

# **Ninth Workshop on Crystalline Silicon Solar Cell Materials and Processes**

## **Summary Discussion Sessions**

*Workshop Chairman:*  
Bhushan Sopori

*Prepared By:*  
Bhushan Sopori, Teh Tan, Dick Swanson,  
Mark Rosenblum and Ron Sinton

*Workshop held at Beaver Run Resort  
Breckenridge, Colorado  
August 9-11, 1999*



**NREL**

**National Renewable Energy Laboratory**

1617 Cole Boulevard  
Golden, Colorado 80401-3393

NREL is a U.S. Department of Energy Laboratory  
Operated by Midwest Research Institute • Battelle • Bechtel

Contract No. DE-AC36-99-GO10337

# **Ninth Workshop on Crystalline Silicon Solar Cell Materials and Processes**

## **Summary Discussion Sessions**

*Workshop Chairman:*  
Bhushan Sopori

*Prepared By:*  
Bhushan Sopori, Teh Tan, Dick Swanson,  
Mark Rosenblum and Ron Sinton

*Workshop held at Beaver Run Resort  
Breckenridge, Colorado  
August 9-11, 1999*

Prepared under Task No. PV002501



# **NREL**

**National Renewable Energy Laboratory**

1617 Cole Boulevard  
Golden, Colorado 80401-3393

NREL is a U.S. Department of Energy Laboratory  
Operated by Midwest Research Institute • Battelle • Bechtel

Contract No. DE-AC36-99-GO10337

## NOTICE

This report was prepared as an account of work sponsored by an agency of the United States government. Neither the United States government nor any agency thereof, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States government or any agency thereof.

Available electronically at <http://www.doe.gov/bridge>

Available for a processing fee to U.S. Department of Energy  
and its contractors, in paper, from:

U.S. Department of Energy  
Office of Scientific and Technical Information  
P.O. Box 62  
Oak Ridge, TN 37831-0062  
phone: 865.576.8401  
fax: 865.576.5728  
email: [reports@adonis.osti.gov](mailto:reports@adonis.osti.gov)

Available for sale to the public, in paper, from:

U.S. Department of Commerce  
National Technical Information Service  
5285 Port Royal Road  
Springfield, VA 22161  
phone: 800.553.6847  
fax: 703.605.6900  
email: [orders@ntis.fedworld.gov](mailto:orders@ntis.fedworld.gov)  
online ordering: <http://www.ntis.gov/ordering.htm>



## Executive Summary

The workshop was attended by 102 scientists, engineers, and students, which included 18 international participants, working in the photovoltaic and microelectronics fields. The theme for the workshop was: "R&D Challenges and Opportunities in Si Photovoltaics." This theme was chosen because it appropriately reflects a host of challenges that the growing production of Si photovoltaics will be facing in the new millennium. The anticipated challenges will arise in developing strategies for cost reduction, increased production, higher throughput per manufacturing line, new sources of low-cost Si, and the introduction of new manufacturing processes for cell production. At the same time, technologies based on CdTe and CIS will come on line posing new competition. With these challenges come new opportunities for Si PV to wean itself from the microelectronics industry, to embark on a more aggressive program in thin-film Si solar cells, and to try new approaches to process monitoring.

The nine sessions held at the workshop included topics of immediate concern to the PV community, such as: the mechanical properties of Si and how to address the issue of breakage of thin Si wafers (a very important topic because industry is hoping to move into this area), the technical aspects of upgrading MG-Si, and process monitoring methods. Topics also included the more traditional areas such as impurity gettering and passivation, contacts to solar cells, and science and engineering of defects in silicon. Also added to the agenda was the International Research Programs session. This session emphasized the need for international collaborations in areas that are somewhat less sensitive to proprietary issues.

Another change was added to this year's format—the traditional panel discussions were replaced with "open discussions." These open discussions were moderated by two chairpersons. This change was aimed at increasing the discussion time to allow participants to bring out the scientific issues related to the sessions.

In an effort to assist graduate students with the expenses associated with attending the workshop, four graduate students received monetary awards, which were sponsored by the PV industry. The graduate students came from Pennsylvania State University, Georgia Tech, the University of Arkansas, Little Rock, and Carnegie Mellon.

Perhaps the most noticeable feature of the workshop was a high degree of openness by many industry participants (especially at the international level) that is typically absent in major conferences.

