Preliminary Investigation of Methods for Correcting for Variations in Solar Spectrum under Clear Skies

B. Marion
Preliminary Investigation of Methods for Correcting for Variations in Solar Spectrum under Clear Skies

B. Marion

Prepared under Task No. PVD9.1460
NOTICE

This report was prepared as an account of work sponsored by an agency of the United States government. Neither the United States government nor any agency thereof, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States government or any agency thereof.

Available electronically at [http://www.osti.gov/bridge](http://www.osti.gov/bridge)

Available for a processing fee to U.S. Department of Energy and its contractors, in paper, from:

U.S. Department of Energy
Office of Scientific and Technical Information
P.O. Box 62
Oak Ridge, TN 37831-0062
phone: 865.576.8401
fax: 865.576.5728
email: [mailto:reports@adonis.osti.gov](mailto:reports@adonis.osti.gov)

Available for sale to the public, in paper, from:

U.S. Department of Commerce
National Technical Information Service
5285 Port Royal Road
Springfield, VA 22161
phone: 800.553.6847
fax: 703.605.6900
email: [orders@ntis.fedworld.gov](mailto:orders@ntis.fedworld.gov)
online ordering: [http://www.ntis.gov/ordering.htm](http://www.ntis.gov/ordering.htm)

Printed on paper containing at least 50% wastepaper, including 20% postconsumer waste
Acknowledgements

This work was performed under DOE Contract No. DE-AC36-08GO28308 and Task No. PVD9.1460. The author acknowledges the efforts of Jose Rodriguez, Ed Gelak, and Matt Muller at the National Renewable Energy Laboratory (NREL) for installation and operation of the test equipment; the efforts of Gobind Atmaram and Jim Roland at the Florida Solar Energy Center for coordinating the collection of data at their location; and the efforts of Chris Gueymard (Solar Consulting Services), David King (Sandia National Laboratories-Retired), Joshua Stein (Sandia National Laboratories), Kevin Fok (United Solar Ovonic), Joe del Cueto (NREL), and Matt Muller (NREL) for reviewing this report and providing helpful suggestions.
<table>
<thead>
<tr>
<th>Acronym</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>AM</td>
<td>air mass</td>
</tr>
<tr>
<td>AM&lt;sub&gt;a&lt;/sub&gt;</td>
<td>absolute air mass</td>
</tr>
<tr>
<td>ASTM</td>
<td>American Society for Testing and Materials</td>
</tr>
<tr>
<td>CREST</td>
<td>Centre for Renewable Energy Systems Technology</td>
</tr>
<tr>
<td>f(AM)</td>
<td>function of air mass</td>
</tr>
<tr>
<td>FSEC</td>
<td>Florida Solar Energy Center</td>
</tr>
<tr>
<td>I&lt;sub&gt;sc&lt;/sub&gt;</td>
<td>short-circuit current</td>
</tr>
<tr>
<td>NREL</td>
<td>National Renewable Energy Laboratory</td>
</tr>
<tr>
<td>POA</td>
<td>plane-of-array</td>
</tr>
<tr>
<td>PV</td>
<td>photovoltaic</td>
</tr>
<tr>
<td>SAM</td>
<td>Solar Advisor Model</td>
</tr>
<tr>
<td>SRC</td>
<td>Standard Reporting Conditions</td>
</tr>
<tr>
<td>T&lt;sub&gt;pv&lt;/sub&gt;</td>
<td>PV module temperature</td>
</tr>
</tbody>
</table>
Abstract

Two types of methods were evaluated for correcting the short-circuit current of photovoltaic (PV) modules for variations in the solar spectrum under clear skies: (1) empirical relationships based on air mass, and (2) use of spectral irradiance models and PV module spectral response data. Methods of the first type were the Sandia absolute air-mass function, or \( f(AM_a) \), and the CREST air-mass function, or \( f(AM) \). The second type used SEDES2 and SMARTS spectral irradiance models. The methods were evaluated using data recorded during June, September, and December 2008 at the National Renewable Energy Laboratory and during June 2008 at the Florida Solar Energy Center.

For predicting the short-circuit current for a multi-crystalline silicon PV module and an amorphous silicon PV module, the methods using spectral irradiance models and PV module spectral response data performed better than the empirical air mass methods. This is attributed to the empirical air mass methods not accounting for variations of aerosols and water vapor. For the multi-crystalline silicon PV module, applying a correction with any of the methods was not significantly beneficial when compared to not applying a correction.
# Table of Contents

Acknowledgements .................................................................................................................. iii
List of Acronyms and Abbreviations ........................................................................................ iv
Abstract .......................................................................................................................................... v
Table of Contents ........................................................................................................................ vi
List of Tables ..................................................................................................................................... vii
List of Figures ................................................................................................................................... vii

1 Introduction ................................................................................................................................. 1
2 Spectral Correction Methods ........................................................................................................ 2
  2.1 Empirical Methods Using Air Mass .......................................................................................... 2
     2.1.1 Sandia Method .................................................................................................................. 2
     2.1.2 CREST Method ............................................................................................................... 4
  2.2 Method Using Spectral Irradiance Models and PV Module Spectral Response ....................... 5
     2.2.1 Spectral Mismatch Correction ....................................................................................... 5
     2.2.2 SEDES2 Model ............................................................................................................. 6
     2.2.3 SMARTS Model ........................................................................................................... 6
     2.2.4 PV Module Spectral Response ..................................................................................... 7
     2.2.5 Method Variation for Multi-Junction PV Modules ....................................................... 7
3 Design of Experiment and Data ..................................................................................................... 9
  3.1 Equipment ............................................................................................................................... 9
  3.2 Solar Geometry ....................................................................................................................... 9
  3.3 Data Screening ....................................................................................................................... 12
  3.4 Temperature Corrections ........................................................................................................ 13
  3.5 Additional Data for Spectral Models .................................................................................... 13
     3.5.1 SMARTS Model ....................................................................................................... 13
     3.5.2 SEDES2 Model ........................................................................................................... 15
4 Results .......................................................................................................................................... 16
5 Analysis of Results ....................................................................................................................... 27
  5.1 Variations in Solar Spectrum ................................................................................................. 27
     5.1.1 Influence of Air Mass .................................................................................................. 27
     5.1.2 Influence of Aerosols ................................................................................................. 28
     5.1.3 Influence of Water Vapor ......................................................................................... 29
     5.1.4 Influence of Aerosol and Water Vapor Combinations ............................................ 30
     5.1.5 Geographical and Seasonal Considerations .............................................................. 31
  5.2 Comparison of Air Mass Function and Spectral Mismatch ...................................................... 32
  5.3 Effects of Diffuse Radiation on AM Functions ....................................................................... 35
6 Summary ..................................................................................................................................... 36
7 References ..................................................................................................................................... 38
Appendix A: Plots ............................................................................................................................ 40
List of Tables

Table 2-1. Sandia AM<sub>s</sub> Polynomial Coefficients for a Multi-Crystalline Silicon and an a-Si/a-Si/a-Si:Ge PV Module ....................................................................................................................... 3
Table 3-1. Summary of Days Used for Model Evaluations ................................................................. 12
Table 3-2. I<sub>sc</sub> Correction Factors for PV Module Temperature .................................................... 13
Table 3-3. Additional Input Values for the SMARTS Model ............................................................. 14
Table 4-1. Results of Least-Square-Fits of Temperature Corrected I<sub>sc</sub> Versus Effective Irradiance When Using Various Spectral Correction Methods ................................................................. 26

