

Thermophotovoltaics Bibliography

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Thermophotovoltaics Bibliography

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Abstract - A bibliography containing 180 entries on thermophotovoltaic conversion of energy between 1950 and 1994 has been compiled. The entries are categorized with respect to type and contents.

Introduction

Thermophotovoltaic — or TPV— conversion is the conversion of thermal radiation to electricity by means of photovoltaic (PV) cells. The thermophotovoltaic process was first suggested by Aigrain in 1960 as a two-step process for conversion of heat into electricity. The first TPV converter was built by Werth in 1963 using germanium PV cells and a propane- fueled emitter at a temperature around 1700 K.

Since then, more than a hundred papers and reports have been published, most of them theoretical. The theoretical possibility of very high conversion efficiency has been calculated and pointed out in many papers. The optimism stemmed from the fact that crystalline silicon is transparent for photons with energies below the band-gap energy. Thus, if the back of the silicon TPV cell was coated with a highly IR-reflecting metal (e.g., silver), long-wavelength photons could be reflected back to the emitter. In 1980, Swanson reported a measured TPV conversion efficiency of 29% using silicon PVcells and a 2300 K graybody source.

Most of the work in the early 1980s envisioned TPV as a converter of radiation from an emitter, heated by concentrated sunlight, following the 1978 concept by Bracewell and Swanson. Many papers dealt with selective emitters or selectively reflecting filters as means to increase TPV conversion efficiency. Also other heat sources have, over the years, been discussed, such as radioactive sources for space or submarine applications. The need for very high temperatures in order to achieve good efficiency with a silicon cell-based TPV converter caused a declining interest in the process in the following years.

Presently, there is a strong renewed interest in TPV. This is due to two recent developments. One is the development of low-band-gap thin-film PV cells, originally developed for regular solar PV applications in tandem with high-band-gap cells; see Coutts and Lundstrom (1993). The other is the recent development of efficient selectively emitting fibrous emissive burners (Nelson 1992 and 1993). The first National Renewable Energy Laboratory (NREL) Conference on Thermophotovoltaic Generation of Electricity (24-27 July 1994 in Copper Mountain, Colorado) has attracted some 30 paper presentations, and the First World Conference on Photovoltaic Energy Conversion (5-9 December 1994 in Waikoloa, Hawaii) has TPV as a major topic.

This renewed interest in TPV makes this publication useful. Much research and development (R&D) work has been done during over three decades of TPV research, and researchers of the 1990s should be able to build their research on earlier results. While many papers have been published in scientific journals or presented at international conferences, a large number were published as institute reports or patent applications, or presented at national conferences.

The present bibliography has therefore been compiled using not only current data bases, but also by searching conference proceeding contents, studying reference lists in published papers, and using personal contacts. No bibliography can be complete, but the author hopes that no important

references have been missed. The reader may notice that a number of references do not specifically deal with TPV. They have been included because they contain R&D on components or processes that can be utilized in TPV conversion and because they have been referred to in articles on TPV. When the author has not been able to get hold of a reprint, the entry says so.

In order to assist the reader, the listed articles have been categorized using the scheme below. Such a categorization is sometimes subjective, as whether a paper is a review of R&D results or a research paper — many authors do not publish their calculations or experiments just once but many times, so when is a paper merely a review of previously published results? The entries are listed yearly, and alphabetically for each year.

The author would appreciate reader feedback. If someone knows of a reference that is missing, please send a copy of that paper or report. There is an ambition to keep up a TPV publications data base, and this should include not only this bibliography and new articles, but also older papers that are presently missing.

Acknowledgements

The good help in finding references for this bibliography by Al Burger, Mary Donahue, Kenneth Jarefors, Joergen Marks, Mats Roennelid, and Ted Wangensteen is highly appreciated. Lawrence L. Kazmerski is sincerely thanked for inviting me to NREL and being my host during my stay there.