## Session 2. Poly Feedstock and Silicon Growth

Chairpersons: Ted Ciszek, National Renewable Energy Laboratory  
Kim Mitchell, Consultant

The discussion was preceded by two oral presentations by Chandra Khattak of Crystal Systems (CS) and Fukuo Aratani of Kawasaki Steel (NEDO). They addressed refinement of metallurgical-grade Si into solar-grade (SOG) feedstock using their respective processes. The majority of the discussion focussed on the details of these two processes. Each of these processes uses refinement of molten Si as compared to the conventional chemical vapor process for obtaining electronic-grade feedstock. The CS and NEDO processes basically produce little or no chemical waste as compared to conventional processes that are more expensive and generate a lot of chemical waste. The energy consumption in the NEDO process is ~70kWH/kg-Si during the entire process that takes 12–15 h. The "maintaining" energy consumption of the CS process is ~5-10 kWH/kg-Si for 8 h; there is no final energy consumption estimate because the process is still under development. The melt-refining processes may be made more effective and efficient if the incoming metallurgical-grade feedstock is a chlorosilane process. Both CS and Kawasaki Steel hope to commercialize their processes.

Because the physics and the chemistry of some of the refining mechanisms are not well understood, it was not obvious as to what the final achievable purity of the refined material can be. As a result, there was a discussion of the mechanisms that limit the impurity refinement in such a process. Some acceptable impurity specifications of SOG-Si feedstock are: 0.1 ppma for B, Al, and P; 1 ppma for transition metals and C. It may require use of selected feedstock that has a well-defined range of impurities to achieve these specifications. Thus, it may be necessary to develop a test method to determine impurities in the SOG feedstock.

Other comments:

- The preferred price of SOG-Si is ~\$13.00, which may be unrealistically low (e.g., the estimated NEDO production cost is ~\$20.00).
- It is believed that the supply of cheap feedstock, consisting of IC industry rejects, will run out in Y2005.
- Feedstock is less of an issue for ribbon manufacturers than it is for the ingot growers.

### **Session 3. Impurities and Defects in PV-Si**

Discussion Leaders: Eicke Weber, University of California, Berkeley  
Stefan Estreicher, Texas Tech University

Transition metals (TM), grain boundaries, dislocations/defect clusters, metal precipitates, and even C and O are lifetime killers. NREL work has shown that defect clusters are preferred sites for metal precipitation, and the dissolution of these precipitates does not occur during cell fabrication. Theoretical work from Duke University has shown that high temperatures (~1200°C) and long times (many hours) are needed to dissolve precipitates of reasonable size. These parameters depend on the composition and size of the precipitates. Therefore, structural and chemical information is needed on the extended defects. LBNL work indicates that Fe precipitates may not simply be silicides, but can also be silicates or oxides. If so, such precipitates will be even more difficult to remove by gettering, because their solubility in the dissolved phase is orders of magnitude lower than that of the silicides. Fe silicates and oxides are insulators, and their electrical activity will be due to interface states, whereas that of silicide precipitates will also have a Schottky component.

Injection of either the Si-self-interstitial or vacancy may enhance the dissolution of precipitate. The kind of defects that can enhance dissolution of a particular precipitate depends on the volume changes upon their dissolution. If the soluble phase requires volume expansion, the dissolution will be enhanced by the injection of interstitials and retarded by the vacancies. In multicrystalline Si substrates, the dislocations and grain boundaries will also compete for the injected point-defect species. If the transition metal precipitates are indeed silicates and/or oxides, then gettering based on the current approaches will not be helpful. In view of some successful gettering experiments, even for very bad crystal regions, perhaps not all precipitates are silicates or oxides.

Because high concentrations of impurities will always lead to their precipitation, and the precipitated impurities are difficult to dissolve by post-growth processing, it is perhaps best to reexamine the possibility of lowering the impurity content of low-cost single and multicrystalline Si substrates. One may find that a slower growth can reject Fe and other transition metals (TM)

through segregation, yielding a higher quality material. Thus, it may be possible to trade growth speed against TM content.

#### **Session 4. Mechanical Properties of PV-Si**

Discussion Leaders: Mark Rosenblum, ASE Americas, Inc.  
Dieter G. Ast, Cornell University

The discussion was preceded by two oral presentations by Terry Jester and Stephen Shea, who covered the increasing yield losses encountered in processing thinner Si wafers. The discussion started with an overview of mechanical issues (yield losses) and the associated cost concerns for PV devices, by Mark Rosenblum. A mechanical-yield loss, caused by wafer cracking and breakage, can lead to a significant increase in the cell cost. The PV industry currently accepts 5%–10% breakage. Because this is clearly too high (and is likely to increase when the thickness of the wafers is reduced) it is important to investigate mechanisms of wafer breakage and mitigate them.