List of Figures

Figure 2-1. Sandia AM<sub>s</sub> function for a multi-crystalline silicon and an a-Si/a-Si/a-Si:Ge PV module as a function of the pressure corrected air mass.................................................. 3
Figure 2-2. PVSYST spectral correction as a function of air mass and clearness for amorphous silicon PV modules ............................................................................................................. 4
Figure 2-3. Spectral response for the multi-crystalline silicon PV module and the G-173-03 reference spectrum .................................................................................................................... 8
Figure 2-4. Spectral response for the top, middle, and bottom cells of the a-Si/a-Si/a-Si:Ge PV module, and the G-173-03 reference spectrum ............................................................ 8
Figure 3-1. Relationship between air mass (pressure corrected) and the PV module’s angle-of-incidence of the direct beam radiation for the test dates at NREL and FSEC and the PV module tilt angles from horizontal ........................................................................................................... 10
Figure 3-2. BP Solar model SX5M (top) and UNI-SOLAR model US-11 (bottom) PV modules with plane-of-array pyranometer at NREL for June 7-9, 2008. PV modules are south-facing and tilted 12° from the horizontal. The enclosure behind the PV modules contains the data logger .......... 11
Figure 3-3. BP Solar model SX5M and UNI-SOLAR model US-11 PV modules with plane-of-array pyranometer at FSEC for June 14-19, 2008. PV modules are mounted horizontal and viewed from the north ............................................................................................................................................. 11
Figure 4-1. Temperature-corrected I<sub>sc</sub> versus POA irradiance, without spectral correction, multi-crystalline silicon PV module ............................................................................................................... 17
Figure 4-2. Fit slopes of individual data sets for temperature-corrected I<sub>sc</sub> versus POA irradiance, without spectral correction, multi-crystalline silicon PV module ................................................................................................................................. 17
Figure 4-3. Temperature-corrected I<sub>sc</sub> versus POA irradiance corrected with Sandia AM<sub>s</sub> function, multi-crystalline silicon PV module ........................................................................................................... 18
Figure 4-4. Fit slopes of individual data sets for temperature-corrected I<sub>sc</sub> versus POA irradiance corrected with Sandia AM<sub>s</sub> function, multi-crystalline silicon PV module ................................................................................................................................. 18
Figure 4-5. Temperature-corrected I<sub>sc</sub> versus POA irradiance corrected with spectral mismatch using SEDES2 model and PV module spectral response data, multi-crystalline silicon PV module ....................................................................................................................................................... 19
Figure 4-6. Fit slopes of individual data sets for temperature-corrected I<sub>sc</sub> versus POA irradiance corrected with spectral mismatch using SEDES2 model and PV module spectral response data, multi-crystalline silicon PV module ....................................................................................................................................................... 19
Figure 4-7. Temperature-corrected I<sub>sc</sub> versus POA irradiance corrected with spectral mismatch using SMARTS model and PV module spectral response data, multi-crystalline silicon PV module ....................................................................................................................................................... 20
Figure 4-8. Fit slopes of individual data sets for temperature-corrected I<sub>sc</sub> versus POA irradiance corrected with spectral mismatch using SMARTS model and PV module spectral response data, multi-crystalline silicon PV module. ....................................................................................................................................................... 20
Figure 4-9. Temperature-corrected \( I_{sc} \) versus POA irradiance, without spectral correction, a-Si/a-Si/a-Si:Ge PV module ....................................................................................................................................... 21

Figure 4-10. Fit slopes of individual data sets for temperature-corrected \( I_{sc} \) versus POA irradiance, without spectral correction, a-Si/a-Si/a-Si:Ge PV module ................................................................. 21

Figure 4-11. Temperature-corrected \( I_{sc} \) versus POA irradiance corrected with Sandia AM\(_a\) function, a-Si/a-Si/a-Si:Ge PV module ....................................................................................................................................... 22

Figure 4-12. Fit slopes of individual data sets for temperature-corrected \( I_{sc} \) versus POA irradiance corrected with Sandia AM\(_a\) function, a-Si/a-Si/a-Si:Ge PV module ....................................................................................................................................... 22

Figure 4-13. Temperature-corrected \( I_{sc} \) versus POA irradiance corrected with CREST AM function, a-Si/a-Si/a-Si:Ge PV module ....................................................................................................................................... 23

Figure 4-14. Fit slopes of individual data sets for temperature-corrected \( I_{sc} \) versus POA irradiance corrected with CREST AM function, a-Si/a-Si/a-Si:Ge PV module ....................................................................................................................................... 23

Figure 4-15. Temperature-corrected \( I_{sc} \) versus POA irradiance corrected with spectral mismatch using SEDES2 model and PV module spectral response data, a-Si/a-Si/a-Si:Ge PV module ........................................ 24

Figure 4-16. Fit slopes of individual data sets for temperature-corrected \( I_{sc} \) versus POA irradiance corrected with spectral mismatch using SEDES2 model and PV module spectral response data, a-Si/a-Si/a-Si:Ge PV module ........................................ 24

Figure 4-17. Temperature-corrected \( I_{sc} \) versus POA irradiance corrected with spectral mismatch using SMARTS model and PV module spectral response data, a-Si/a-Si/a-Si:Ge PV module ........................................ 24

Figure 4-18. Fit slopes of individual data sets for temperature-corrected \( I_{sc} \) versus POA irradiance corrected with spectral mismatch using SMARTS model and PV module spectral response data, a-Si/a-Si/a-Si:Ge PV module ........................................ 24

Figure 5-1. Comparison of spectra for air mass values 1.0 and 2.0 with the G173-03 hemispherical spectrum. Other model inputs are the same as that for the G173-03 hemispherical spectrum .............................................. 28

Figure 5-2. Comparison of spectra for aerosol optical depth values of 0.055 and 0.300 with the G173-03 hemispherical spectrum. Other model inputs are the same as that for the G173-03 hemispherical spectrum .......................................................................................................................... 29

Figure 5-3. Comparison of spectra for precipitable water vapor amounts of 0.2 cm and 3.4 cm with the G173-03 hemispherical spectrum. Other model inputs are the same as that for the G173-03 hemispherical spectrum .......................................................................................................................... 30

Figure 5-4. Comparison of two spectra with the G173-03 hemispherical spectrum. One spectra with an aerosol optical depth of 0.055 and a precipitable water vapor amount of 0.2 cm, and the other spectra with an aerosol optical depth of 0.300 and a precipitable water vapor amount of 3.4 cm. Other model inputs are the same as that for the G173-03 hemispherical spectrum .......................................................................................................................... 31

Figure 5-5. Sandia AM\(_a\) function and spectral mismatch, calculated using SMARTS model, versus AM\(_a\) for the multi-crystalline silicon PV module ....................................................................................................................................... 33

Figure 5-6. Sandia AM\(_a\) function and spectral mismatch, calculated using SMARTS model, versus AM\(_a\) for the a-Si/a-Si/a-Si:Ge silicon PV module ....................................................................................................................................... 33

Figure 5-7. Spectral mismatch for the a-Si/a-Si/a-Si:Ge PV module and each of its cells for June 14-19 at FSEC. Performance is limited by the middle cell ....................................................................................................................................... 34

Figure 5-8. Spectral mismatch for the a-Si/a-Si/a-Si:Ge PV module and each of its cells for December 19 and 21 at NREL. Performance is limited by the top cell ....................................................................................................................................... 34

Figure 5-9. Sandia AM\(_a\) function and spectral mismatch values, calculated using SMARTS modeled direct normal spectra, versus AM\(_a\) for the a-Si/a-Si/a-Si:Ge silicon PV module ....................................................................................................................................... 35
1 Introduction

This report presents results of a preliminary investigation of methods for correcting the short-circuit current ($I_{sc}$) of photovoltaic (PV) modules for variations in the solar spectrum. Correcting PV output for variations in the solar spectrum is included in certain PV performance software applications, and is under consideration for developing energy rating standards.

The performance of a PV module is rated at Standard Reporting Conditions (SRC), where one of the conditions stipulates that the spectral distribution of the solar radiation conforms to the American Society for Testing and Materials (ASTM) standard for hemispherical spectrum, ASTM G 173-03. However, PV modules perform under a variety of conditions where the spectral distribution varies from the ASTM spectrum. Spectral distribution is primarily influenced by the path length through the atmosphere and the amounts of atmospheric water vapor and aerosols. These factors cause diurnal, seasonal, and geographic variations in spectral distribution that can increase or decrease $I_{sc}$ from expected values when spectral effects are not considered.

Variations in spectral distribution are more likely to impact the performance of PV modules that respond to a narrower wavelength range of solar radiation, such as amorphous silicon, than those that respond to a wider wavelength range of solar radiation, such as crystalline silicon. This work evaluated methods that represent two approaches for correcting for variations in spectral distribution: (1) empirical relationships based on air mass (AM) or path length through the atmosphere, and (2) use of spectral irradiance models and PV module spectral response data.

The methods were evaluated using data recorded at the National Renewable Energy Laboratory (NREL) and the Florida Solar Energy Center (FSEC) for a range of air mass, water vapor, and aerosol values under mostly clear sky conditions. The data included one-minute average values of $I_{sc}$ and PV module temperature ($T_{pv}$) for both a multi-crystalline silicon PV module and a triple-junction amorphous silicon PV module, along with coincident measurements of the plane-of-array (POA) solar irradiance with a pyranometer.

The following sections of this report describe the methods for correcting for variations in spectrum, the design of the experiment and data, the results, and analysis of the results.
2 Spectral Correction Methods

As mentioned previously, this work considered two approaches for correcting for variations in spectral distribution: (1) empirical relationships based on air mass or path length through the atmosphere, and (2) use of spectral irradiance models with PV module spectral response data.