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Categorization scheme

- [1] General/Theory/Experiment **G T E**
Basic research/Applied R&D/Review/Patent/Magazine **B A R P M**
- [2] Material/Components/System/Process **M C S P**
- [3] Components Studied: PV-cell/Reflector/Filter/Emitter/Other **P R F E O**
- [4] Process studied: Combustion/Other **C O**
- [5] Energy source: Sun/Nuclear/Gas/Biomass/Fuel (other) **S N G B F**
- [6] Application: Space/Electricity (terrestrial)/Cogeneration (terrestrial) **S E C**

TPV References

1950

<i>M. D. Liston and J. U. White</i> Amplification and electrical systems for a double beam recording infra-red spectrophotometer J. Opt. Soc. Am. 40(1950)36-41	[1] EB	[2] C	[3] P	[4] -	[5] -	[6] -
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1952

<i>H. E. Kremers</i> Gas mantles Encyclopedia of Chemical Technology, Ed.s R. E. Kirk and D. F. Othmer The Interscience Encyclopedia, Inc., N Y, 1952, Vol. 8, pp 192-197	[1] G	[2] MC	[3] E	[4] -	[5] -	[6] -
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1954

<i>R. P. Ruth and J. W. Moyer</i> Power efficiency for the photovoltaic effect in a Ge grown junction Phys. Rev. 95(1954)562-564	[1] EB	[2] C	[3] P	[4] -	[5] -	[6] -
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1955

<i>W. C. Dash and R. Newman</i> Intrinsic optical absorption in single crystal germanium and silicon at 77K and 300K Phys. Rev. 99(4/15 Aug 1955)1151-1155	[1] EB	[2] M	[3] -	[4] -	[5] -	[6] -
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1958

<i>R. Braunstein, A. R. Moore, and F. Herman</i> Intrinsic optical absorption in GeSi alloys Phys. Rev. 109(3/1 Feb. 1958)695-710	[1] EB	[2] M	[3] -	[4] -	[5] -	[6] -
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<i>B. M. Vul, V. S. Vavilov, L. S. Smirnov, G. N. Galkin, V. M. Packevic, and A. V. Spicyn</i> Ueber die Umwandlung der Energie von b-Teilchen in Elektroenergie in Germaniumkristallen mit pn-Uebergangen Kernenergie 1(4/1958)279-282	[1] EB	[2] C	[3] PV	[4] -	[5] -	[6] -
1959						
<i>R. Bomal</i> Detection des particules nucleaires avec les semi-conducteurs Bull. Info. Sci. Tech. (Paris) 34(1959)2-12	[1] TEB	[2] C	[3] P	[4] -	[5] N	[6] E
1960						
<i>P. Aigrain</i> The thermo-photovoltaic converter Unpublished lectures given at Department of Electrical Engineering, M. I. T. (1960/61)	[1] (no reprint available)	[2]	[3]	[4]	[5]	[6]
1961						
<i>D. C. White, B. D. Wedlock, and J. Blair</i> Recent advances in thermal energy conversion Proc. 15th Ann. Power Sources Conf. Atlantic City, NJ, May 1961, pp 125-132	[1] GTBR	[2] S	[3] (P)	[4] -	[5] -	[6] E
1963						
<i>W. L. Eisenman, R. L. Bates, and J. D. Meriam</i> Black radiation detector J. Opt. Soc. Am., 53(6/1963)729-734	[1] EB	[2] C	[3] O	[4] -	[5] -	[6] -
<i>R. E. Henderson</i> Artificially radiated energy for photo-voltaic power generation Proc. (3rd) PV Specialists Conf. (1963) Vol. II, pp A-5-1 - A-5-23	[1] EA	[2] (C)SP	[3] (PEO)	[4] C	[5] (N)F	[6] (S)E
<i>A. Thelen</i> Multilayer filters with wide transmittance bands J. Opt. Soc. Am. 53(1963)1266-1270	[1] TB	[2] C	[3] F	[4] -	[5] -	[6] -
<i>B. D. Wedlock</i> Thermal Photovoltaic Effect Proc. (3rd) PV Specialists Conf. (1963) Vol II, pp A-4-1 - A-4-13	[1] GAR	[2] (C)S	[3] (PRE)	[4] -	[5] -	[6] E
<i>B. D. Wedlock</i> Thermo-photo-voltaic conversion Proc. IEEE 51, 1963, pp 694-698	[1] TEA	[2] CS	[3] P(F)	[4] -	[5] -	[6] -

