

# **Influence of Substrate Temperature and Hydrogen Dilution Ratio on the Properties of Nanocrystalline Silicon Thin Films Grown by Hot-Wire Chemical Vapor Deposition**

**Preprint**

H.R. Moutinho, C.-S. Jiang, B. Nelson, Y. Xu, J. Perkins, B. To, K.M. Jones, M.J. Romero, and M.M. Al-Jassim

*To be presented at the 2003 Materials Research Society  
Spring Meeting  
San Francisco, California  
April 21-25, 2003*



**NREL**

**National Renewable Energy Laboratory**

1617 Cole Boulevard  
Golden, Colorado 80401-3393

NREL is a U.S. Department of Energy Laboratory  
Operated by Midwest Research Institute • Battelle • Bechtel

Contract No. DE-AC36-99-GO10337

## NOTICE

The submitted manuscript has been offered by an employee of the Midwest Research Institute (MRI), a contractor of the US Government under Contract No. DE-AC36-99GO10337. Accordingly, the US Government and MRI retain a nonexclusive royalty-free license to publish or reproduce the published form of this contribution, or allow others to do so, for US Government purposes.

This report was prepared as an account of work sponsored by an agency of the United States government. Neither the United States government nor any agency thereof, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States government or any agency thereof.

Available electronically at <http://www.osti.gov/bridge>

Available for a processing fee to U.S. Department of Energy  
and its contractors, in paper, from:

U.S. Department of Energy  
Office of Scientific and Technical Information  
P.O. Box 62  
Oak Ridge, TN 37831-0062  
phone: 865.576.8401  
fax: 865.576.5728  
email: [reports@adonis.osti.gov](mailto:reports@adonis.osti.gov)

Available for sale to the public, in paper, from:

U.S. Department of Commerce  
National Technical Information Service  
5285 Port Royal Road  
Springfield, VA 22161  
phone: 800.553.6847  
fax: 703.605.6900  
email: [orders@ntis.fedworld.gov](mailto:orders@ntis.fedworld.gov)  
online ordering: <http://www.ntis.gov/ordering.htm>



# **Influence of Substrate Temperature and Hydrogen Dilution Ratio on the Properties of Nanocrystalline Silicon Thin Films Grown by Hot-Wire Chemical Vapor Deposition**

H.R. Moutinho, C.-S. Jiang, B. Nelson, Y. Xu, J. Perkins, B. To, K.M. Jones, M.J. Romero, and M.M. Al-Jassim  
National Renewable Energy Laboratory  
1617 Cole Blvd.  
Golden, CO 80401 USA

## **ABSTRACT**

We have studied the influence of substrate temperature and hydrogen dilution ratio on the properties of silicon thin films deposited on single-crystal silicon and glass substrates. We varied the initial substrate temperature from 200° to 400°C and the dilution ratio from 10 to 100. We also studied the effectiveness of the use of a seed layer to increase the crystallinity of the films. The films were analyzed by atomic force microscopy, X-ray diffraction, Raman spectroscopy, and transmission and scanning electron microscopy. We found that as the dilution ratio is increased, the films go from amorphous, to a mixture of amorphous and crystalline, to nanocrystalline. The effect of substrate temperature is to increase the amount of crystallinity in the film for a given dilution ratio. We found that the use of a seed layer has limited effects and is important only for low values of dilution ratio and substrate temperature, when the films have large amounts of the amorphous phase.

## **INTRODUCTION**

Nanocrystalline silicon (nc-Si) has been receiving special attention lately because it is cheaper to produce than crystalline silicon, does not seem to present the degradation problems of amorphous silicon ( $\alpha$ -Si) [1], and can be doped p- and n-type [2,3]. Furthermore, because of its bandgap, it can be used in tandem solar cells with  $\alpha$ -Si [4]. Among the deposition methods, hot-wire chemical vapor deposition (HWCVD) [5] has the advantage of higher deposition rates when compared to other conventional techniques, such as plasma-enhanced CVD.