2.1 Empirical Methods Using Air Mass

Two empirical air mass methods were evaluated for correcting for spectral variations: (1) the Sandia method developed by King et al.\(^2\,3\) and (2) the Centre for Renewable Energy Systems Technology (CREST) method developed by Betts et al.\(^4\)

2.1.1 Sandia Method

This method uses an empirically based correction factor based on air mass, with polynomial coefficients that are determined using one or more days of outdoor performance measurements. The PV module is mounted on a two-axis tracker alongside a thermopile pyranometer. \(I_{sc}\) and irradiance data are recorded from sunrise to sunset, thereby providing data for determining the polynomial coefficients that define the correction factor function. To determine a correction factor with this method, air mass is determined with Equation 1 and adjusted for altitude (pressure) with Equation 2 to give an absolute value.

\[
AM = \left[ \cos(Z_s) + 0.5057 \cdot (96.08 - Z_s)^{1.634} \right]^{-1} \\
AM_a = e^{-0.0001184h} \cdot AM
\]

where:

\(Z_s\) = zenith angle of the sun, degrees

\(h\) = site altitude, m.

The correction factor as a function of absolute air mass, or \(f(AM_a)\) is then given by Equation 3.

\[
f(AM_a) = a_0 + a_1 \cdot AM_a + a_2 \cdot (AM_a)^2 + a_3 \cdot (AM_a)^3 + a_4 \cdot (AM_a)^4
\]

where:

\(a_0, a_1, a_2, a_3, a_4\) = empirically derived polynomial coefficients.

This correction assumes that variations in spectrum are predominantly influenced by the path length through the atmosphere, and that variations in clouds, aerosols, and water vapor with season or location are of less influence. The correction has a value of one for \(AM_a = 1.5\).

For the two PV modules in this study, polynomial coefficients for similar PV modules of the same manufacturer from the Sandia module data base\(^5\) were used. The values of the coefficients are provided in Table 2-1 and the resulting functions of absolute air mass are shown graphically in Figure 2-1. The air mass functions exhibit different air mass dependencies for these two modules, with dependency values increasing along with increasing air mass for the multi-crystalline silicon PV module. However, dependency values decreased with increasing air mass for the a-Si/a-Si/a-Si:Ge PV module. These results are consistent with the theory that increasing
the air mass shifts the spectral distribution to longer wavelengths, which are more beneficial to
crystalline silicon PV modules than to amorphous silicon PV modules that are more responsive
to shorter wavelengths (especially for multi-junction amorphous silicon PV modules).

Table 2-1. Sandia AM₄ Polynomial Coefficients for a Multi-Crystalline Silicon
and an a-Si/a-Si/a-Si:Ge PV Module

<table>
<thead>
<tr>
<th>PV Module</th>
<th>a₀</th>
<th>a₁</th>
<th>a₂</th>
<th>a₃</th>
<th>a₄</th>
</tr>
</thead>
<tbody>
<tr>
<td>BP SX3150</td>
<td>0.9415</td>
<td>0.052728</td>
<td>-0.009588</td>
<td>0.00067629</td>
<td>-1.8111E-05</td>
</tr>
<tr>
<td>Uni-Solar US-21</td>
<td>1.0470</td>
<td>0.000821</td>
<td>-0.025900</td>
<td>0.00317360</td>
<td>0.00011026</td>
</tr>
</tbody>
</table>

Air mass function values greater than 1.0 indicate spectral distributions that are more favorable
than for the AM₄ = 1.5 condition. The values also indicate that the Iₛₗ will be proportionally
greater than expected based on the integrated spectral or broadband irradiance, such as measured
by a thermopile pyranometer. (The converse applies if air mass function values are less than 1.0.)
To apply a spectral correction, the broadband irradiance is multiplied by the air mass function
value to obtain an “effective irradiance” where Iₛₗ is considered proportional to the “effective
irradiance” if the PV temperature is constant. The “effective irradiance” may also include a
multiplier to account for angle-of-incidence effects. But to examine spectral effects more
precisely for this work, the analysis restricted angle-of-incidences to less than 50° where angle-
of-incidence effects are small or nonexistent.

Figure 2-1. Sandia AM₄ function for a multi-crystalline silicon and an a-Si/a-Si/a-Si:Ge PV module
as a function of the pressure corrected air mass.

Sandia has developed air mass polynomial coefficients for numerous PV modules and
technologies, and several PV system design and/or performance software applications use this
method to correct for variations in spectral distribution. These software applications include: PV-DesignPro by Maui Solar Energy Software Corporation\(^6\); NREL’s Solar Advisor Model (SAM)\(^7\); and the University of Wisconsin-Madison’s 5-Parameter model\(^8\) which is used by the California Energy Commission’s PV Calculator.\(^9\) Unlike the other two applications, the 5-Parameter model uses coefficients for a multi-crystalline PV module for all PV modules and technologies because the results obtained from using module and technology-specific coefficients did not show significant differences.

### 2.1.2 CREST Method

This method also uses an empirically based correction factor. Using a year of spectroradiometer measurements for a south-facing latitude-tilt (52°) orientation, CREST parameterized the spectral correction as a function of air mass (optical, per Eqn. 1) and clearness, where clearness is the ratio of global radiation to the global radiation for clear skies. This approach for correcting for spectral distribution is used by the PV system software package PVSYST.\(^10\) For amorphous silicon, the PVSYST spectral correction is shown in Figure 2-2. The same correction for amorphous silicon can also be selected in PVSYST for cadmium telluride (CdTe) PV modules. Betts et al.\(^4\) at CREST did not see an improvement in error statistics when applying spectral corrections for crystalline silicon PV modules; consequently, PVSYST does not apply spectral corrections for these PV modules.

![Definition of a PV module](image)

**Figure 2-2.** PVSYST spectral correction as a function of air mass and clearness for amorphous silicon PV modules.

Because only data recorded under primarily clear skies were used for this study, only the empirical function for a clear sky (K\(_{\text{tcd}} = 1.0\)) was evaluated. It is similar to the Sandia air mass function in that it has a value of 1.0 for an air mass of 1.5, but different in that it is a function of
optical air mass instead of pressure-corrected air mass and its rate of change with respect to air
mass is only about half that of the Sandia method. For cloudy skies, the values of the function are
increased. This seems reasonable because the presence of clouds shifts the diffuse spectrum to
shorter wavelengths, which would provide a more favorable spectrum for amorphous silicon
PV modules.

For ease of implementation, and to provide the spectral correction factor as a function of air mass
(optical) for clear skies, the information in Figure 2-2 was digitized as:

\[ f(AM) = 1.0491 - 0.031243 \cdot AM - 0.000948 \cdot (AM)^2 \]  \hspace{1cm} (4)

2.2 Method Using Spectral Irradiance Models and PV Module Spectral Response

Another approach for accounting for spectral variations is to model the solar spectrum, and use it
with the PV module’s spectral response to calculate a spectral mismatch correction, similar to
principles developed by Osterwald for translating device performance to reference conditions.
This method more directly addresses the influence of aerosols and water vapor on the solar
spectrum, but introduces additional error sources because of uncertainties associated with the
modeled solar spectrum and the PV module spectral response data. This method was applied
using two different spectral irradiance models: (1) SEDES2 and (2) SMARTS. This method is
fairly complex and computationally intense, and it isn’t currently used in PV system design or
performance software. However, it has been recommended in the past to model the spectral
irradiance with SEDES2 for a proposed energy rating methodology.

2.2.1 Spectral Mismatch Correction

The general form of the spectral mismatch correction M is represented by Equation 5. A value of
M = 1.0 indicates that there is no spectral mismatch with regard to the reference spectrum. A
value greater than 1.0 indicates that the spectral distribution of the incident radiation for the
device being tested is more favorable than if the incident radiation were the reference spectral
condition; consequently, the \( I_{sc} \) is increased proportionally (the converse applies for values less
than 1.0).

\[
M = \frac{\int_{b}^{d} E_{ref}(\lambda) \cdot S_{r}(\lambda) d\lambda}{\int_{a}^{c} E_{inc}(\lambda) \cdot S_{t}(\lambda) d\lambda} \cdot \frac{\int_{a}^{c} E_{inc}(\lambda) \cdot S_{t}(\lambda) d\lambda}{\int_{a}^{c} E_{ref}(\lambda) \cdot S_{t}(\lambda) d\lambda}
\]  \hspace{1cm} (5)

where:

- \( \lambda \) = wavelength
- \( a,b \) = integration limits, should include response range of reference device
- \( c,d \) = integration limits, should include response range of device being tested
- \( E_{ref}(\lambda) \) = spectral irradiance for reference condition
- \( E_{inc}(\lambda) \) = spectral irradiance incident device being tested
- \( S_{r}(\lambda) \) = spectral response of reference device
- \( S_{t}(\lambda) \) = spectral response of device being tested.
2.2.2 SEDES2 Model

SEDES2 is an adaption of the model SEDES1 developed by Nann and Riordan.11 SEDES2 more readily calculates solar spectral irradiance for clear and cloudy skies from site-specific data. Meteorological inputs to the model are: global horizontal irradiance; diffuse horizontal or direct normal irradiance; dew point temperature, or relative humidity and dry bulb temperature; and atmospheric pressure or site elevation. The clear-sky approximation is based on SPCTRAL216 and then, depending on the broadband irradiance values input to the model, cloud cover modifiers are applied to the spectral irradiance. The cloud cover modifiers were derived from measured spectra.