This was followed by a theoretical overview of the fracture strength (resistance to fracture) of Si by Dieter Ast. The fracture strength is largely determined by the edge quality. Fracture strength =  $K_c/\sqrt{(\pi a)}$ , where  $K_c$  is a material dependent parameter, and “a” is the length of microcracks at the edges of the wafer. Because microcracks originate primarily from stress during cutting, precutting processing such as annealing can reduce “a” and increase the fracture strength. Alternately, etching can be used to reduce “a” and increase the fracture strength.

Wafer cracking and breakage starts with existing microcracks, situated on the wafer, produced during crystal cutting/polishing (CZ) or cutting (ribbons) after growth. The microcracks widen under a tensile stress generated during handling/processing. A chemical etch can remove these cracks, but it is difficult to apply to multicrystalline wafers and ribbons because it can also lead to grain boundary grooving. As the wafers get thin (e.g., from 250 down to ~150  $\mu\text{m}$ ) this becomes a major problem. A milder cutting technique and/or annealing the wafers (to remove built-in

stress) can alleviate the problem, with the latter effect achieved by dislocation motion. With still thinner wafer (e.g., 50  $\mu\text{m}$ ), the situation may become better because the wafer will become easier to deform elastically. Dislocation motion is not effective in remedying the breakage problem at  $T < 600^\circ\text{C}$  because dislocations are not usually mobile at these low temperatures.

The discussion proceeded as a series of questions and answers.

*Q. Is wafer slicing the original source of cracks?*

A. For wafers sliced from ingots, cracks generally originate from the grinding process. Some PV companies already have specifications for surface finish, because there is a correlation to breakage.

*Q. What is the role of dislocations in crack propagation?*

A. Dislocations in Si are not mobile at room temperature, except when the stress state is nearly hydrostatically compressive. The stress field at a crack tip is mostly tensile, and fracture will proceed long before significant dislocation movement occurs.

*Q. Is there a critical thickness level below which the resistance to fracture actually improves?*

A. In theory, the answer is no. It just takes more deflection of a wafer to build up enough stress to break it, so it appears that thinner wafers have a higher fracture strength. However, because resistance to bending is what is needed in practice in a cell fabrication line, better yields should indeed be seen below some thickness value.

*Comment: For Si, 50- $\mu\text{m}$  thickness or less provides good flexibility.*

*Q. Do the nicks induced at the edges of wafers by wafer processing and handling follow the theory presented earlier?*

A. Yes. Nicks are essentially “notches,” and even  $90^\circ$  notches, with sharp bottoms, follow crack theory to first order.

*Q. What is the origin of residual stress?*

A. Residual stress originates from the second derivative  $d^2T/dx^2$  in the thermal profile.



*Q. What is the influence of single crystal vs. polycrystalline Si fracture strength, everything else being equal?*

A. Polycrystalline Si would actually be better, because crack propagation can stop at a grain boundary perpendicular to the propagation direction. In a single crystal, a force applied to a crack would travel throughout the wafer.

Other comments:

- An Al BSF layer might actually be helpful here, as it could help straighten out the resulting curved wafers.
- A 50- $\mu\text{m}$  thick target for thin curved wafers (even if achieved by etching) would be better than 100  $\mu\text{m}$ , because wafer elasticity would be exceptionally high: wafers can generally be flexed easily without fracture. Also, mounting the finished cells on a metal substrate prior to lamination would push the stress into the stronger substrate, reducing lamination breakage during module fabrication.
- A SiN dielectric passivation layer for the back side, in place of an Al BSF layer, would probably be a good solution to the warping encountered when Al is applied onto thin Si wafers.

## **Session 5. Passivation**

Chairpersons: Michael Stavola, Lehigh University  
Jack Hanoka, Evergreen Solar, Inc.

The discussion ended up as a question and answer session following Brian Nielsen's talk on hydrogen. A general feeling was that the structures of V-H related complexes, from VH to  $\text{V}_2\text{H}_6$ , are now reasonably understood.