In general, nc-Si is highly anisotropic, and it is deposited as a mixture of amorphous and crystalline phases. Furthermore, depending on the deposition conditions, the crystalline phase varies from nanocrystalline to large columnar grains [6]. Extensive work is still necessary before this material can be produced with controlled properties and is able to produce solar cells that can compete with more traditional ones. In the present work, we investigate the effects of different substrate temperatures and hydrogen dilution ratio on the structural properties of the films. We also investigate if the use of a very thin seed layer [7], deposited with a high value of dilution ratio, and different substrates (Si and glass) affect the growth process.

## **EXPERIMENTAL DETAILS**

The films were grown by HWCVD, using a double filament, with a current of 13A passing through each filament, resulting in a temperature around 1850°C. Films were grown at three

ranges of substrate temperatures,  $T_{\text{sub}}$ : 200°-320°C, 300°-383°C, and 400°-435°C. The first temperature is the one at the time when the shutter was open, and the last temperature is the one at the end of deposition. This increase in temperature is caused by the proximity between substrates and filaments. As expected, this effect is more pronounced at lower substrate temperatures. The dilution ratio (R) between hydrogen ( $\text{H}_2$ ) and silane ( $\text{SiH}_4$ ) was controlled by varying the flux of  $\text{SiH}_4$ . The flux of  $\text{H}_2$  was kept around 250 sccm, while the flux of  $\text{SiH}_4$  was varied from 25 to 2.5 sccm, for R varying between 10 and 100, respectively. The deposition pressure was 150 mTorr. The films deposited on seed layers were grown with similar parameters, and the seed layers ( $\cong$  12 nm thick) were deposited with R equal to 100 and the above substrate temperatures. At the end of the deposition of the seed layer, the value of R was adjusted for the subsequent growth of the film. The films were deposited on 1737 Corning glass and (100)-oriented crystalline Si substrates. The films were characterized by atomic force microscopy, in tapping mode, with a Digital Instruments DI 3100 scanning probe microscope; X-ray diffraction, with a Scintag X1 diffractometer; Raman spectroscopy, with a single-grating Spex 270M spectrometer; and transmission and scanning electron microscopy (TEM and SEM), using a Philips CM30 TEM and a JEOL 6320F field-emission SEM, respectively.

## RESULTS AND DISCUSSION

There is a considerable thickness variation of the films, that is, the film structure changes as the film grows, such that there can be a different type of film at the top surface from that at the substrate. For this reason, it is important to consider film thickness when comparing the properties of two films. Unless mentioned in the text, when we compare films, we make sure that the thickness is the same or that it is not playing a role in that analysis.

### Deposition rate

Deposition rates were calculated from thickness values measured by SEM. As expected, the deposition rates decreased with an increase in the value of R. An interesting observation is that there was no major influence of the substrate temperature on the deposition rate, as shown in Fig. 1. Because temperature influences the surface diffusion of adsorbed species, in general it is a critical parameter on the nucleation and growth of thin films. The results shown in Fig. 1 indicate that, in the temperature range used in this work, the availability of the source material is the main factor in the deposition rate of nc-Si films.

### X-ray diffraction

All the films in this study had the cubic structure (JCPDS 27-1402). We found that, regardless of the growth temperature, the films had the same general behavior as the dilution ratio was varied. Films with very low R were amorphous. As R increased, a (220)-oriented phase would appear. As R continued to increase, the (220) orientation would decrease, and, for higher values of R, the film would become practically randomly oriented. This behavior, shown in Fig. 2, had been observed in a previous work for films grown at 400°C [8]. The value of R for which the film was amorphous was a function of the temperature (Fig. 2). Although films deposited with R equal to 14 and  $T_{\text{sub}}$  equal to 200° and 300°C did not show any diffraction