NREL’s SEDES2 code models spectra from 300 to 2,500 nanometers (nm). This upper limit is less than the upper limit of the Kipp & Zonen CM11 pyranometer (spectral range from 310 nm to 2,800 nm) which is used for the reference device in Equation 5. Consequently, when using the SEDES2 spectra an error is introduced because any variations in spectral distribution in the 2,500-2,800 nm range are not addressed. However, the error is insignificant because of the small amount of solar radiation within that range (only 0.04 W/m² when integrating the spectral irradiance of the G173-03 hemispherical spectrum from 2,500 nm to 2,800 nm).

Derived from Equation 5, Equation 6 is the formula for M for calculating spectral mismatch when using the SEDES2 model and the Kipp & Zonen CM11 pyranometer for the reference device. By assuming the black detector of the pyranometer has a constant spectral response within its spectral range, the $S_i(\lambda)$ parameter may be removed from the first term of Equation 5 if the integration limits are within the response range of the pyranometer. Furthermore, the numerator of the first term may be replaced by the integrated solar irradiance of the G173-03 reference spectrum from 310 to 2500 nm, or 992.39 W/m².

$$M = \frac{992.39 \text{ W/m}^2}{2500} \cdot \frac{\int_{300}^{2500} E_{\text{SEDES2}}(\lambda) \cdot S_i(\lambda) d\lambda}{\int_{310}^{2500} E_{\text{G173}}(\lambda) \cdot S_i(\lambda) d\lambda}$$

(6)

where:

$\lambda = \text{wavelength, nm}$

$E_{\text{G173}}(\lambda) = \text{G173-03 hemispherical spectrum, W m}^{-2} \text{ nm}^{-1}$

$E_{\text{SEDES2}}(\lambda) = \text{SEDES2 spectral irradiance, W m}^{-2} \text{ nm}^{-1}$

Similar to the use of the Sandia or CREST correction factor, multiplying the broadband irradiance measurement of the pyranometer by M from Equation 6 provides an “effective irradiance” that is corrected for variation in spectral distribution from the reference spectrum.

2.2.3 SMARTS Model

The Simple Model of the Atmospheric Radiative Transfer of Sunshine Version 2 (SMARTS) was also used to model spectral irradiance for calculating the spectral mismatch correction. Developed by Gueymard,17 SMARTS provides direct normal, global and diffuse horizontal, and global tilted spectral irradiances for clear skies and for 2002 wavelengths from 280 to 4,000 nm. It has been shown to be more accurate than the SPCTRAL2 model, and as accurate as more complex models such as Air Force MODTRAN.18
SMARTS allows nearly 30 input parameters for defining atmospheric constituents and site and application conditions. This work uses SMARTS Version 2.9.5. An earlier Version 2.9.2 was used to develop the G173-03 reference solar spectral irradiances. Compared to Version 2.9.2, the primary difference is that Version 2.9.5 allows the use of a more up-to-date extraterrestrial spectrum. This increases the spectral irradiance by a few percent from 400 nm to 550 nm and decreases it by a few percent from 550 nm to 700 nm and from 850 to 1,300 nm. Algorithms for diffuse irradiance were also streamlined in Version 2.9.5.

Similar to the derivation of the spectral mismatch correction when using the SEDES2 model, Equation 7 is the formula for M for calculating spectral mismatch when using the SMARTS model and the Kipp & Zonen CM11 pyranometer for the reference device. The numerator of the first term, 992.43 W/m², is the integrated solar irradiance of the G 173-03 reference spectrum from 310 to 2,800 nm, which includes the complete spectral range of the Kipp and Zonen pyranometer:

\[
M = \frac{992.43 \text{ W/m}^2}{\int_{310}^{2800} E_{\text{SMARTS}}(\lambda) \cdot S_t(\lambda) d\lambda} \cdot \frac{4000}{\int_{280}^{4000} E_{\text{G173}}(\lambda) \cdot S_t(\lambda) d\lambda}
\]

where:

\[E_{\text{SMARTS}}(\lambda) = \text{SMARTS spectral irradiance, W m}^{-2} \text{ nm}^{-1}.\]

2.2.4 PV Module Spectral Response
The spectral response data for the multi-crystalline PV module and the a-Si/a-Si/a-Si:Ge PV module were selected from previous measurements at NREL, rather than from performing spectral response measurements of the individual PV modules. The data were judged as being representative of the technology and manufacture. Figures 2-3 and 2-4 provide the spectral response data used for the multi-crystalline PV module and the a-Si/a-Si/a-Si:Ge PV module, respectively.

2.2.5 Method Variation for Multi-Junction PV Modules
For series-connected multi-junction PV modules where one junction may limit the current of another, the \(I_{sc}\) of the PV module is considered to be the \(I_{sc}\) provided by the junction that produces the least current. Consequently, for the a-Si/a-Si/a-Si:Ge PV module, the second term of Equations 6 and 7 is evaluated by using the spectral response of the cell that gives the smallest numerator (current at test conditions) for the numerator. The spectral response of the cell that gives the smallest denominator (current at reference conditions) is used for the denominator. Depending on test conditions, the numerator and denominator may require spectral responses of different cells.
Figure 2-3. Spectral response for the multi-crystalline silicon PV module and the G-173-03 reference spectrum.

Figure 2-4. Spectral response for the top, middle, and bottom cells of the a-Si/a-Si/a-Si:Ge PV module, and the G-173-03 reference spectrum.
3 Design of Experiment and Data

Impacts of the spectral distribution on PV module $I_{sc}$ were measured to show geographic variations (i.e., NREL in Golden, Colorado versus FSEC in Cocoa, Florida) and seasonal variations (summer, fall, and winter at NREL). To provide consistent measurements, the same PV modules and data acquisition equipment were used for both locations and all time periods. Additionally, data were screened to remove times with unstable irradiance and times when reflection losses at high incidence angles of the direct beam radiation could be confused with changes in $I_{sc}$ caused by variations in spectral distribution.

3.1 Equipment

The two PV modules used for the experiment were:

- A multi-crystalline silicon PV module – BP Solar Model SX5M, S/N C1020522 2146292

The a-Si/a-Si/a-Si:Ge PV module had been sun-exposed for several years; consequently, the initial light-induced degradation occurred prior to this experiment. Seasonal changes in the efficiency of amorphous silicon PV modules occur from temperature-induced annealing, but the changes primarily affect the fill-factor, not the $I_{sc}$. Furthermore, the deployment times were minimized in order to minimize any exposure or aging effects.

$I_{sc}$ values were measured with current shunts connected to the module leads. PV module temperatures were measured with type T “cement-on” thermocouples taped to the module back-surface, near the center. The plane-of-array irradiance was measured with a Kipp & Zonen CM11 pyranometer. A Campbell Scientific datalogger performed measurements every second and stored the data as one-minute averages.

3.2 Solar Geometry

The PV modules were deployed with a south-facing fixed-tilt orientation, with the tilt angle adjusted, for a particular period and location, so that the angle-of-incidence of the direct beam radiation would be near zero at solar noon. This was to ensure that the sun’s position with respect to the PV modules was as similar as possible for all locations and test periods, thereby facilitating the comparison of test data.
Figure 3-1 presents the relationship between the pressure-corrected air mass and the PV module’s angle-of-incidence of the direct beam radiation. Except for the December dates, adjusting the tilt angle provided very similar air mass versus angle-of-incidence relationships. In Figure 3-1, the distance between symbols represents one hour, with the leftmost symbol coinciding with solar noon.

![Figure 3-1. Relationship between air mass (pressure corrected) and the PV module’s angle-of-incidence of the direct beam radiation for the test dates at NREL and FSEC and the PV module tilt angles from horizontal.](image)

Figures 3-2 and 3-3 show the PV modules deployed at NREL June 7-9, 2008 and at FSEC June 14-19, 2008. To have similar solar geometries with respect to the PV modules, the tilt angles for the two deployments differ by 12° to accommodate their difference in latitude.
Figure 3-2. BP Solar model SX5M (top) and UNI-SOLAR model US-11 (bottom) PV modules with plane-of-array pyranometer at NREL for June 7-9, 2008. PV modules are south-facing and tilted 12° from the horizontal. The enclosure behind the PV modules contains the data logger.

