



# High Efficiency Spectrum Splitting Prototype Submodule Using Commercial CPV Cells

## Preprint

Mark J. Keevers, Cho Fai Jonathan Lau,  
and Martin A. Green  
*University of New South Wales*

Ian Thomas and John B. Lasich  
*RayGen Resources Pty. Ltd.*

Richard R. King  
*Spectrolab Inc.*

Keith A. Emery  
*National Renewable Energy Laboratory*

*Presented at the 6<sup>th</sup> World Conference on Photovoltaic Energy Conversion (WCPEC-6)  
Kyoto, Japan  
November 23–27, 2014*

**NREL is a national laboratory of the U.S. Department of Energy  
Office of Energy Efficiency & Renewable Energy  
Operated by the Alliance for Sustainable Energy, LLC**

This report is available at no cost from the National Renewable Energy Laboratory (NREL) at [www.nrel.gov/publications](http://www.nrel.gov/publications).

**Conference Paper**  
NREL/CP-5J00-63395  
April 2015

Contract No. DE-AC36-08GO28308

## NOTICE

The submitted manuscript has been offered by an employee of the Alliance for Sustainable Energy, LLC (Alliance), a contractor of the US Government under Contract No. DE-AC36-08GO28308. Accordingly, the US Government and Alliance retain a nonexclusive royalty-free license to publish or reproduce the published form of this contribution, or allow others to do so, for US Government purposes.

This report was prepared as an account of work sponsored by an agency of the United States government. Neither the United States government nor any agency thereof, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States government or any agency thereof.

This report is available at no cost from the National Renewable Energy Laboratory (NREL) at [www.nrel.gov/publications](http://www.nrel.gov/publications).

Available electronically at <http://www.osti.gov/scitech>

Available for a processing fee to U.S. Department of Energy and its contractors, in paper, from:

U.S. Department of Energy  
Office of Scientific and Technical Information  
P.O. Box 62  
Oak Ridge, TN 37831-0062  
phone: 865.576.8401  
fax: 865.576.5728  
email: <mailto:reports@adonis.osti.gov>

Available for sale to the public, in paper, from:

U.S. Department of Commerce  
National Technical Information Service  
5285 Port Royal Road  
Springfield, VA 22161  
phone: 800.553.6847  
fax: 703.605.6900  
email: [orders@ntis.fedworld.gov](mailto:orders@ntis.fedworld.gov)  
online ordering: <http://www.ntis.gov/help/ordermethods.aspx>

*Cover Photos: (left to right) photo by Pat Corkery, NREL 16416, photo from SunEdison, NREL 17423, photo by Pat Corkery, NREL 16560, photo by Dennis Schroeder, NREL 17613, photo by Dean Armstrong, NREL 17436, photo by Pat Corkery, NREL 17721.*

# HIGH EFFICIENCY SPECTRUM SPLITTING PROTOTYPE SUBMODULE USING COMMERCIAL CPV CELLS

Mark J Keevers<sup>1</sup>, Cho Fai Jonathan Lau<sup>1</sup>, Martin A Green<sup>1</sup>,  
Ian Thomas<sup>2</sup>, John B Lasich<sup>2</sup>, Richard R King<sup>3</sup>, Keith A Emery<sup>4</sup>

1. School of Photovoltaic and Renewable Energy Engineering, UNSW, Sydney NSW 2052 AUSTRALIA,
2. RayGen Resources Pty Ltd, 15 King St, Blackburn VIC 3130 AUSTRALIA
3. Spectrolab Inc, 12500 Gladstone Ave, Sylmar, CA 91342 USA
4. NREL, 15013 Denver West Parkway, Golden CO 80401 USA

## ABSTRACT

We report on the design, fabrication and testing of a proof-of-concept, prototype spectrum splitting CPV submodule using a custom bandpass filter and commercial triple-junction (TJ) and Si CPV cells. An independently certified 40.4% submodule efficiency is demonstrated in outdoor testing, including losses from the concentrating optics and filter, which is the highest efficiency ever reported for sunlight conversion to electricity. This spectrum splitting approach was motivated by potential practical application to CPV power tower technology.