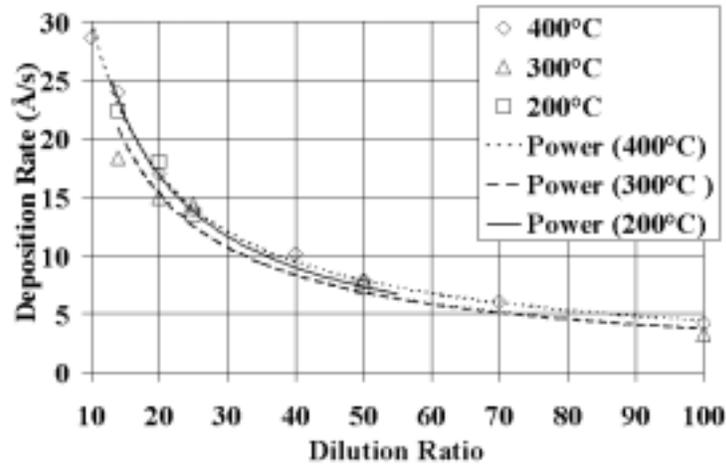


Figure 1. Deposition rate versus dilution ratio for Si films. The data were fitted to power functions, as denoted in the legends.

peak, an increase in  $T_{\text{sub}}$  to  $400^{\circ}\text{C}$  would produce films with a sharp (220) peak. A possible explanation is that at  $400^{\circ}\text{C}$  the adsorbed atoms have a higher surface mobility, and more atoms will be able to reach crystalline regions. Nevertheless, because at these low values of  $R$ , the nucleation of amorphous material still dominates the deposition process, the film will still be highly amorphous. For the  $400^{\circ}\text{C}$  films, a value of  $R$  equal to 10 would result in XRD patterns without any diffraction peak. For all the temperatures, films deposited with  $R$  equal to 20 already present a decrease in the (220) texture, and films deposited with  $R$  equal to 50 are already randomly oriented.

The effect of a seed layer was observed only in some situations when the film naturally would be amorphous (low  $R$ ). For instance, for  $R$  equal to 14 and  $T_{\text{sub}}$  equal to  $300^{\circ}\text{C}$ , the seeded film had a small (220) peak, whereas the thicker unseeded film seemed to be completely

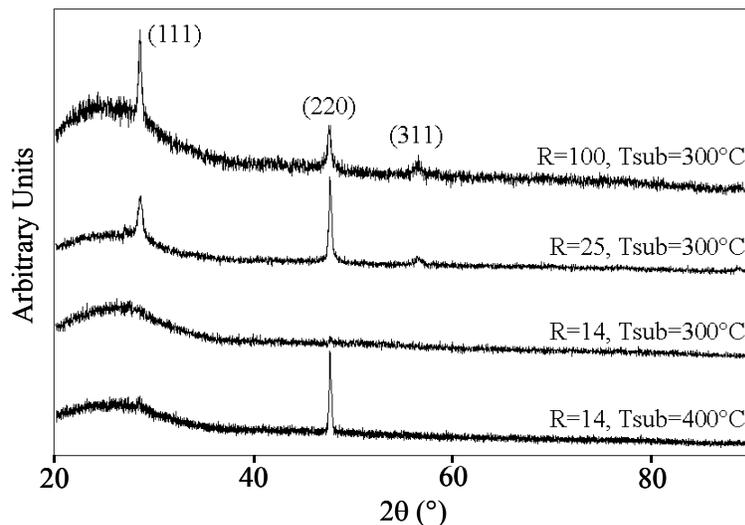


Figure 2. X-ray diffraction patterns for Si films grown at different conditions.

amorphous. For any other conditions, when a crystalline phase was already present, there were no significant differences between the results for unseeded and seeded films.

We also did not find any significant effect of substrate on the XRD measurements. This result is not so surprising, because the crystalline phase has (220) texture or is randomly oriented, whereas the Si substrate has a (100) orientation. This means that, if there is epitaxial growth, it is not extensive. Indeed, in spite of some limited epitaxial growth observed in TEM analysis, we have not noticed any substantial influence of the substrates on the properties of the films.

We observed a peak at  $26.9^\circ$  in samples grown at  $400^\circ\text{C}$ , for both seeded and unseeded films. Because it was present only in films grown with R larger than 14, it must be associated with the randomly oriented phase. Nevertheless, this peak was not observed for films deposited at  $200^\circ$  or  $300^\circ\text{C}$ , and its origin is at the moment unknown, due to the nature of XRD analysis, which in general requires few peaks for the identification of a crystalline structure.