Figure 3-3. BP Solar model SX5M and UNI-SOLAR model US-11 PV modules with plane-of-array pyranometer at FSEC for June 14-19, 2008. PV modules are mounted horizontal and viewed from the north.
3.3 Data Screening

Prior to analysis, the data were screened to remove data with the potential to create errors in the results. The removed data included: (a) data with an angle-of-incidence of direct beam radiation greater than 50°, (b) data with a plane-of-array irradiance below 600 W/m² or greater than 1,150 W/m², and (c) data where the plane-of-array irradiance had changed by more than 5 W/m² from the previous minute’s value.

For angle-of-incidences greater than 50°, $I_{sc}$ is reduced because of increased reflection losses. By limiting data to angle-of-incidences of 50° or less, effects of variations in spectral distribution on $I_{sc}$ may be considered separately from the effects of angle-of-incidence, which can be considerably larger. This also ensured that irradiance measurements using the Kipp & Zonen CM11 pyranometer were accurate.

Calibration records for the pyranometer show its responsivity varying less than 1% for angles-of-incidence of 50° or less, using both morning and afternoon calibration data. The “b” and “c” criteria ensured that data are for mostly clear-sky conditions and conditions are reasonably stable with respect to the plane-of-array irradiance. A rapidly changing irradiance might impact results because the response of the thermopile detector in the pyranometer is significantly slower than that of the PV modules.

The deployments at NREL afforded the opportunity to collect data for a two- to three-week period, and then select two or three days that typified clear-sky conditions, to which the screening criteria were then applied. The FSEC deployment was of shorter duration, and screening criteria were applied to all recorded data. Table 3-1 summarizes the measurement days selected for model evaluation. Appendix A shows data passing selection and screening criteria.

<table>
<thead>
<tr>
<th>Location</th>
<th>Period</th>
<th>Tilt Angle (°)</th>
</tr>
</thead>
<tbody>
<tr>
<td>NREL</td>
<td>June 7-9, 2008</td>
<td>12</td>
</tr>
<tr>
<td>FSEC</td>
<td>June 14-19, 2008</td>
<td>0</td>
</tr>
<tr>
<td>NREL</td>
<td>June 29-30, 2008</td>
<td>12</td>
</tr>
<tr>
<td>NREL</td>
<td>Sept 15 and 17, 2008</td>
<td>40</td>
</tr>
<tr>
<td>NREL</td>
<td>Dec 19 and 21, 2008</td>
<td>60</td>
</tr>
</tbody>
</table>
3.4 Temperature Corrections

Besides spectral and angle-of-incidence effects, $I_{sc}$ is also dependent on PV module temperature. To prevent temperature effects from impacting the study, the $I_{sc}$ measurements were corrected for temperature by using Equation 8 to translate $I_{sc}$ values at the measured PV module temperature to $I_{sc}$ values for a temperature of 25°C.

$$I_{sc_{25}} = I_{sc} \left[ \frac{1 + \alpha \left( T_{pv} + \frac{2.5^\circ C}{1000 \text{W/m}^2} \cdot E - 25^\circ C \right)}{1 + \alpha \left( T_{pv} - 25^\circ C \right)} \right]$$

(8)

where:

$\alpha$ = $I_{sc}$ correction factor for PV module temperature, °C$^{-1}$

$T_{pv}$ = PV module back-surface temperature, °C

$E$ = plane-of-array irradiance, W/m$^2$.

In Equation 8, the middle term within the parentheses accounts for the temperature gradient that exists from the PV module back-surface to the PV cell. Values of $\alpha$ for similar PV modules of the same manufacturer from the Sandia module data base$s$ were used. The values of the coefficients are provided in Table 3-2.

<table>
<thead>
<tr>
<th>PV Module</th>
<th>Technology</th>
<th>$\alpha$ (°C$^{-1}$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>BP SX3150</td>
<td>multi-crystalline silicon</td>
<td>0.000404</td>
</tr>
<tr>
<td>Uni-Solar US-21</td>
<td>a-Si/a-Si/a-Si:Ge</td>
<td>0.000850</td>
</tr>
</tbody>
</table>

3.5 Additional Data for Spectral Models

The empirical air mass methods may be implemented by calculating the sun’s position and resulting air mass. Sun position may be determined from the site coordinates and the date and time. Air mass is determined from Equation 1, or with Equation 2 if pressure corrected. The methods using the spectral irradiance models require additional information for modeling the spectral irradiance. This additional information is described in the following paragraphs.

3.5.1 SMARTS Model

Like the air mass methods, the spectral irradiance models use the site coordinates and the date and time to calculate the sun’s position. SMARTS allows additional input parameters for defining atmospheric constituents and site and application conditions. Besides date and time, the inputs that we used with SMARTS Version 2.9.5 are listed in Table 3-3.
Table 3-3. Additional Input Values for the SMARTS Model

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Location</td>
<td>NREL</td>
<td>FSEC</td>
<td>NREL</td>
<td>NREL</td>
<td>NREL</td>
</tr>
<tr>
<td>Latitude (°N)</td>
<td>39.74</td>
<td>28.39</td>
<td>39.74</td>
<td>39.74</td>
<td>39.74</td>
</tr>
<tr>
<td>Longitude (°W)</td>
<td>105.18</td>
<td>80.75</td>
<td>105.18</td>
<td>105.18</td>
<td>105.18</td>
</tr>
<tr>
<td>Altitude (km)</td>
<td>1.80</td>
<td>0.01</td>
<td>1.80</td>
<td>1.80</td>
<td>1.80</td>
</tr>
<tr>
<td>Time Zone</td>
<td>-7</td>
<td>-5</td>
<td>-7</td>
<td>-7</td>
<td>-7</td>
</tr>
<tr>
<td>Location</td>
<td>NREL</td>
<td>FSEC</td>
<td>NREL</td>
<td>NREL</td>
<td>NREL</td>
</tr>
<tr>
<td>Latitude (°N)</td>
<td>39.74</td>
<td>28.39</td>
<td>39.74</td>
<td>39.74</td>
<td>39.74</td>
</tr>
<tr>
<td>Longitude (°W)</td>
<td>105.18</td>
<td>80.75</td>
<td>105.18</td>
<td>105.18</td>
<td>105.18</td>
</tr>
<tr>
<td>Altitude (km)</td>
<td>1.80</td>
<td>0.01</td>
<td>1.80</td>
<td>1.80</td>
<td>1.80</td>
</tr>
<tr>
<td>Time Zone</td>
<td>-7</td>
<td>-5</td>
<td>-7</td>
<td>-7</td>
<td>-7</td>
</tr>
<tr>
<td>Solar Constant* (W/m²)</td>
<td>1367</td>
<td>1367</td>
<td>1367</td>
<td>1367</td>
<td>1367</td>
</tr>
<tr>
<td>Ozone* (atm-cm)</td>
<td>0.3438</td>
<td>0.3438</td>
<td>0.3438</td>
<td>0.3438</td>
<td>0.3438</td>
</tr>
<tr>
<td>Carbon Dioxide* (ppm)</td>
<td>370</td>
<td>370</td>
<td>370</td>
<td>370</td>
<td>370</td>
</tr>
<tr>
<td>Gaseous Absorption*</td>
<td>Default Vertical Profile</td>
<td>Default Vertical Profile</td>
<td>Default Vertical Profile</td>
<td>Default Vertical Profile</td>
<td>Default Vertical Profile</td>
</tr>
<tr>
<td>Extraterrestrial Spectrum</td>
<td>Option =0, new synthetic</td>
<td>Option =0, new synthetic</td>
<td>Option =0, new synthetic</td>
<td>Option =0, new synthetic</td>
<td>Option =0, new synthetic</td>
</tr>
<tr>
<td>Aerosol Optical Depth at 500 nm</td>
<td>0.060</td>
<td>0.300</td>
<td>0.105</td>
<td>0.100</td>
<td>0.055</td>
</tr>
<tr>
<td>Precipitable Water Vapor (cm)</td>
<td>0.7</td>
<td>3.4</td>
<td>1.1</td>
<td>1.2</td>
<td>0.2</td>
</tr>
<tr>
<td>PV Module Tilt(°)</td>
<td>12</td>
<td>0</td>
<td>12</td>
<td>40</td>
<td>60</td>
</tr>
<tr>
<td>PV Module Azimuth (°)</td>
<td>180</td>
<td>180</td>
<td>180</td>
<td>180</td>
<td>180</td>
</tr>
<tr>
<td>Far-Field Albedo File</td>
<td>51 – Dry long grass</td>
<td>64 – Open sea water</td>
<td>51 – Dry long grass</td>
<td>51 – Dry long grass</td>
<td>30 – Granular snow</td>
</tr>
<tr>
<td>Near-Field Albedo File</td>
<td>51 – Dry long grass</td>
<td>29 – Green rye grass</td>
<td>51 – Dry long grass</td>
<td>51 – Dry long grass</td>
<td>30 – Granular snow</td>
</tr>
<tr>
<td>Receiver Slope, View, and Limit Half-Angles(°)</td>
<td>0, 2.9, 0</td>
<td>0, 2.9, 0</td>
<td>0, 2.9, 0</td>
<td>0, 2.9, 0</td>
<td>0, 2.9, 0</td>
</tr>
</tbody>
</table>