*Q: Do V-H complexes help or hurt for introducing hydrogen into Si?*

A. V and I may help to dissociate hidden hydrogen, possibly in the form of  $\text{H}_2$ . V-H is not a fast diffuser, but the liberated H atom should be.

*Q. When H is introduced by  $\text{SiN}_x$  deposition and cooled down, why doesn't one see B-acceptor compensation?*

A. B-H complexes are not stable at the processing temperature, and probably form  $H_2$ . B-H complexes would form only if the samples were quenched.

*Q. What is the hydrogen concentration deep in the material, say 300  $\mu\text{m}$  deep?*

A. (i) Passivation of defects extends to hundreds of  $\mu\text{m}$  deep. (ii) SIMS data show H deeper than 50  $\mu\text{m}$  in solar cells with concentrations of  $\sim 10^{15} \text{ cm}^{-3}$ .

Q and A related to Katsuhiko Shirasawa's talk on  $\text{SiN}_x$  Passivation Process:

*Q. How do maintenance and downtime compare for the  $\text{SiN}$  and  $\text{TiO}_2$  deposition machines ?*

A. Maintenance for the  $\text{TiO}_2$  process is easy. For the  $\text{SiN}_x$  process, many particles are produced. The present deposition system has an up time of 80% (the goal is 90%).

*Q. What is the refractive index of  $\text{SiN}_x$ ?*

A. Two.

*Q. A drawback of remote PECVD is that it requires frequent cleaning. How does this compare to HWCVD?*

A. No data for HWCVD, it is a research project. For the PECVD system. Five depositions are done, followed by one cleaning cycle.

*Q. What is the thickness of the deposited  $\text{SiN}_x$  layer?*

A.  $\sim 80 \text{ nm}$ .

*Q. What deposition temperatures are used?*

A.  $T > 400^\circ\text{C}$ .

*Q. What is the throughput of the current deposition system?*

A. 900 wafers/h. The goal is to achieve 1800 wafers/h.

The session was closed with a host of open questions:

1. Passivation mechanism

- What are we learning about metal passivation?
- What is the dislocation passivation mechanism— clean vs. dirty dislocations?
- Can metal silicides be passivated?

2. How does H diffuse through solar cells?
  - Seems to diffuse with isolated H atoms. Mechanism?
3. Where is H "stored" in dislocated Si? In what form? Can it be used later in subsequent processes?
4. Different materials, mc-Si, CZ-Si, and FZ Si, show different passivation behavior. How is this to be understood?
5. What is the best method of introducing H?
  - SiN<sub>x</sub> appears to be the current favorite. How does it work? Source of H? Source of native defects?
  - H<sub>2</sub> during growth? Forming gas annealing?
  - Is there something inexpensive and clever that has been missed?

Comment: We have begun to understand the fundamental properties of H complexes with "simple" defects in Si. We cannot yet answer questions for complicated systems and/or "dirty" materials.

## Session 6. Solar Cell Processing

Chairpersons: Johan Nijs, IMEC  
Jim Rand, AstroPower, Inc.

The discussion started with a diagram proposed by Rand, Fig. 1, which describes the significant manufacturing process changes occurred in the last 20 years. **Big Manufacturing Changes** are those adopted, while **Big Potential Changes** are those having potential but yet to be adopted.

## Big Manufacturing Changes

Screen Printed Contacts	Belt Diffusion	Surface Passivation/ Hydrogenation	Wire Saws	Back Surface Field
1980		1990		2000
All Back Contacts	Buried Contacts	Gettering	Texturing	Next Generation Screen Printing

## Big Potential Changes

There appeared to be some obvious disagreement as to whether some of the “potential changes” had actually made an impact in a more “quiet” manner. It was generally felt that gettering has assumed a prominent role in solar cell processing. Because gettering occurs concurrently with P diffusion for junction formation and with Al alloying for back surface field formation, benefits of gettering have been realized unintentionally. Recently, PV manufacturers have optimized cell fabrication processes to maximize the cell performance. This, in part, implicitly optimized the gettering process. Deliberate gettering is only now being pursued in cell manufacturing to improve bad regions of low quality mc-Si wafers.

Buried contacts using laser grooving seems to be cost effective for higher performance cell fabrication. This technology is commercially used by BP Solar and a European study has shown this to be a cost-effective process. A similar situation exists for texturing. Chemical-texturing using NaOH-based anisotropic etching is used by all wafer-based solar cell manufacturers. This approach is particularly attractive because texturing and damage removal can be accomplished in one process step. However, other approaches of texturing, such as mechanical, RIE, and acid etching, are currently being evaluated for the commercial use.