### **Raman spectroscopy**

We observed that the crystallinity in the films improves for higher substrate temperatures and dilution ratios. Nevertheless, as for XRD, an increase in R seems to be more effective than an increase in  $T_{\text{sub}}$ . Also, an increase in R affects the film more strongly at the lowest  $T_{\text{sub}}$ . As shown in Fig. 3, samples go from amorphous to highly crystalline as R and  $T_{\text{sub}}$  increases. It is important to mention that the samples deposited at  $200^\circ\text{C}$ , in Fig. 3, were about 25% thinner than the ones deposited at  $400^\circ\text{C}$ . This probably results in the latter samples seeming to be relatively more crystalline than they would if all samples had the same thickness. Comparison of the results using red and green lasers indicates that, in general, the crystallinity increases with sample thickness. Nevertheless, because the measurements were done on the films deposited on

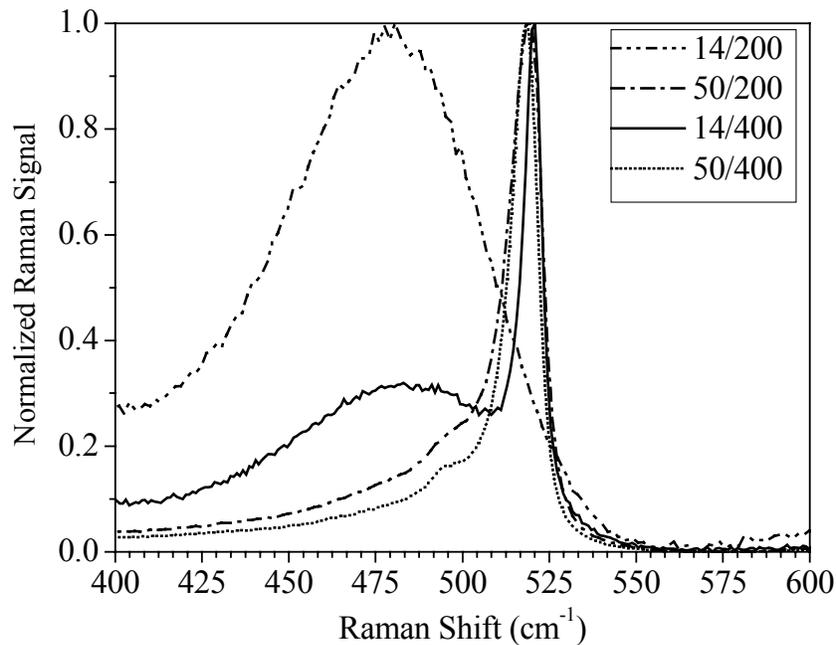


Figure 3. Raman spectra for Si films deposited at different conditions. In the legend, the number on the left is the dilution ratio and the one on the right is the substrate temperature.

Si, and because many of the films deposited at 200° and 300°C were not thick enough to prevent the red laser from reaching the substrate, we cannot confirm that this behavior occurs for all films analyzed in this work.

We have only analyzed seeded films deposited at 400°C. As in the XRD measurements, we only found evidence of the effectiveness of the use of a seed layer for films with large amounts of the amorphous phase, in which the seed layer increases the amount of crystalline phase in the film. No significant differences were found in Raman spectra of seeded and unseeded films for R equal or larger than 20.

### **Atomic force microscopy and transmission electron microscopy**

The AFM images of the  $\alpha$ -Si phase show structures that resemble grains. For this reason, it is very difficult to unmistakably assign grain-like structures to crystalline material in AFM images without the aid of other kinds of analysis.

From the AFM and TEM data, we observed that the structure and morphology of the samples vary with changes in R, independently of the substrate temperature. For low values of R, the film is completely amorphous. As R increases, some (220)-oriented grains start to grow at the interface with the substrate. These grains grow as columns while the film is being deposited, also growing laterally. They appear as elongated grains in AFM images. In this way, the amount of the crystalline phase increases as the film grows. For larger values of R, the density of these grains increases, increasing the amount of the crystalline phase. At this point, there is also the appearance of a randomly oriented phase, observed in the XRD analysis. For further increase in R, the film nucleates preferably as nc-Si, and the number of columnar grains decreases, until they almost disappear for large values of R. As the substrate temperature increases, the amount of crystalline material in the film increases, for the same values of R. This is more evident for lower values of R (up to 25), where the number of elongated grains increases with temperature, as observed in Fig. 4. For large values of R, when the density of elongated grains decreases, it is difficult to distinguish between the amorphous and the nanocrystalline phase in AFM images. It is important to notice that, for intermediate conditions of R and  $T_{\text{sub}}$ , three distinct phases are present in the films:  $\alpha$ -Si, nc-Si, and the columnar grains, which are large crystals, extending over the whole thickness of the film.