*These parameters are the same as those for the G173-03 hemispherical spectrum.
In Table 3-3, parameters that are the same as for the G173-03 hemispherical spectrum are identified with an asterisk. Precipitable water vapor amounts were determined from the daytime average dew point temperatures and the method of Wright et al.,\textsuperscript{20} except for the December NREL data, which are precipitable water data that were available from NREL’s Solar Radiation Research Laboratory\textsuperscript{21} (SRRL). Aerosol optical depth data for the NREL location and test periods were also from SRRL, and average daytime values for the period. For the FSEC location, no aerosol optical depth data were available. Consequently, it was estimated using information from the National Solar Radiation Data Base.\textsuperscript{22} In hindsight, a far-field albedo for the FSEC location should have included a mix of green grass or trees and water, rather than just water. The near-field albedo is of no consequence for the horizontal PV modules at FSEC.

3.5.2 SEDES2 Model
Compared to SMARTS, SEDES2 has a reduced set of allowable inputs. Besides the site coordinates and the date and time to calculate the sun’s position, the input requirements are: global horizontal irradiance; diffuse horizontal or direct normal irradiance; and dew point temperature, or relative humidity and dry bulb temperature. For NREL, nearby SRRL data were used to provide global horizontal, diffuse horizontal, and direct normal irradiance data. These data were not available for FSEC; consequently, the SEDES2 model was not evaluated using the FSEC data. In place of dew point temperature, SEDES2 code was modified to use the precipitable water vapor amounts from Table 3-3, instead of calculating it internal to SEDES2 from dew-point temperature. This allowed identical precipitable water vapor amounts to be used by both SMARTS and SEDES2.
4 Results

For the data that met screening criteria, air mass correction factors were determined using Equations 3 and 4 for the Sandia and CREST methods, respectively, and spectral mismatch corrections were determined using Equations 6 and 7 and the SEDES2 and SMARTS models, respectively. For each method and data point, the plane-of-array irradiance was then multiplied by the resulting factor or correction to determine an “effective” irradiance.

The methods were compared by performing linear least-square fits through the origin for the temperature-corrected $I_{sc}$ values versus the “effective” irradiances. The least-square fits were performed for the five individual data sets and also for the complete data set consisting of all test data. The root-mean-square-error (RMSE) of the complete data set was used to judge overall performance, and differences in the slopes of the regression lines for the five individual data sets were an indication of how well the method accommodated geographical and seasonal differences in the data sets. To judge the benefit of the methods relative to not performing a spectral correction, similar least-square fits were also performed using irradiances measured by the pyranometer with no correction applied.

Figures 4-1 through 4-18 present the results graphically. Odd numbered figures show plots with data displayed using all data sets and the resulting regression line and RMSE of the fit. Even numbered figures show the same regression line as well as the regression lines for the least-square fits of the individual data sets. The slopes of the regression lines for the individual data sets are provided as the percent change from the slope of the regression line when using all data. Differences in the slopes of the regression lines may indicate geographical or seasonal dependencies. This information is summarized in Table 4-1.

For the multi-crystalline silicon PV module, the method using the SEDES2 model yielded the smallest RMSE and the smallest range of slope differences for the least-square fits of the individual data sets, which was a small improvement over not applying a spectral correction. The method using the SMARTS model was a close second. The method using the Sandia $f(AM_a)$ function yielded errors that were somewhat greater than when no corrections were applied.

For the a-Si/a-Si/a-Si:Ge PV module, all methods provided some improvement. The SEDES2 and SMARTS performed best, and about equal to each other. The Sandia and CREST air mass methods performed about the same as each other, but compared to the methods using the SEDES2 and SMARTSs models, they had greater RMSE and the differences in the slopes of the regression lines of the individual data sets were larger. As described previously, results for the SEDES2 model do not include the Florida data because that data set did not include all parameters required for the SEDES2 model. However, it doesn’t appear that this compromised the results. Results determined for the SMARTS model, with and without the FSEC data, were the same. Both the SEDES2 and SMARTS model exhibited similar results in that the fit slope for the June 7-9 data at NREL was the smallest, and the fit slope for the June 29-30 data at NREL was the largest.
Figure 4-1. Temperature-corrected $I_{sc}$ versus POA irradiance, without spectral correction, multi-crystalline silicon PV module.

Figure 4-2. Fit slopes of individual data sets for temperature-corrected $I_{sc}$ versus POA irradiance, without spectral correction, multi-crystalline silicon PV module.
Figure 4-3. Temperature-corrected $I_{sc}$ versus POA irradiance corrected with Sandia AM$_{s}$ function, multi-crystalline silicon PV module.

Fit Through Origin: $Y = 0.0002905 \times X$
Number of data points used = 2727
Average X = 938.8
Average Y = 0.2728
RMSE = 0.00472 A or 1.7% of average

Figure 4-4. Fit slopes of individual data sets for temperature-corrected $I_{sc}$ versus POA irradiance corrected with Sandia AM$_{s}$ function, multi-crystalline silicon PV module.
Figure 4-5. Temperature-corrected $I_{sc}$ versus POA irradiance corrected with spectral mismatch using SEDES2 model and PV module spectral response data, multi-crystalline silicon PV module.

Figure 4-6. Fit slopes of individual data sets for temperature-corrected $I_{sc}$ versus POA irradiance corrected with spectral mismatch using SEDES2 model and PV module spectral response data, multi-crystalline silicon PV module.
Figure 4-7. Temperature-corrected $I_{sc}$ versus POA irradiance corrected with spectral mismatch using SMARTS model and PV module spectral response data, multi-crystalline silicon PV module.

Figure 4-8. Fit slopes of individual data sets for temperature-corrected $I_{sc}$ versus POA irradiance corrected with spectral mismatch using SMARTS model and PV module spectral response data, multi-crystalline silicon PV module.
Figure 4-9. Temperature-corrected $I_{sc}$ versus POA irradiance, without spectral correction, a-Si/a-Si/a-Si:Ge PV module.

Figure 4-10. Fit slopes of individual data sets for temperature-corrected $I_{sc}$ versus POA irradiance, without spectral correction, a-Si/a-Si/a-Si:Ge PV module.
Figure 4-11. Temperature-corrected $I_{sc}$ versus POA irradiance corrected with Sandia AM$_a$ function, a-Si/a-Si/a-Si:Ge PV module.

Figure 4-12. Fit slopes of individual data sets for temperature-corrected $I_{sc}$ versus POA irradiance corrected with Sandia AM$_a$ function, a-Si/a-Si/a-Si:Ge PV module.
Figure 4-13. Temperature-corrected $I_{sc}$ versus POA irradiance corrected with CREST AM function, a-Si/a-Si/a-Si:Ge PV module.

Figure 4-14. Fit slopes of individual data sets for temperature-corrected $I_{sc}$ versus POA irradiance corrected with CREST AM function, a-Si/a-Si/a-Si:Ge PV module.
Figure 4-15. Temperature-corrected $I_{sc}$ versus POA irradiance corrected with spectral mismatch using SEDES2 model and PV module spectral response data, a-Si/a-Si/a-Si:Ge PV module.

Figure 4-16. Fit slopes of individual data sets for temperature-corrected $I_{sc}$ versus POA irradiance corrected with spectral mismatch using SEDES2 model and PV module spectral response data, a-Si/a-Si/a-Si:Ge PV module.
**Figure 4-17.** Temperature-corrected $I_{sc}$ versus POA irradiance corrected with spectral mismatch using SMARTS model and PV module spectral response data, a-Si/a-Si/a-Si:Ge PV module.

**Figure 4-18.** Fit slopes of individual data sets for temperature-corrected $I_{sc}$ versus POA irradiance corrected with spectral mismatch using SMARTS model and PV module spectral response data, a-Si/a-Si/a-Si:Ge PV module.
Table 4-1. Results of Least-Square-Fits of Temperature Corrected $I_{sc}$ Versus Effective Irradiance When Using Various Spectral Correction Methods.