## 1. INTRODUCTION

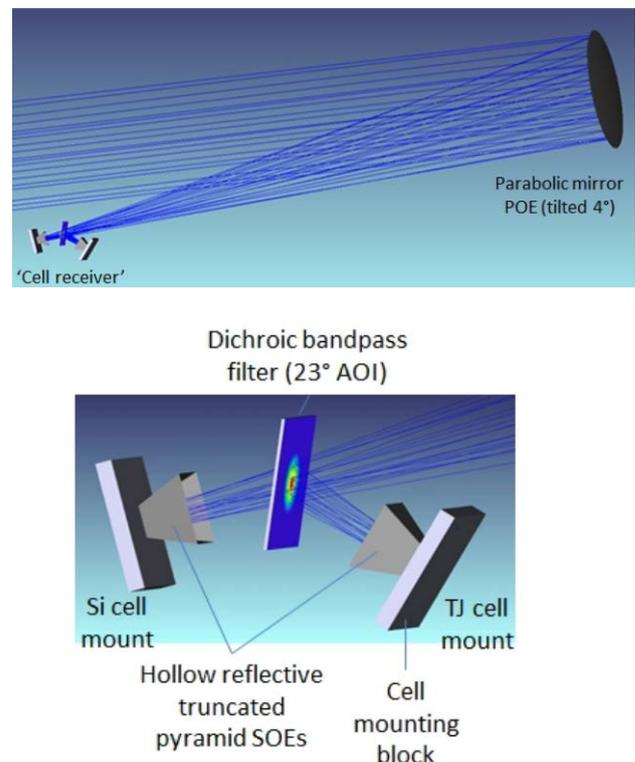
Despite their high efficiency, commercial triple-junction (TJ) CPV cells waste photons in the Ge bottom subcell. This is a result of the compromise required for lattice matching in the cell stack. An example of a state of the art cell of this type is the Spectrolab 'C3MJ+' cells composed of a monolithic stack of GaInP (1.88 eV), GaInAs (1.41 eV) and Ge (0.67eV) [1]. Lateral spectrum splitting with a custom-designed bandpass filter can be used to divert some of the otherwise wasted incident sunlight to a commercial Si CPV cell (1.1 eV), effectively adding a fourth junction to improve electrical output by over 10% as well as reducing heat load.

Perhaps the most practical, cost effective application of this spectrum splitting approach is to the CPV power tower technology currently being commercialized by RayGen [2]. Their single 200-kW planar TJ dense array receiver, illuminated by a field of 64 heliostats, could be replaced by a V-shaped spectrum splitting receiver [3], combining the same TJ array with an additional Si dense array which uses the bandpass filter as cover glass.

## 2. DESIGN AND FABRICATION

The prototype uses reflective (enhanced silver coated) concentration optics (8-inch parabolic mirror, 287 cm<sup>2</sup> aperture area), a custom bandpass

filter (Omega Filters), and two 1-cm<sup>2</sup> high efficiency commercial CPV cells (38.5% Spectrolab III-V TJ cell and 26% SunPower back-contact Si cell), each mounted on a concentrator cell assembly (CCA) and a water-cooled heatsink, with an optional reflective secondary optical element (SOE) to improve flux uniformity. The optical design achieves the required high optical efficiency (up to 96.8%) and the mechanical design, based on optomechanical components, provides a lightweight, robust and fully adjustable structure for on-sun optimisation. One advantage of this lateral spectrum splitting approach is that the TJ and Si cells are connected independently, in a 4-terminal arrangement, which can improve energy yield over a 2-terminal connection.



**Fig. 1** Optics of the spectrum splitting prototype, modeled in the 3D ray tracing software Zemax.

A photograph of the prototype is shown in Fig. 2.