In general, the morphology of the films does not change for seeded and unseeded films. As observed before, the seeded layer makes a difference, increasing the amount of the crystallinity, only for samples with large amounts of the amorphous phase. Finally, we did not notice any major effect of the substrate on the morphology of the films.

## **CONCLUSIONS**

The dilution ratio is the major parameter for controlling the structural properties of silicon thin films. As the value of this parameter increases, the film goes from completely amorphous, to a mixture of amorphous and crystalline, to nanocrystalline. We have also seen a temperature dependence, where increasing the substrate temperature increases the crystallinity of the films. The use of a seed layer is only effective in improving the crystallinity of films grown with a high concentration of the amorphous phase.

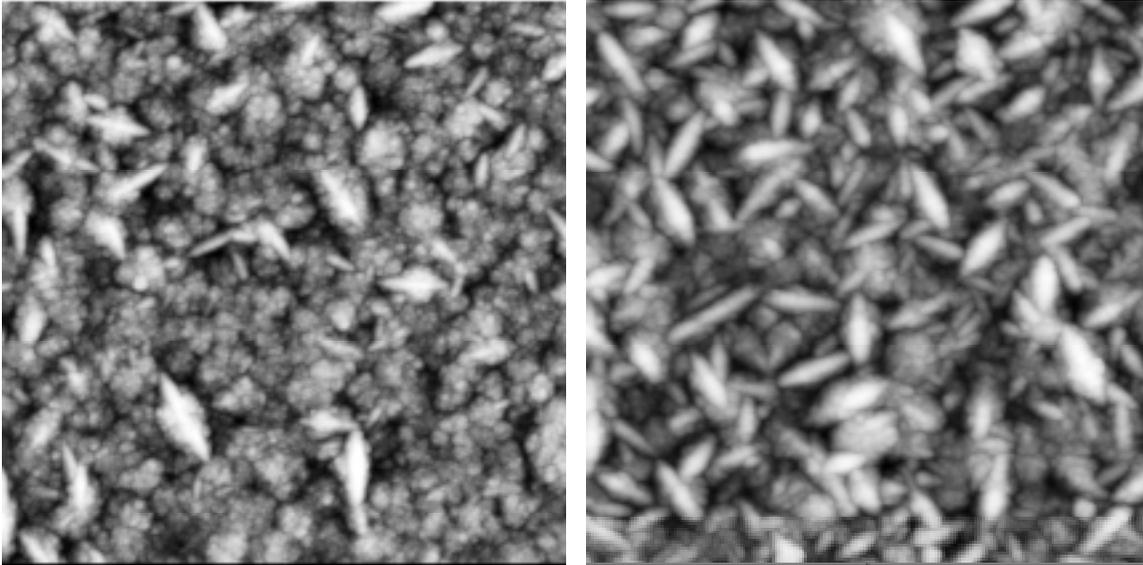


Figure 4. AFM images of Si film grown with R equal to 25 and substrate temperature equal to 200°C (left) and 400°C (right). The images have a  $2\mu\text{m} \times 2\mu\text{m}$  scale.

## ACKNOWLEDGMENTS

This work was supported by the U.S. Department of Energy under Contract number DE-AC36-99GO10337.

