>26 (20.31%)</td>
<td>$149.98, p&lt;2.2e-16$</td>
</tr>
<tr>
<td>Bunny</td>
<td>z-translation</td>
<td>6 (4.69%)</td>
<td>$143.25, p&lt;2.2e-16$</td>
</tr>
<tr>
<td>Bunny</td>
<td>2D Projections</td>
<td>8 (6.25%)</td>
<td></td>
</tr>
<tr>
<td>Teapot</td>
<td>Rotation</td>
<td>92 (71.88%)</td>
<td>$133.16, p&lt;2.2e-16$</td>
</tr>
<tr>
<td>Teapot</td>
<td>xy-translation</td>
<td>2 (1.56%)</td>
<td>$106.93, p&lt;2.2e-16$</td>
</tr>
<tr>
<td>Teapot</td>
<td>z-translation</td>
<td>1 (1.56%)</td>
<td></td>
</tr>
<tr>
<td>Teapot</td>
<td>2D Projections</td>
<td>10 (7.81%)</td>
<td></td>
</tr>
<tr>
<td>Cow</td>
<td>Rotation</td>
<td>59 (46.09%)</td>
<td>$64.383, p=1.024e-15$</td>
</tr>
<tr>
<td>Cow</td>
<td>xy-translation</td>
<td>7 (5.47%)</td>
<td>$53.099, p=3.17e-13$</td>
</tr>
<tr>
<td>Cow</td>
<td>z-translation</td>
<td>2 (1.56%)</td>
<td>$67.492, p&lt;2.2e-16$</td>
</tr>
<tr>
<td>Cow</td>
<td>2D Projections</td>
<td>3 (2.34%)</td>
<td></td>
</tr>
</tbody>
</table>

Across the three models, users were significantly better at identifying the hidden object when visualized as a 3D scatterplot under rotation than in any other condition (see Figure 6). We compare rotation against the other conditions with a 2-sample test for equality of proportions (see Table 2). The Stanford bunny was the least occluded of the three models, and had the highest number of correct detections. Considering the population of 128 participants, 104 participants identified the bunny under rotation compared to 26 correct identifications under xy-translation, $\chi^2(1, 128)=92.663, p<2.2e-16$, only 6 participants correctly identified the bunny under z-translation, $\chi^2(1, 128)=149.98, p<2.2e-16$, and 8 participants were correct when using the 2D projections, $\chi^2(1, 128)=143.25, p<2.2e-16$.

The teapot had more occlusion and was detected by 92 participants, which is significant when compared to correct participants in both xy-translation and z-translation, and 10 correct detections using 2D projections. Finally, the cow was the most heavily occluded and was correctly detected by 59 participants under rotation. With
Figure 6: The graph shows the percentage of correct responses for the sixteen study questions. The error bars show the 95% binomial proportion confidence intervals. Hashed bars show the percentage of correct responses for all 128 participants, and solid bars show correct responses for the “attentive” participants.

Figure 7: Percentage of false positive answers. Participants incorrectly reported noise when there was hidden model for 48% of the questions.

only 7 correct under xy-translation, 2 correct under z-translation, and 3 correct using 2D projections. See Table 2 for details.

While 3D rotation was unambiguously better than the other three conditions, there is no clear difference between the other three conditions, with exception to the xy-translation for the bunny. Translation in the xy-plane did significantly better for the bunny than z-translation, $\chi^2(1, 128) = 12.893, p = 0.00033$, and 2D projections, $\chi^2(1, 128) = 9.8018, p = 0.001743$. For the teapot and cow models, there are no clear differences between the translation and 2D projection conditions.

We might construe the number of correct detections for noise control as participants identifying a lack of a model correctly; however, nothing was generally the default choice, as can be seen by the number of noise false positives in Figure 7 and in the distribution of answers in Figure 8.

6 DISCUSSION

This work contributes definitive evidence that there can be patterns that are identifiable in 3D scatterplots under rotation but not identifiable in 2D scatterplots; however, more work is needed to understand how that result generalizes. We derived the patterns of interest from popular 3D models, which provided shapes recognizable for our participant population—Mechanical Turk workers we assumed would not be data analysis experts. These shapes may not be representative of features or clusters in real-world point clouds; obviously, it would be unlikely to find a bunny-shaped cluster in a dimension-reduction data set. However, the use of these objects is not wholly unreasonable, as complex shapes can be observed in real-world point-cloud data. For example, Bugbee et al. [2019] describe a zoomorphic shape having “horns” in a 3D point cloud generated from t-SNE—a dimension-reduction technique.

In our experiment, we occluded each structure by varying degrees of point density, which corresponds to the number of correct detections under rotation 81%, 72%, and 46%, respectively. These point clouds provide three separate examples that support our hypothesis. However, without varying the density condition, we cannot discern if the differences between conditions are a function of the model (i.e., bunny shapes are easier to see than cow shapes) or a function of the point density. We suspect there are elements of both at play. Furthermore, based on the bunny results, the models might become perceptible under translation at lower occlusions. By systematically lowering the density, would we eventually see an inflection point when translation becomes a viable depth cue? At that point, would the models also be perceptible in the 2D projections? Understanding the differences between shapes and deepening the investigation of 2D projection versus 3D translation as a function of occlusion is future work.

Our axis of rotation was roughly parallel to the image, which is consistent with rotation in VR-based motion parallax (i.e., moving around an object). However, we know from prior work that the user’s perceptions can be significantly affected by the axis of orientation [Todd 2004]. Additionally, we located all of our structures of interest central to the cloud of points near that rotational axis. What influence does moving that axis of rotation away from the structure of interest have? These questions will be explored in future work.

This work was in part motivated by our desire to understand when there might be value in visualizing 3D scatterplots immersively. We used our immersive environment during the development of our datasets. We were readily able to perceive the hidden
structures when viewing these data immersively with or without stereopsis. In retrospect of the study results, this immersive perception is somewhat surprising. There is very little rotation in our head positions – tracker logs confirm that the head movement is dominated by translation. However, there was some small amount of rotation in the orientation, and we know from prior work that very small head movements can aid depth perception [Aytekin and Rucci 2012; de la Malla et al. 2016]. The perception could be the cognitive aid of being embodied, or maybe a minimal amount of rotation is sufficient to aid perception. A user study of immersive visualization of 3D scatterplots, controlling the amount and types of movement is future work.

7 CONCLUSION

We have synthesized point cloud data that definitively demonstrate that 3D visualization can reveal some structures in 3D point clouds under rotation that are not perceptible in 2D projections, supporting our hypothesis. We have shown three separate examples where 2D projections were insufficient to identify structures in 3D point clouds. Synthesizing our results and the mixed results from the literature, we conclude that it is critical to always examine your data in multiple ways. Others have advocated taking multiple views (both 2D and 3D) [Tory et al. 2006]. Particularly for larger complex data, no one perspective is likely sufficient, whether that perspective a purely quantitative statistical view or a view afforded by some visualization technique.

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