<i>J. Werth</i> Operation of photovoltaic cells at high power densities Proc. (3rd) PV Specialists Conf. (1963) Vol II, pp A-6-1 - A-6-3 (The discussion of Henderson's, Wedlock's, and Werth's presentations on pp A-6-3 - A-6-7.)	[1] EA	[2] CS	[3] PRFE	[4] -	[5] -	[6] E
<i>J. Werth</i> Thermophotovoltaic energy conversion Proc. 17th Power Sources Conf. PSC Publications Committee, Red Bank, NJ, May 1963, pp 23-27	[1] EBA	[2] CS	[3] PRE	[4] C	[5] G	[6] E
1964						
<i>A. Fortini, P. Bauduin, and P. Sibillot</i> Realisation d'un convertisseur thermo- photovoltaïque Revue Generale de l'Ectricite (Paris) 73(9/1964)466	[1] GTA	[2] S	[3] -	[4] -	[5] -	[6] -
<i>J. Werth</i> Design study of a thermophotovoltaic converter Proc. 18th Power Sources Conf. PSC Publications Committee, Red Bank, NJ May 1964, pp 153-158	[1] EA	[2] CSP	[3] PREO	[4] C	[5] G	[6] E
<i>D. C. White and R. L. Schwartz</i> P-I-N structures for controlled spectrum PV converters Combustion and Propulsion Sixth Agard Colloquium Energy Sources and Energy Conversion Ed.s H. M. DeGroff et. al. (1964) Gordon and Breach, N Y, pp 897-914	[1] TB	[2] C	[3] P	[4] -	[5] -	[6] -
1965						
<i>D. G. Gritton and R. C. Bourke</i> Radioisotope-photovoltaic energy conversion system Adv. Energy Conversion 5(1965)119-145	[1] TA	[2] CS	[3] PFEO	[4] -	[5] N	[6] E
<i>B. D. Wedlock, A. Debs, R. Siegel, and G. Taylor</i> Investigation of germanium diodes for thermo-photovoltaic energy convertors Rpt. DA44-009-AMC-625(T) from MIT, Cambridge, MA (1965), 84 pp	[1] TEB	[2] C	[3] P	[4] -	[5] -	[6] -

1966

P. Bauduin and P. Sibilliot
InAs diodes used for the thermophotovoltaic conversion of energy
Adv. Energy Conversion 6(1966)67-70

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TEB	C	P	-	-	-

D. P. Crouch and R. W. Beck
Study of germanium devices for use in a thermophotovoltaic converter
General Motors Corp. Progress Report No. 2, DA28-043-AMC-01420(E)
(March 1966)

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Thermophotovoltaic energy conversion
Proc. 20th Power Sources Conf. (1966), pp 178-182
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Investigation of P-I-N germanium diodes for TPV conversion
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Proc. 21st Power Sources Conf.
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EB	C	P	-	-	-

A. M. Vasilev
Thermophotovoltaic conversion efficiency
High Temperature 5(1967)316-322
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TB	C	PF	-	(N)	(E)

A. M. Vasilev, T. M. Golovner, A. P. Landsman, and N. S. Lidorenko
Optical characteristics of silicon photocells and the efficiency of a thermophotovoltaic converter
High Temperature 5(1967)967-973
(Teplofizika Vysokikh Temperatur)

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1968

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 Status of TPV-energy conversion
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 Rare earth radiators for thermophotovoltaic
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 Proc. Int. Electron Devices Meeting,
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 A p-i-n thermo-photovoltaic diode
 IEEE Trans. Electron Devices
 16(1969)657-663

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A. Thelen
 Design of multilayer interference filters
 Phys. Thin Films 5(1969)47

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 GTR C F - - -

G. E. Guazzoni
 Rare-earth oxide radiators for thermo-
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 Rpt. ECOM-3116 from US Army
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 NJ (April 1969), 18 pp