The discussion was closed with the following comments and open questions:

1. Choices/trends in solar cell processing

- Higher efficiency and lower costs per W.
  - Higher throughput.
  - Higher yield.
2. Coping with:
    - Thinner and larger wafers;
    - Lower quality feedstock? Tolerance?
    - Environmental costs (wastes)
    - Mono x-Si changes into mc-Si? Ribbons? Thin films?
    - Variance in quality of materials?
  3. Damage etching
  4. Texturization (uniformity)
    - Anisotropic to isotropic?
    - RIE/plasma?
    - Laser?
    - Mechanical?
  5. Gettering? P, Al, ...
  6. Emitter
    - Thinner?
    - Homogeneous to selective?
    - Batch process to continuous process?
  7. Edge isolation. Chemical? Mechanical? laser?
  8. Passivation. SiO<sub>x</sub>, SiN<sub>x</sub> (PECVD, ARC)
  9. BSF: Al? floating junction?
  10. Contacting sheet resistance (combined with SiN<sub>x</sub>, thinner lines)?
  11. New choices:
    - RTP?
    - Full back contact?
    - Self-doping metallization?
  12. Codevelopments with:
    - Equipment manufacturers

- Wafer manufacturers,
- Materials manufacturers.

## **Session 7. Process Monitoring**

Chairpersons: James Gee, Sandia National Laboratories  
Andrés Cuevas, FEIT, Australian National University

Process monitoring may be separated into off-line monitoring and on-line process monitoring/control. Off-line monitoring is for process development purposes, including gathering of comprehensive data and detailed analysis, followed by problem solving and process optimization. On-line process monitoring/control applies to all steps, from the incoming wafers to finished cell products. On-line process monitoring/control serves two crucial functions: (i) to provide feedbacks to correct the process step; and (ii) to provide a data-base for sorting out off-spec wafers after each process step. These two functions should be applied to all of the following process steps, each with a group of measurements/tests:

1. Ingots: lifetime, resistivity
2. Wafers (incoming and after etching/cleaning): thickness, resistivity, lifetime, reflectivity
3. Diffusion: dopant weight, sheet resistance, lifetime
4. AR coating: lifetime, reflectivity
5. Contact formation: shunt resistance, contact resistance, open-circuit voltage, etc.
6. Device tests.

Opinions were expressed on implementing process monitoring schemes, including: carrying them out on every wafer; wafer sampling; adding special control-wafers (e.g., FZ wafers in mc-Si line for contamination checking); and not bothering with them if the machines are controlled tightly.

From the discussion, it became clear that more process control is prevalent in industry than is commonly assumed. Often, this process monitoring is done with simple, effective measurements (wafer color, weight) as contrasted to the sophisticated instrumentation that is frequently

advocated. This discussion proved valuable in clarifying the trade-offs between sophistication and practicality in process control and monitoring.

The discussion was closed with two open questions:

1. Do we have appropriate monitoring techniques and instruments?
2. Do they provide adequate, excessive, or insufficient information?

### **Session 8. International Research Programs**

Chairpersons: Martin Green, University of New South Wales  
Ajeet Rohatgi, Georgia Tech University

This session emphasized the need for international collaboration in areas that are somewhat less sensitive to proprietary issues. This could include fundamental research issues, development of PV standards, and equipment. A recent success story is the international collaboration that appears to have speeded up the discovery of the fundamental reason for light degradation of CZ Si solar cells (B-O complex formation) and led to a solution (the use of MCZ wafers and Ga doping).

Collaborations among various semiconductor companies (at the international level) have been very fruitful. Such collaborations can benefit commercial solar cell manufacturers in converting high-cost, laboratory processes for fabricating high-efficiency cells into commercial processes. Perhaps international collaboration can be used in the first step is to identify the best process/tool on a cost-performance basis. Programs that have a broad geographic scope are already in place in Europe.

### **Session 9. Thin-Film Silicon**

Chairpersons: Bob Birkmire, Institute of Energy Conversion  
Harry Atwater, California Institute of Technology

The driving force for thin-film/layer Si cells is its potential cost-performance competitiveness with bulk Si cells. To this end, a thin-film Si solar cell must have the potential to yield a module efficiency of 13%–14%, at a cost level of <\$100/m<sup>2</sup> or <\$2.00/Wp. It should also have the potential to reach large-scale production within 10 years.