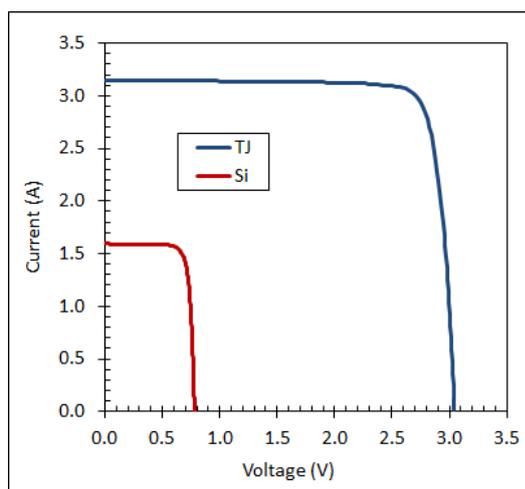


**Fig. 2** Spectrum splitting prototype.

### 3. OUTDOOR TESTING

#### 3.1 Testing at UNSW

An outdoor test capability was established at UNSW consisting of a solar tracker, normal incidence pyrheliometer (NIP), resistance temperature detectors (RTDs), and a computerized light current-voltage (LIV) curve tracer which can rapidly alternate between measurements of the TJ and Si cells. On-sun tests demonstrated proof-of-concept that our simple spectrum splitting approach using commercial cells gives a net performance benefit. Optimization during the on-sun tests resulted in a measured efficiency of 40.1% (Fig. 3 and Table I).



**Fig. 3** UNSW measurements demonstrating proof-of-concept of the benefit of spectrum splitting on the electrical output of the prototype submodule.

**Table I.** Spectrum splitting result measured at UNSW.

Cell	Split: TJ	Split: Si	
DNI (W/m <sup>2</sup> )	795	798	
T <sub>CCA</sub> (°C)	23.5	21.7	
V <sub>oc</sub> (V)	3.04	0.78	
I <sub>sc</sub> (A)	3.14	1.59	
FF (%)	85.0	81.1	Split: Total
Efficiency (%)	35.7	4.4	40.1

TJ cell W1-7, Si cell 333, filter AOI 21°, air mass 2.3

#### 3.2 Independent testing at NREL

The prototype was transported from Sydney to the NREL outdoor test facility in Golden, Colorado and reassembled there. NREL confirmed an efficiency of 40.4% ± 2.8% for measurements made on 6 November 2014 under a pressure-corrected air mass of 2.5, direct normal irradiance of 883.7 W/m<sup>2</sup>, with cell temperature referenced to 25°C. This is the first demonstration of the conversion of sunlight to electricity with efficiency above 40%.

### 4. CONCLUSION

A spectrum splitting prototype submodule using a custom bandpass filter and commercial CPV cells has achieved an independently confirmed efficiency of 40.4%. This is proof-of-concept that this spectrum splitting approach improves efficiency, with possible application to CPV power towers.

### ACKNOWLEDGEMENTS

This work is funded by the Australian Renewable Energy Agency (ARENA) and supported by the Australia-US Institute for Advanced Photovoltaics (AUSIAPV). We thank the following for their contributions: Subash Puthanveetil, Alan Yee, Nathan Tam, Jessica Yajie Jiang, Hamid Mehrvarz, Bernhard Vogl, Nick Shaw, Richard Corkish, other SPREE colleagues (UNSW); Nasser Karam (Spectrolab); Giorgio Graditi (ENEA), Mauro Pravettoni (SUPSI), Pierre Verlinden (Trina Solar); Larry Ottoson, Greg Wilson (NREL).

### REFERENCES

- [1] Richard R King et al, "Band-Gap-Engineered Architectures for High Efficiency Multijunction Concentrator Solar Cells", *24th European Photovoltaic Solar Energy Conference* (2009).
- [2] RayGen website: [www.raygen.com](http://www.raygen.com) (last accessed 17 Nov 2014).
- [3] Hui Lv et al, "High Efficiency V-shaped Spectrum Splitting Power Tower Receiver", to be presented at the *Asia-Pacific Solar Research Conference*, UNSW (8-10 Dec 2014).