Disruptive Ideas for Power Grid Security and Resilience With DER

Erfan Ibrahim, Ph.D.
Director, Cyber-Physical Systems Security and Resilience Center
Erfan.ibrahim@nrel.gov

NREL 2nd Annual Cyber Workshop
October 9 - 10 2017
Diversified Skill Set in Energy Sector:

• Erfan Ibrahim, Director
• Tami Reynolds, Networking and Communications / Business Dev.
• Maurice Martin, Networking and Cybersecurity
• Brian Miller (PE), Power Systems Engineering (Part Time)
• Danish Salem, Lab Manager, Power Systems Engineering
• Thomas Doepke,* Networking and Security Intern
• Andrew Michalski, * Network Security Intern
• Joshua Rivera, * Networking and Security Intern
• George Edwards, * Visiting Professor, University of Denver

*New staff since June 2017.
NREL’s Cybersecurity Research and Development Strategy

• Assist public and private sector clients in implementing the National Institute of Standards Technology’s (NIST’s) Cybersecurity Framework and the U.S. Department of Energy (DOE’s) Cybersecurity Capability Maturity Model (C2M2) through strategic partnership projects (electric, water, oil and gas, and other sectors).

• Identify research-and-development (R&D) gaps in cybersecurity and resilience in the public and private sector via strategic partnerships.

• Inform DOE, NIST, the U.S. Department of Defense, Advanced Research Projects Agency-Energy (ARPA-E), state and local governments, and regulatory bodies of empirically verified cybersecurity and resilience R&D gaps identified via client engagements.

• Research the gaps through funded R&D projects in partnership with academia, industry, and other national laboratories.
Deep expertise in:
  - Power systems Supervisory Control and Data Acquisition (SCADA)
  - Cybersecurity
  - Networking
  - Distributed energy resources (DERs).

Advanced research capabilities at the Energy Systems Integration Facility’s (ESIF’s) Systems Performance Laboratory, including:
  - Complete test bed with modular power systems, communications, and cybersecurity capabilities
  - Vendor and technology agnostic perspective
  - Ability to pen test at interface, component, or systems level.

Flexibility to expand to water, oil and gas, and thermal systems testing for cybersecurity and resilience.
Cyber-Challenge With Distributed Generation

**CHALLENGE:**
Distributed intelligence creates new cybersecurity vulnerabilities on the electric grid.

**SOLUTION:**
A new, disruptive approach to system security based on nine layers.
Evolution of the Grid

Past: A fortress

Present: A network
Distribution Utility Attack

Enterprise Network
- GIS
- MDM
- Payment Processing
- Engineering Analysis
- Field Design

SCADA Network
- Substation
  - Online
  - Offline
- Substation
  - Online
- Smart Meter
- Smart Feeder Switch
- Inverter
- Smart Capacitor
- EV Charger
- Microgrid Controller
- Distributed Generation

Operations Center

Internet

Red arrows indicate the flow of information or control between components.
Approach: **Lock down everything.**
- Encrypt all communications.
- Enforce protocol-level security.
- Monitor advanced authentication at the end-device level.

Limitations:
- Reactive-hackers are always ahead of an organization’s cybersecurity capabilities (i.e., standard security processes are too slow).
- There is too much overhead (e.g., memory, processing, networking).
- Required upgrades of legacy equipment are costly.
CPSS and R Cyber Testbed Power Systems Use Cases

• Develop **five use cases** utilizing distribution management system (DMS) applications:
  o Auto-sectionalizing and restoration
  o Volt/volt-ampere reactive optimization
  o Demand response with EV charging
  o PV smoothing with storage
  o Frequency regulation with storage.

• Build the distribution system testbed with a DMS, enterprise SCADA, substation automation platform, intelligent electronic devices (Remote Terminal Units, Programmable Logical Controllers, and field sensors), energy storage, electric vehicles, and simulated grid with capacitor banks and smart switches.
Cybersecurity Test Bed Network View

Diagram created by NREL, August 2017
## 9-Layer Security Architecture - Testbed Technologies

<table>
<thead>
<tr>
<th>Layer</th>
<th>security application</th>
<th>SecLab Denelis</th>
<th>BlackRidge TAC</th>
<th>Cisco Firewall + Switches</th>
<th>NexDefense Integrity</th>
<th>N-Dimension N-Sentinel</th>
<th>Albeado PRISM</th>
</tr>
</thead>
<tbody>
<tr>
<td>GWAC 5-6</td>
<td>Business</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GWAC 4</td>
<td>Semantic</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>OSI 7</td>
<td>Application</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>OSI 6</td>
<td>Presentation</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>OSI 5</td>
<td>Session</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>OSI 4</td>
<td>Transport</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>OSI 3</td>
<td>Network</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>OSI 2</td>
<td>Data Link</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>OSI 1</td>
<td>Physical</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Diagram updated by NREL in July 2017
NREL R&D Approach: Systemic Security

Approach: Limit damage that can be done from the start.
• Adhere to cyber hygiene (e.g., sound network design principles and cybersecurity management best practices).
• Use third-party, off-the-shelf technologies selectively for in-line blocking and context-based intrusion detection to maximize situational awareness and provide systemic cyber protection.
• Ensure that the strategy is compatible with legacy and modern equipment on Day 1 (so that no upgrades are required to function).
• Ensure that the strategy is modular and scalable.
• Ensure that the strategy does not depend on cybersecurity controls at the end-device or protocol level.

Limitations:
• Legacy end devices in the field are still vulnerable to tampering (limited authentication available).
NREL’s 10-Step Systems Engineering Approach to Security

1. Assess cyber-governance (security controls in place, prioritized action items for gaps in security controls) *(identify and protect).*

2. Implement technical plan to address gaps from cyber-governance assessment *(protect).*

3. Perform due diligence on cutting-edge cybersecurity technologies for energy systems, including functional and integration testing *(identify and protect).*

4. Develop procurement language for secure, reliable, and resilient SCADA systems *(protect).*

5. Review utility SCADA cybersecurity architecture and benchmark against NREL nine-layer cybersecurity model, including vulnerability assessment and risk mitigation *(identify, protect, monitor, and respond).*
6. Scan software code and binary executables to identify malware and cyber risks as well as techniques for mitigation *(identify and protect)*.

7. Test data fuzz of SCADA systems with risk mitigations *(identify and protect)*.

8. Pen-test SCADA systems in NREL’s cybersecurity test bed to identify residual cyber risks and provide mitigations *(monitor, respond, and recover)*.

9. Develop and analyze failure scenarios with mitigations *(recover)*.

10. Provide training on cybersecurity awareness for corporate staff and information technology/operation technology audiences to reduce cyber risks from social engineering and phishing schemes from advanced persistent threats *(identify, protect, monitor, respond, and recover)*.
CMOM uses the combination of DOE’s C2M2 and the NIST Cybersecurity Framework to assess the cyber-governance of an organization.

Below are the **10 domains defined in the C2M2**, wherein the CMOM identifies the cybersecurity controls that have been implemented and those that are missing (in order of priority):

1. Risk management
2. Asset change and configuration management
3. Identity and access management
4. Threat and vulnerability management
5. Situational awareness
6. Information communication and sharing
7. Event and incident response
8. External dependency management
9. Workforce management
10. Cybersecurity program management.
Contact Information:
Erfan.Ibrahim@nrel.gov
925-785-5967