<table>
<thead>
<tr>
<th>Spectral Correction Method</th>
<th>Multi-Crystalline Silicon PV Module</th>
<th>a-Si/a-Si/a-Si:Ge PV module</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>RMSE (%)</td>
<td>Slope Delta (%)*</td>
</tr>
<tr>
<td>None</td>
<td>1.1</td>
<td>-1.3 to 0.9</td>
</tr>
<tr>
<td>Sandia f(AM$_a$)</td>
<td>1.7</td>
<td>-2.4 to 1.2</td>
</tr>
<tr>
<td>CREST f(AM)</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>SEDES2 w/ Spectral Response Data</td>
<td>0.8</td>
<td>-0.4 to 0.5</td>
</tr>
<tr>
<td>SMARTS w/Spectral Response Data</td>
<td>1.0</td>
<td>-1.0 to 0.8</td>
</tr>
</tbody>
</table>

* Difference of slope of regression line for individual data set from that when using all data.
5 Analysis of Results

From the results presented in Section 4, the methods using spectral irradiance models and PV module spectral response data performed better than the empirical air mass methods. This is attributed to the spectral models accounting for the influence of aerosols and water vapor on the distribution of the spectral irradiance, but also may be a consequence of how the empirical air mass functions are determined and implemented.

5.1 Variations in Solar Spectrum
For clear skies, variations in spectrum are predominantly influenced by the air mass, aerosols, and water vapor. To illustrate their effects, the SMARTS model was used to model spectra to compare with the G173-03 hemispherical spectrum. The same inputs used to model the G173-03 hemispherical spectrum were used, except air mass, aerosol, and water vapor amounts were varied singularly and in combination to show their effects. Like the G173-03 hemispherical spectrum, the spectra modeled with SMARTS include both the direct and diffuse solar radiation components.

5.1.1 Influence of Air Mass
Figure 5-1 illustrates spectra for air mass values of 1.0 and 2.0 for comparison with the G173-03 hemispherical spectrum with air mass of 1.5. Other model inputs are the same, including a sun-facing 37° tilted-surface; consequently, the angle-of-incidence of direct beam radiation to the PV module surface is increased and the hemispherical radiation is decreased for both air mass values of 1.0 and 2.0. Nevertheless, the change in spectral distribution from shorter to longer wavelengths with increasing air mass is evident. This is the fundamental reasoning for the empirical air mass methods.
Figure 5-1. Comparison of spectra for air mass values 1.0 and 2.0 with the G173-03 hemispherical spectrum. Other model inputs are the same as that for the G173-03 hemispherical spectrum.

5.1.2 Influence of Aerosols

Figure 5-2 illustrates spectra for aerosol optical depths of 0.055 and 0.300 for comparison with the G173-03 hemispherical spectrum with aerosol optical depth of 0.084. The value of 0.055 corresponds to the NREL December 19 and 21 data, and the value of 0.300 corresponds to the FSEC June 14-19 data. Compared to the G173-03 spectrum, decreasing the aerosol optical depth to 0.055 increased the broadband radiation by about 12 W/m², which mostly occurred over wavelengths less than 500 nm. Increasing the aerosol optical depth to 0.300 decreased the broadband radiation by about 40 W/m², which is noticeable over a broader range of wavelengths, but primarily for wavelengths less than 800 nm.
5.1.3 Influence of Water Vapor

Figure 5-3 illustrates spectra for precipitable water vapor amounts of 0.2 cm and 3.4 cm for comparison with the G173-03 hemispherical spectrum with precipitable water vapor amount of 1.4164 centimeters (cm). The value of 0.2 cm corresponds to the NREL December 19 and 21 data, and the value of 3.4 cm corresponds to the FSEC June 14-19 data. Compared to the G173-03 spectrum, decreasing the precipitable water vapor to 0.2 cm increased the broadband radiation by about 83 W/m². Increasing the precipitable water vapor to 3.4 cm decreased the broadband radiation by about 46 W/m².

Water vapor absorption bands are centered at 724; 824; 938; 1,120; 1,400; 1,860; and 2,700 nm. Water vapor absorption in bands outside the spectral response range of the PV module impact the spectral mismatch factor more than those within the spectral response range of the PV module because they do not change the integrated current output of the PV module (numerator of the second term of Equations 6 and 7), but they still change the value of the integrated spectral irradiance (denominator of the first term of Equations 6 and 7). Changes in water vapor amounts will cause more variability in the spectral mismatch factor for an amorphous silicon PV module than for a crystalline silicon PV module because the spectral response range of the amorphous silicon PV module includes fewer water vapor absorption bands. Additionally, a multi-junction amorphous silicon PV module should be expected to have greater variability in spectral mismatch factor than a single-junction amorphous silicon PV module because its individual junctions encompass smaller spectral response ranges and fewer water vapor absorption bands.
5.1.4 Influence of Aerosol and Water Vapor Combinations

Figure 5-4 illustrates spectra for two combinations of aerosol optical depth and precipitable water vapor amounts. One spectra has an aerosol optical depth of 0.055 and a precipitable water vapor amount of 0.2 cm (which corresponds to the NREL December 19 and 21 data), and the other spectra has an aerosol optical depth of 0.300 and a precipitable water vapor amount of 3.4 cm (which corresponds to the FSEC June 14-19 data).

Compared to the G173-03 spectrum, decreasing the aerosol optical depth and the precipitable water vapor increased the broadband radiation by about 91 W/m². Increasing the aerosol optical depth and the precipitable water vapor decreased the broadband radiation by about 88 W/m². These amounts are similar, but a few W/m² different, than if the accumulative effects of Figures 5-2 and 5-3 are considered where aerosol optical depth and the precipitable water vapor amounts are varied singularly.
Figure 5-4. Comparison of two spectra with the G173-03 hemispherical spectrum. One spectra with an aerosol optical depth of 0.055 and a precipitable water vapor amount of 0.2 cm, and the other spectra with an aerosol optical depth of 0.300 and a precipitable water vapor amount of 3.4 cm. Other model inputs are the same as that for the G173-03 hemispherical spectrum.

5.1.5 Geographical and Seasonal Considerations

For the multi-crystalline silicon PV module, the error statistics for Sandia AMa function were larger than if no correction were applied. This may be a result of seasonal variations in aerosols and water vapor compensating for seasonal changes in air mass. For the United States, precipitable water vapor is about three times greater in the summer than the winter.23 Similarly, aerosol amounts are also greater in summer than winter, partly because the aerosols are larger because of the presence of water vapor. Decreased water vapor and aerosol amounts in winter may be offsetting any spectral benefit of increased air mass. Consequently, by only addressing one parameter that influences spectrum, the Sandia AMa function may be introducing error instead of removing it.

Another consideration is using a pressure-corrected airmass to adjust for elevation. Equation 2 provides an AMa value representing an absolute path length through the atmosphere for clean dry air, whose constituents are generally considered uniformly mixed. If aerosols and water vapor were also uniformly mixed in the atmosphere, their effects might also be related to AMa, but this is not the situation. Aerosol concentrations vary with altitude; likewise for precipitable water vapor where about one-half is concentrated in the first 2 kilometers (km) above sea level.23 For our test data, comparing the June 29-30 data at NREL with the June 14-19 data at FSEC yields AMa values for NREL’s elevation that are 81% of those at FSEC, but the aerosol optical depth and precipitable water vapor amounts are only 35% and 32%, respectively, of those at FSEC. Gueymard24 presents similar information and recommends that a pressure-corrected
airmass not be used because the extinction of solar radiation by aerosols, water vapor, or ozone is not proportional to pressure.

5.2 Comparison of Air Mass Function and Spectral Mismatch

Figures 5-5 and 5-6 compare the Sandia AM$_a$ function for the multi-crystalline silicon PV module and the a-Si/a-Si/a-Si:Ge PV module with spectral mismatch values calculated for each set of test data using the SMARTS model and the PV module spectral response data. For comparison, spectral mismatch values are plotted versus AM$_a$.

In general, for the NREL and FSEC data sets the calculated spectral mismatch values change less with AM$_a$ than the Sandia AM$_a$ functions, and while the Sandia AM$_a$ functions equal 1 when the AM$_a$ equals 1.5, the spectral mismatch is less than 1 for the multi-crystalline silicon PV module (from 0.98 to 0.995) and greater than 1 for the a-Si/a-Si/a-Si:Ge PV module (from 1.025 to 1.07).