There are many approaches to thin Si cells. Of these,  $\mu\text{-Si}$  film, possibly using low-temperature processing to accommodate the use of a low-cost substrate, is particularly enticing. Such a cell should have a desired grain size that exceeds the base thickness of 10–60  $\mu\text{m}$ . It is expected that the carrier lifetime will be controlled by intragranular defects. Light-trapping at both surfaces becomes an issue for such thin cells. It is not certain that there will be a low-cost, high-temperature substrate. It is also not certain that a viable low temperature ( $T < 600^\circ\text{C}$ ) process can be developed under the required cell efficiency of  $\sim 14\%$ .

**Wrap UP:** Dick Swanson, SunPower Corporation

The following conclusions and recommendations were voiced during the wrap-up session. Overall, the workshop received high marks as being one of the best so far in the series. Generally, the papers were of the highest caliber. The consensus was that the format for this year with no panel sessions, but rather lengthened discussion periods, was superior to the old format. Poster sessions continue to be a very useful approach and the mixture of university, government and industry people was well balanced.

**Session 2. Poly Feedstock and Silicon Growth**

It was concluded that the feasibility for a non-Siemens, lower-cost process for generating solar grade silicon has been convincingly demonstrated. The volume manufacturing cost is still uncertain, but appears likely to meet DOE goals. Implementation of new capacity for solar grade silicon awaits the emergence of sufficient demand and investment—either direct investment from industry or large, guaranteed, fixed-price orders justifying the investment. At this time, a chronic feedstock shortfall (using microelectronics industry scrap) is not expected until 2005 or later. Sometime after that, it is expected that PV industry growth will create more demand than the



scrap supply availability, and it is hoped that dedicated solar-grade plants will then be constructed to make up the shortfall. In the meantime, a modest research effort in solar-grade silicon is warranted to help define required material characteristics and pave the way for solar-grade silicon plants.

It was recommended that the workshop conduct periodic evaluations to ensure that feedstock availability is meeting demand, that cost are decreasing, and that no shortage is looming. This issue should play a minor role in the next workshop.

### **Session 3. Impurities and Defects in PV-Si**

Dramatic advances in the understanding of Fe and Cu diffusion were presented. This sets the stage for a better understanding of the diffusion of other transition metals. It would appear that the necessary input parameters for the physics-based modeling of metal diffusion and gettering are falling into place. Many results on precipitates were presented. A surprising result was that Fe-O complexes may be more prevalent than Fe-Si precipitates. Fe-O precipitates are more difficult to dissolve (and hence getter) than Fe-Si precipitates, and probably form when interstitial oxygen is supersaturated (as it often is). Another somewhat surprising result is that precipitation occurs mainly at inter-granular defects, rather than grain boundaries.

The issue of how, and even if, precipitates are passivated by hydrogen is still unresolved. It is expected that certain types of precipitates will be more easily passivated than others. It would be good to know which these are, and how to encourage easily passivated precipitates to form at the expense of more detrimental precipitates. In fact, despite all the investigation of defects, it is still generally not known what defect is the dominant lifetime killer in typical situations encountered in different types of commercial cells. Along this line, the understanding of the comparative role of intrinsic defects, transition metals, and complexes of these is still in its infancy. It is felt that, while the role of oxygen has been extensively studied, and oxygen's role in lifetime reduction is somewhat understood, the expected similar impact of carbon and nitrogen has had little investigation. This is perhaps because oxygen is very important in CZ material used in microelectronic processing, and this material has comparatively less carbon and nitrogen. In many types of solar silicon, this situation is reversed.

The session is a centerpiece of the workshop and should continue to play a major role in largely its present form.

#### **Session 4. Mechanical Properties**

The session on mechanical properties proved to be very interesting and timely, as manufacturers push wafer thickness down and breakage becomes more of an issue. While it is widely known that stress near microcracks initiates breakage, the source of the microcracks has not been extensively explored. One major source has proven to be ingot grinding, which results in microcracks at the edge of the wafer. Removal by etching makes wafers twice as strong. Process equipment changes can greatly reduce breakage. For example, replacing spin dryers with alternatives that do not subject the wafers to mechanical stress has proven beneficial. Tri-crystals have been shown to be stronger than single crystals, and may provide an avenue to thinner wafers. In the end, however, wafer warpage caused by the thermal expansion mismatch of metal layers on silicon provides a fairly basic limit to how thin wafers can be successfully processed.