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 EB M (E) - - -

1970

M. A. Kettani
 Direct Energy Conversion
 Addison-Wesley, 1970, pp 416-423

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 GR MCS P(E) - - E

1972

G. E. Guazzoni
 High-temperature spectral emittance of
 oxides of erbium, samarium, neodymium
 and ytterbium
 Appl. Spectrosc. 26(1972)60-65

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G. E. Guazzoni and E. Kittl
 Experimental study of germanium cell
 performance with erbium oxide and silicon
 carbide radiators
 Rpt. ECOM-3539 from US Army
 Electronics Command, Fort Monmouth,
 NJ (Feb. 1972), 27 pp

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 EB C PE - - (E)

E. Kittl and G. Guazzoni
 Design analysis of TPV-generator system
 Proc. 25th Power Sources Symp.,
 May 1972, pp 106-109

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 TA CS PFEO - F (E)

1973*E. Kittl*

Unique correlations between blackbody radiation and optimum energy gap for a photovoltaic conversion device

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TB	C	P	-	-	-

E. Kittl

Energy conversion system
US Patent 3,751,303
Filed 3 June 1971, issued 7 Aug. 1973

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GP	C	PFEO	-	-	E

1974

J. C. C. Fan, F. J. Bachner, G. H. Foley, and P. M. Zavracky

Transparent heat-mirror films of $\text{TiO}_2/\text{Ag}/\text{TiO}_2$ for solar energy collection and radiation insulation
Appl. Phys. Lett. 25(12/1974)693-695

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EB	C	F	-	-	-

G. E. Guazzoni and E. Kittl

Cylindrical erbium oxide radiator structures for thermophotovoltaic generator
Rpt. AD/A-001 525 from US Army Electronics Command; distr. by NTIS, US Dept. of Commerce (Aug. 1974), 27 pp

[1]	[2]	[3]	[4]	[5]	[6]
EA	MC	E	-	-	-

1975*G. E. Guazzoni and E. Kittl*

Composite material structures for thermophotovoltaic conversion radiator
Rpt. ECOM-4351 from US Army Electronics Command, Fort Monmouth, NJ (Sept. 1975), 22 pp

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EA	MC	E	-	-	-

1976

J. R. Yeargan, R. G. Cook, and F. W. Sexton

Thermophotovoltaic systems for electrical conversion
Proc. 12th IEEE PV Specialists Conf. (1976), pp 807-813

[1]	[2]	[3]	[4]	[5]	[6]
TB	C	PR	-	-	(E)

1977*W. E. Horne*

Solar thermal photovoltaic electric power generator
Proc. Miami Int. Conf. on Alternative Energy Sources (1977), pp 793-795

[1]	[2]	[3]	[4]	[5]	[6]
TER	CS	R(PE)	-	S	E

1978

R. N. Bracewell and R. M. Swanson
Silicon photovoltaic cells in TPV
conversion
Rpt ER-633, Electric Power Res. Inst.
(February 1978), 84 pp

[1]	[2]	[3]	[4]	[5]	[6]
TEBA	CS	PR	-	S	E

1979

R. L. Bell
Concentration ratio and efficiency in
thermophotovoltaics
Solar Energy 23(1979)203-210

[1]	[2]	[3]	[4]	[5]	[6]
TB	CS	PF	-	S	-

F. Demichelis and E. Minetti-Mezzetti
A solar thermophotovoltaic converter
Solar Cells 1(1979/80)395-403

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T(E)B	S	(F)	-	S	E

R. M. Swanson
A proposed thermophotovoltaic solar
energy conversion system
Proc. IEEE 67, 1979, pp 446-447

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GR	S	-	-	S	E

R. M. Swanson
Silicon photovoltaic cells in TPV conversion
EPRI Interim Report ER-1272, Dec. 1979
123 pp

[1]	[2]	[3]	[4]	[5]	[6]
TEA	C(S)	P(R)	-	S	E

1980

*G. Castagno, F. Demichelis, and
E. Minetti-Mezzetti*
Design method for multilayer interference
filters
Applied Optics 19(1980)386-388