For the a-Si/a-Si/a-Si:Ge PV module, only the spectral mismatch values for the NREL December 19 and 21 data resembled the Sandia AM$_a$ function. This is likely a consequence of which cell in the multi-junction construction was limiting the performance. Figures 5-7 and 5-8 provide the spectral mismatch for the PV module and each of its cells for two data sets: June 14-19 at FSEC and December 19 and 21 at NREL. For June at FSEC, the mismatch for the middle cell in Figure 5-7 has the same profile as the mismatch for the PV module; consequently, the middle cell is determining performance. (Performance is determined by the cell producing the least current, but current is not shown in the figures.) For December at NREL, the top cell in Figure 5-8 is limiting performance, which provided results similar to the Sandia AM$_a$ function.
Figure 5-5. Sandia AM\textsubscript{s} function and spectral mismatch, calculated using SMARTS model, versus AM\textsubscript{s} for the multi-crystalline silicon PV module.

Figure 5-6. Sandia AM\textsubscript{s} function and spectral mismatch, calculated using SMARTS model, versus AM\textsubscript{s} for the a-Si/a-Si/a-Si:Ge silicon PV module.
Figure 5-7. Spectral mismatch for the a-Si/a-Si/a-Si:Ge PV module and each of its cells for June 14-19 at FSEC. Performance is limited by the middle cell.

Figure 5-8. Spectral mismatch for the a-Si/a-Si/a-Si:Ge PV module and each of its cells for December 19 and 21 at NREL. Performance is limited by the top cell.
5.3 Effects of Diffuse Radiation on AM Functions

Solar radiation received by a flat-plate PV module consists of both direct beam and diffuse radiation. The spectral distribution of these two components is quite different. Direct beam radiation has more energy at longer wavelengths, which shifts further with increasing air mass. Diffuse radiation has more energy at shorter wavelengths, as represented by a blue sky. The presence of clouds further shifts the diffuse spectrum to shorter wavelengths.\(^{11}\)

Consequently, besides the influence of aerosols and water vapor, determining an air mass function for a PV module is affected by the proportions of direct beam and diffuse radiation. The Sandia and CREST air mass functions were derived using two different PV module orientations, and achieved two different results for the a-Si/a-Si/a-Si:Ge PV module. The CREST method employs a fixed-tilt orientation and their function was less dependent on air mass because of the presence of more diffuse radiation. The Sandia method mounts the PV module on a two-axis tracker, thereby ensuring a high percentage of direct beam radiation and more dependence on air mass. In fact, the Sandia results may be similar to those in which only direct beam radiation is present. Figure 5-9 compares the Sandia AM\(_a\) function for the a-Si/a-Si/a-Si:Ge PV module with spectral mismatch values calculated for each set of test data using direct normal spectra from the SMARTS model and the PV module spectral response data. When only modeled direct normal radiation is considered, the calculated spectral mismatch values are more similar to the Sandia AM\(_a\) function.

![Figure 5-9. Sandia AM\(_a\) function and spectral mismatch values, calculated using SMARTS modeled direct normal spectra, versus AM\(_a\) for the a-Si/a-Si/a-Si:Ge silicon PV module.](image-url)
6 Summary

This report presents the results of a preliminary investigation of methods for correcting the $I_{sc}$ of PV modules for variations in solar spectrum under clear skies. We evaluated two types of methods: (1) empirical relationships based on air mass, and (2) use of spectral irradiance models and PV module spectral response data. Methods of the first type were the Sandia $f(AM_a)$ function and the CREST $f(AM)$ function. The second type used SEDES2 and SMARTS spectral irradiance models.

The methods were evaluated using data recorded during June, September, and December, 2008 at NREL and during June, 2008 at FSEC. The data included one-minute average values of $I_{sc}$ and PV module temperature for both a multi-crystalline silicon PV module and an a-Si/a-Si/a-Si:Ge PV module, along with coincident measurements of the plane-of-array solar irradiance with a pyranometer. The same equipment was used for all measurements at both locations. The PV modules were deployed south-facing at a fixed-tilt, with the tilt angle adjusted, for a test period and location, so that the angle-of-incidence of the direct beam radiation would be near zero at solar noon.

Data used for analysis were screened to remove data when the presence of clouds or large angle-of-incidences of direct beam radiation created the potential for errors in the results. The angle-of-incidence screening limited data to within about three or three and one-half hours either side of solar noon, the peak energy producing part of the day. AM$_a$ values of the screened data ranged from 0.8 to 1.5 for the June and September data, and from 1.8 to 3.3 for the December data.

For predicting $I_{sc}$, the methods using spectral irradiance models and PV module spectral response data performed better than the empirical air mass methods. This is attributed to the empirical air mass methods not accounting for variations of aerosols and water vapor, which have also been identified by Emery et al.$^{25}$ as a limitation of these methods.

For the multi-crystalline silicon PV module, the Sandia $f(AM_a)$ function yielded errors that were somewhat greater than when no corrections were applied. Decreased water vapor and aerosol amounts in winter may have offset any spectral benefit from increased air mass predicted by the method. The methods using spectral irradiance models improved results compared to when not applying a spectral correction, but not significantly when considering the larger modeling errors that can exist with plane-of-array irradiance models or PV module temperature models.

A satisfactory solution might be to apply a general derate factor of 0.98 or 0.99 to bring the performance with the reference spectrum closer to these experimental results. (Interestingly, PV modules with conventional bulk silicon cells rated under the G173-03 hemispherical spectrum have their $I_{sc}$ increased 0.6% to 1.0% when compared to a rating under the previous reference spectrum.$^{26}$ Consequently, the previous reference spectrum would give a general derate factor closer to one for this PV module.)

For the a-Si/a-Si/a-Si:Ge PV module, all methods provided some improvement compared to no spectral correction. The SEDES2 and SMARTS performed best, and about equal to each other. The Sandia and CREST air mass functions performed about the same as each other, but with increased error. Not properly accounting for diffuse radiation by these methods may have
influenced results. This module’s $f(AM_a)$ function was strongly dependent on air mass and indicated that performance was top cell limited, consistent with a characterization performed on a tracker with a large direct beam component. However, the test data were for fixed-tilt operation with a smaller direct beam component and a larger diffuse component. For the June data, the method using the SMARTS model, which accounts for the proportions of direct and diffuse components, indicated only a small sensitivity to air mass and that performance was limited by the middle cell.

Future work will evaluate the use of a spectral irradiance model and PV module spectral response data for other PV technologies and for all sky conditions. The SEDES2 model already accommodates the influence of clouds and can be used without modification. The SMARTS models spectra for only clear skies, but the ability to provide spectra for direct and diffuse components may afford the opportunity to extend its capabilities, or cloud cover modifiers similar to that used for SEDES2 might be derived.

A worthwhile effort might also be to perform an inter-comparison between SEDES2, SMARTS, and experimental data using spectroradiometer measurements at NREL. Better input data to the models might also improve results. For example, this study used the same ozone amount as the G173-03 spectrum, but satellite-derived amounts are available that would provide seasonal and location-specific values.

Work is also underway at NREL to provide better estimates of aerosol and water vapor amounts, which could improve spectral modeling results. Detailed spectral albedo measurements have also been identified by Gueymard as important for modeling spectral irradiances for steeply tilted surfaces.27
7 References

Appendix A: Plots

This appendix contains plots of the plane-of-array irradiance versus time of day for data passing the screening criteria for the days selected for the model evaluations. For ease of view, only every fifth data point is displayed in the figures.
Figure A-1. Irradiance profiles using data passing screening criteria for June 7-9, 2008 at NREL.

Figure A-2. Irradiance profiles using data passing screening criteria for June 14-19, 2008 at FSEC.
Figure A-3. Irradiance profiles using data passing screening criteria for June 29-30, 2008 at NREL.

Figure A-4. Irradiance profiles using data passing screening criteria for September 15 and 17, 2008 at NREL.
Figure A-5. Irradiance profiles using data passing screening criteria for December 19 and 21, 2008 at NREL.
### Preliminary Investigation of Methods for Correcting for Variations in Solar Spectrum under Clear Skies

This report presents results of a preliminary investigation of methods for correcting the short-circuit current of photovoltaic modules for variations in the solar spectrum. Two types of methods were evaluated: (1) empirical relationships based on air mass, and (2) use of spectral irradiance models and PV module spectral response data. The report describes the methods of correction, the design of the experiment and data, the results, and analysis of the results.

**Subject Terms**
- short-circuit current
- photovoltaic modules
- solar spectrum
- spectral irradiance
- spectral irradiance models
- spectral response data

**Security Classification of:**
- a. REPORT: Unclassified
- b. ABSTRACT: Unclassified
- c. THIS PAGE: Unclassified

**Limitation of Abstract:**
- UL

**Number of Pages:**
- 1

**Name of Responsible Person:**
- 19a. NAME OF RESPONSIBLE PERSON