In order to continue reducing wafer thickness (and hence material usage), it is necessary to explore processes and equipment that do not stress or scratch wafers. For the next workshop, it is recommended that sessions on low-stress processing and the origin of microcracks be organized.

#### **Session 5: Passivation**

The understanding of hydrogen in silicon continues to increase at a rapid rate. Some new, or nearly new, results include consensus that the H-V complex is immobile, and thus cannot be a source of H diffusion. It is electrically active, however. All dangling bonds must be passivated in defects for the defect to be passivated. Thus, the V-H<sub>4</sub> is passivated and not electrically active. Atomic H is formed from the V-H<sub>2</sub> interaction and can be a source of further passivation. Along this line, silicide precipitates cannot be passivated. It is not known if oxide precipitates can be passivated. Strained bonds are a possible point of attack of H, and this represents an opportunity for H to do damage, making things worse. Thus, a very complex picture of H passivation is emerging. There is no disagreement on the benefit of H passivation in improving cell performance, however, especially on non-single crystal material. So far, silicon nitride has

proved the best source of H for passivation. Fortunately, it is possible to build high throughput PECVD machines for depositing silicon nitride on a large scale.

More work is still needed to identify the actual defects that are being passivated in typical industrial processes. While it is known that complete hydrogenation of dangling bonds is necessary for passivation, it is not known if it is sufficient in all cases. We need to improve our understanding of what needs to be passivated and better understand the comparative role of dangling bonds and decorated defects. These topics should continue to play a major role in the workshop.

### **Session 6. Solar Cell Processing**

Much was learned about solar cell processing at this workshop. One of the more surprising findings is that the observed optical degradation in a CZ solar cell is clearly caused by a B-O complex rather than the B-Fe complex as originally postulated. Along this line, this degradation can be avoided by simply switching from B to Ga as the base dopant. Proper process design (aimed at preventing B-O complex formation) will also greatly reduce this degradation mechanism. A much better understanding of the metal-precipitate dissolution process has emerged with the controlling role of dissolved metal solubility having been elucidated. It was demonstrated that the major improvement from Al BSF formation, in standard-thickness cells, comes not from the BSF but from the concomitant gettering. Many selective emitter processes have been demonstrated. A recent one is alloy-junction doping using doped contact metal, which shows some promise. Insuring reliable contact wetting is the most serious issue in need of resolution to make this scheme work. Other new approaches are made possible by a new generation of screen printers that can do accurate inter-level alignments. It was pointed out that so far, however, no selective emitter process has entered volume production (with the exception of the LBC cell). A summary slide was presented showing the improvements that have been introduced into production in the last 20 years. These included (1) screen printed contacts, (2) belt diffusion, (3) surface passivation and hydrogenation, (4) wire saws, (5) back surface fields. Many other candidates for improvements have not been introduced. The difficulty of introducing new processes into existing production is often cited. Sometimes the issue comes from the requirement for new equipment (and often profits do not justify new capital expenditure) and

sometimes the problem is that new, higher efficiency processes are simply not cost effective. Nevertheless, there is a consensus that research on higher efficiency must continue because the long-range economic viability of silicon solar cells is dependent on improvement in performance. Most feel that some new self-aligned emitter processes will make it into production within the next 3 years. Along another front, it has been demonstrated that most, if not all, the wet-chemical process steps can be replaced with gaseous ones. This will reduce chemical consumption and waste-disposal cost.

Major fronts for continued research on cell processing include developing a production-worthy selective emitter and a cost-effective gettering procedure. Many gettering procedures require long furnace cycles (difficult to integrate into a high-volume line) and expensive etching steps to remove the getter region. Further exploration of RTP and gaseous processes may result in a practical, low-cost gettering process. The needs of the solar cell industry have diverged greatly from the microelectronic industry (which originally supplied most of the basic processing concepts and methods) because of the very high wafer throughput needed in today's large manufacturing operations. These topics should continue to play a major role in future workshops. Attendees felt that maintaining a good balance between basic defect science and cell manufacturing is crucial to meeting the goals of the workshop.