## REFERENCES

1. J.P. Kleider, C. Longeaud, R. Bruggemann, and F. Houze, *Thin Solid Films* **383**, 57 (2001).
2. J. Puigdollers, J. Cifre, M.C. Polo, J.M. Asensi, J. Tertomeu, J. Andreu, and A. Lloret, *Appl. Surf. Sci.* **86**, 600 (1995).
3. S.C. Saha, J.K. Rath, S.T. Kshirsagar, and S. Ray, *J. Phys. D: Appl. Phys.* **30**, 2686 (1997).
4. Y. Hamakawa and H. Takakura, *Proc. Twenty-Eighth IEEE Photov. Spec. Conf.*, 766 (Anchorage, 2000).
5. M. Konagai, T. Tsushima, Y. Ide, K. Asakusa, T. Jujisaki, M.K. Kim, Y. Wakita, and A. Yamada, *Proc. Twenty-Eighth IEEE Photov. Spec. Conf.*, 788 (Anchorage, 2000).
6. H.R. Moutinho, C.-S. Jiang, J. Perkins, Y. Xu, B.P. Nelson, K.M. Jones, M.J. Romero, and M.M. Al-Jassim, *Thin Solid Films* (2003) (in press).
7. J.-H. Zhou, K. Ikuta, T. Yasuda, T. Umeda, S. Yamasaki, and K. Tanaka, *Appl. Phys. Lett.* **71**, 1534 (1997).
8. H.R. Moutinho, M.J. Romero, C.-S. Jiang, Y. Xu, B.P. Nelson, K.M. Jones, A.H. Mahan, and M.M. Al-Jassim, *Proc. Twenty-Ninth IEEE Photov. Spec. Conf.* (New Orleans, 2002) (in press).

REPORT DOCUMENTATION PAGE			Form Approved OMB NO. 0704-0188	
Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.				
1. AGENCY USE ONLY (Leave blank)	2. REPORT DATE April 2003	3. REPORT TYPE AND DATES COVERED Conference Paper		
4. TITLE AND SUBTITLE Influence of Substrate Temperature and Hydrogen Dilution Ratio on the Properties of Nanocrystalline Silicon Thin Films Grown by Hot-Wire Chemical Vapor Deposition: Preprint			5. FUNDING NUMBERS PVP33201	
6. AUTHOR(S) H.R. Moutinho, C.-S. Jiang, B. Nelson, Y. Xu, J. Perkins, B. To, K.M. Jones, M.J. Romero, and M.M. Al-Jassim				
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) National Renewable Energy Laboratory 1617 Cole Blvd. Golden, CO 80401-3393			8. PERFORMING ORGANIZATION REPORT NUMBER NREL/CP-520-33929	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)			10. SPONSORING/MONITORING AGENCY REPORT NUMBER	
11. SUPPLEMENTARY NOTES				
12a. DISTRIBUTION/AVAILABILITY STATEMENT National Technical Information Service U.S. Department of Commerce 5285 Port Royal Road Springfield, VA 22161			12b. DISTRIBUTION CODE	
13. ABSTRACT ( <i>Maximum 200 words</i> ): We have studied the influence of substrate temperature and hydrogen dilution ratio on the properties of silicon thin films deposited on single-crystal silicon and glass substrates. We varied the initial substrate temperature from 200° to 400°C and the dilution ratio from 10 to 100. We also studied the effectiveness of the use of a seed layer to increase the crystallinity of the films. The films were analyzed by atomic force microscopy, X-ray diffraction, Raman spectroscopy, and transmission and scanning electron microscopy. We found that as the dilution ratio is increased, the films go from amorphous, to a mixture of amorphous and crystalline, to nanocrystalline. The effect of substrate temperature is to increase the amount of crystallinity in the film for a given dilution ratio. We found that the use of a seed layer has limited effects and is important only for low values of dilution ratio and substrate temperature, when the films have large amounts of the amorphous phase.				
14. SUBJECT TERMS: PV; substrate temperature; hydrogen dilution ratio; silicon thin films; hot-wire chemical vapor deposition; atomic force microscopy; X-ray diffraction; Raman spectroscopy; scanning electron microscopy; nanocrystalline;			15. NUMBER OF PAGES	
			16. PRICE CODE	
17. SECURITY CLASSIFICATION OF REPORT Unclassified	18. SECURITY CLASSIFICATION OF THIS PAGE Unclassified	19. SECURITY CLASSIFICATION OF ABSTRACT Unclassified	20. LIMITATION OF ABSTRACT UL	