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TB	C	F	-	-	-

F. Demichelis and E. Minetti-Mezzetti
Multibandgap cells in a thermophoto-
voltaic system
Proc. 3rd EC PV Solar Energy Conference
(1980), pp 1006-1011

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TEA	CS	PF	-	S	E

M. W. Edenburn
Analytical evaluation of a solar
thermophotovoltaic (TPV) converter
Solar Energy(1980)367-371

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TBA	CS	O	-	S	E

F. C. Holden
Materials selection for the TPV radiator
Report at an EPRI Meeting (1980),
pp 6-19 - 6-27

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GR	MC	E	-	-	-

<i>W. E. Horne, A. C. Day, R. B. Gregor, L. D. Milliman, and W. L. Crabtree</i> Solar thermophotovoltaic space power system Proc. 15th Intersociety Energy Conversion Engineering Conf. (IEEE), 1980, pp 377-382	[1] TA	[2] CS	[3] O	[4] -	[5] S	[6] S
<i>W. D. Johnston, Jr.</i> Solar Voltaic Cells 5.2.2 Indirect concentrators Marcel Dekker, Inc., 1980, pp 163-166	[1] GR	[2] -	[3] -	[4] -	[5] S	[6] (E)
<i>S. Levy</i> Thermophotovoltaic energy conversion for electric power generation Report at an EPRI Meeting (1980), pp 6-9 - 6-13	[1] GR	[2] CS	[3] EP	[4] -	[5] S(N)	[6] E
<i>E. L. Paquette</i> Thermophotovoltaic radiator program Report at an EPRI Meeting (1980), pp 6-29 - 6-31	[1] GR	[2] CS	[3] PE	[4] -	[5] S	[6] E
<i>R. M. Swanson</i> Assessment of thermophotovoltaic conversion using silicon photovoltaic cells Report at an EPRI Meeting (1980), pp 6-14 - 6-15	[1] GR	[2] C	[3] P	[4] -	[5] -	[6] -
<i>R. M. Swanson</i> Recent developments in thermophoto- voltaic conversion Proc. 3rd EC PV Solar Energy Conference (1980), p 1097	[1] GR	[2] C	[3] P	[4] -	[5] -	[6] -
<i>R. M. Swanson</i> Recent developments in thermo- photovoltaic conversion Proc. Int. Electron Devices Meeting, Washington, D. C., 8-10 Dec. 1980, pp 186-189	[1] EB	[2] C	[3] P(R)	[4] -	[5] -	[6] -
<i>E. S. Vera, J. J. Loferski, M. Spitzer, and J. Schewchun</i> Performance of ultra high efficiency thin germanium p-n junction solar cells intended for solar thermophotovoltaic application Proc. 3rd EC PV Solar Energy Conference (1980), pp 911-919	[1] TB	[2] C	[3] PR	[4] -	[5] (S)	[6] (E)
<i>P. Würfel and W. Ruppel</i> Upper limit of thermophotovoltaic solar- energy conversion IEEE Trans. Electron Devices 27(1980)745-750	[1] TB	[2] C	[3] FE	[4] -	[5] S	[6] E

1981

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 A spacecraft thermophotovoltaic power
 source with thermal storage TA CS PO (O) S S
 Proc. 16th Intersoc. Energy Conversion
 Engineering Conf. (1981), pp 89-94

E. S. Vera, J. J. Loferski, and M. Spitzer [1] [2] [3] [4] [5] [6]
 Theoretical limit efficiency of two junction
 tandem silicon-germanium solar cells TA C PR - (S) (S)
 intended for thermophotovoltaic application
 Proc. 15th IEEE PV Specialists Conference
 (1981), pp 877-882

1982

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E. Minetti-Mezzetti, and E. Tresso
 Research on the characteristic parameters
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 Refining method for the design of TB C F - - -
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Operating characteristics of thin thermophotovoltaic cells with minority carrier mirrors and optical mirrors using selective radiators of erbium and ytterbium oxides
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TB	CS	RE	-	S	-