### **Session 7. Process Monitoring**

Further effort on high-throughput statistical process control is needed. The session on process monitoring was interesting both for the new techniques introduced as well as for the perspective of the industry participants. New techniques included a simple method to determine the effects of trapping on PCD lifetime measurement and a new instrument to measure solar cell reflectance. This instrument, called PV Reflectometer, can quickly measure a wide variety of solar cell parameters, including, surprisingly, metal thickness. Industry participants emphasized the requirement for very high uptime on all process monitoring equipment due to the high throughput of current manufacturing facilities. The methodology of measuring most parameters of interest now exists, and it remains to convert this into high-throughput and reliable production machines. One desirable measurement that still has no good technique, however, is lifetime measurements in cast polysilicon boules.

For the next generation of 100-MW/yr production facilities, the major scale up issues facing manufacturers are high throughput process monitoring and control and automation. Further work on these areas is important.

### **Session 8. Thin Film Silicon**

There has been roughly a percentage point improvement in efficiency on deposited silicon cells since the last workshop. Efficiencies in the 10% to 12% range have been achieved by several techniques. Cells have been made in material with a wide range of grain size, spanning 10 nm to 1 mm. The region between 100 nm and 10  $\mu$ m is very difficult. The details of substrate surface morphology are very important in determining the grain size obtained during deposition. A potentially important new development is a glass ceramic from Corning. This material allows processing up to 950°C and has enabled deposited devices as good as those that can be obtained on oxidized silicon wafers. In addition, several new approaches involving lift-off and transfer processes are being investigated. These approaches result in single crystal layers and might yield efficiencies as high as 17% with sufficient development.

This workshop should continue to explore the development of thin-film silicon cells. A suggestion for future topics would be to invite industry representatives from the display industries who are working on lift-off and transfer of III-V materials. This would encourage cross-fertilization of ideas from the display and PV communities.

## Program Committee

James Gee, Tadashi Saitoh, Michael Stavola, Dick Swanson, Juergen Werner, Teh Tan, Eicke Weber, Ron Sinton, Juris Kalejs and Bhushan Sopori

## Administrative Support

Sandy Padilla

## Acknowledgements

A special note of appreciation goes to the following companies for their contributions to the Graduate Student Award:

AstroPower, Crystal Systems, EBARA Solar, Evergreen Solar, Siemens Solar Industries, and BP Solarex

REPORT DOCUMENTATION PAGE			Form Approved OMB NO. 0704-0188	
Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.				
1. AGENCY USE ONLY (Leave blank)		2. REPORT DATE November 1999	3. REPORT TYPE AND DATES COVERED Conference Proceedings	
4. TITLE AND SUBTITLE Ninth Workshop on Crystalline Silicon Solar Cell Materials and Processes: Summary Discussion Sessions, 9-11 August 1999, Breckenridge, Colorado			5. FUNDING NUMBERS C: TA: PV002501	
6. AUTHOR(S) B. Sopori, T. Tan, D. Swanson, M. Rosenblum, and R. Sinton				
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)			8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) National Renewable Energy Laboratory 1617 Cole Blvd. Golden, CO 80401-3393			10. SPONSORING/MONITORING AGENCY REPORT NUMBER CP-520-27571	
1. SUPPLEMENTARY NOTES				
12a. DISTRIBUTION/AVAILABILITY STATEMENT National Technical Information Service U.S. Department of Commerce 5285 Port Royal Road Springfield, VA 22161			12b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200 words) This report is a summary of the panel discussions included with the Ninth Workshop on Crystalline Silicon Solar Cell Materials and Processes. The theme for the workshop was "R&D Challenges and Opportunities in Si Photovoltaics." This theme was chosen because it appropriately reflects a host of challenges that the growing production of Si photovoltaics will be facing in the new millennium. The anticipated challenges will arise in developing strategies for cost reduction, increased production, higher throughput per manufacturing line, new sources of low-cost Si, and the introduction of new manufacturing processes for cell production. At the same time, technologies based on CdTe and CIS will come on line posing new competition. With these challenges come new opportunities for Si PV to wean itself from the microelectronics industry, to embark on a more aggressive program in thin-film Si solar cells, and to try new approaches to process monitoring.				
14. SUBJECT TERMS photovoltaics ; crystalline silicon ; solar cells ; materials and processes; feedstocks ; impurities and defects ; passivation			15. NUMBER OF PAGES	
			16. PRICE CODE	
17. SECURITY CLASSIFICATION OF REPORT Unclassified	18. SECURITY CLASSIFICATION OF THIS PAGE Unclassified	19. SECURITY CLASSIFICATION OF ABSTRACT Unclassified	20. LIMITATION OF ABSTRACT UL	