1983

J. C. Bass, N. B. Elsner, and P. H. Miller

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EBA	C	E	-	-	-

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<i>F. Osterle</i>	[1]	[2]	[3]	[4]	[5]	[6]
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Preliminary list of papers to be presented at the First NREL Conference on Thermophotovoltaic Generation of Electricity, 24-27 July 1994, Copper Mountain, Colorado

(papers not yet available for classification)

P. Adair and M. F. Rose

Composite emitters for TPV systems

R. K. Ahrenkiel, T. Wangensteen, M. M. Al-Jassim, M. Wanlass, and T. J. Coutts

Recombination lifetime of In_xGa_{1-x}As ternary alloys

P. Baldasero, E. Brown, B. Campbell, D. Depoy, and J. Parrington

Experimental assessment of low temperature voltaic energy conversion

I. Bhat, M. Ehsani, D. Morey, G. Nichols, J. Borrego, J. Parrington, and R. Gutmann

OMVPE growth and characterization of InGaAs for TPV conversion

J. Borrego and J. Parrington

Experimental assessment of low T₁ photovoltaic energy conversion

J. Borrego, M. Zierak and G. Charache

Device modelling and parameter extraction for TPV cell development

L. Broman and J. Marks

Co-generation of electricity and heat from combustion of wood powder utilizing thermophotovoltaic conversion

D. R. Burger and R. L. Mueller

Characterization of thermophotovoltaic cells

C. Carter

The role of DOE's Division of Advanced Energy projects in thermophotovoltaics

D. L. Chubb, R. A. Lowe, and B. S. Good

Emitter theory for thin film selective emitter

K. Emery

Performance characterization of thermophotovoltaic cells

L. M. Fraas

Thermophotovoltaic electric generator using hydrocarbon burner

B. S. Good, D. L. Chubb, and R. A. Lowe

Temperature-dependent efficiency calculations for a thin-film selective emitter

P. E. Gruenbaum, M. S. Kuryla, and V. S. Sundaram

Technical and economic issues for gallium antimonide-based thermophotovoltaic systems

G. A. Holmquist

TPV development and the current ARPA underwater unmanned vehicle efforts

G. S. Horner, T. J. Coutts, and M. Wanlass

Proposal for a second generation lattice-matched multiple junction Ga₂AsSb TPV converter

P. A. Iles

Photovoltaic principles used in thermophotovoltaic generators

R. K. Jain, D. M. Wilt, G. A. Landis, L. Weinberg, and D. J. Flood
Low bandgap solar cells for thermophotovoltaic applications

K. Krist
GRI Research on thermophotovoltaics

R. A. Lowe, D. L. Chubb, and B. S. Good
Radiative performance of Er and Ho-YAG selective emitters

J. B. Milstein, R. G. Roy and D. C. Mauer
Some characteristics of a novel direct thermal-to-optical energy converter medium

R. E. Nelson
Thermophotovoltaic emitter development

E. L. Ralph and M. FitzGerald
Systems/marketing challenges for TPV

D. L. Noreen and H. Du
Porous ceramic regenerative burner for use in lower temperature (<1500(C) TPV Systems

U. C. Pernisz and C. K. Saha
Silicon carbide emitter and burner elements for a TPV converter

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An ARPA perspective on TPV

B. L. Sater
Vertical multi-junction cells for thermophotovoltaic conversion

A. Schock and V. Kumar
Radioisotope thermophotovoltaic system design and its application to an illustrative space mission

A. Schock, M. Mumunda, T. Or, and G. Summers
Analysis, optimization, and assessment of radioisotope thermophotovoltaic system design for an illustrative space mission

K. L. Schroeder, M. F. Rose, and J. E. Burkhalter
An experimental investigation of hybrid kerosene burner configurations for TPV applications

R. J. Schwartz and J. L. Gray
The design and modeling of photovoltaic cells for TPV systems

K. W. Stone, S. M. Kusek, and R. E. Drubka
Analysis of solar thermophotovoltaic test data from experiments performed at McDonnell Douglas

M. L. Timmons, P. R. Sharps, R. Venkatasubramanian, P. A. Iles, and C. L. Chu
Thermal photovoltaic cells

M. W. Wanlass, J. S. Ward, T. J. Coutts, and K. Emery
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