



Novel Approach for Calculation and Analysis of Eigenvalues and Eigenvectors in Microgrids

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Novel Approach for Calculation and Analysis of **Eigenvalues and Eigenvectors in Microgrids**

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Abstract—The calculation of eigenvalues and eigenvectors plays an important role in the stability analysis and optimal design of microgrids with multiple distributed energy resources. Microgrid systems are usually operated in various uncertain conditions. In this paper, a novel approach based on matrix perturbation theory is proposed for the calculation and analysis of eigenvalues and eigenvectors in a microgrid system. Rigorous theoretical analysis to solve eigenvalues and the corresponding eigenvectors for a system under various perturbations caused by fluctuations of irradiance, wind speed, or loads is presented. A computational flowchart is then proposed for the unified solution of eigenvalues and eigenvectors in microgrids, aimed toward obtaining eigenvalues and eigenvectors intuitively under different perturbations, which makes repeatedly solving an eigenvalue unnecessary. Finally, the effectiveness of the matrix perturbation-based approach in microgrids is verified by numerical examples on a typical low-voltage microgrid network.

Index Terms--Matrix perturbation, microgrids, distributed energy resources, eigenvalue, eigenvector

INTRODUCTION I.

Microgrid technology appears to be an increasingly attractive mechanism to facilitate the integration of distributed energy resources (DER) to increase the reliability of existing power systems, alleviate stress on transmission and distribution systems, and reduce environmental pollution [1]-[3]. A major challenge of microgrid technology is the optimal design, management, and control of DER units and system loads, in which the calculation and analysis of eigenvalues and eigenvectors (eigen-solutions) plays an essential role [4].

The main objective of a microgrid operated under various small changes is to ensure its small-signal stability. When a microgrid contains multiple DER units and various loads, it possesses complex small-signal stability characteristics because of different features of DER units and loads. Therefore, it is critical to analyze the small-signal stability of microgrids under various uncertain conditions [5], [6].

Generally, the operating point of a microgrid changes frequently. Thus, the eigenvalue problem needs to be solved repeatedly. Not only is this extremely tedious and time-

consuming, but also it poses difficulties in studying the details of eigen-solutions' variations caused by different changes in a system. To overcome these limitations, a novel approach based on matrix perturbation theory (MPT) is proposed. MPT is an efficient method for the eigen-solutions calculation, aimed toward describing variations of a system's inherent properties under different perturbations [7]-[9]. The eigensolutions can be easily obtained with good accuracy for a system under perturbation with parameters. Therefore, there is no need to repeatedly solve the eigenvalue for a modified system. In addition, detailed analysis can be conducted only on critical eigen-solutions, regardless of whether a perturbation occurs in the outputs of the DER units or at the loads.

The remainder of this paper is organized as follows. Section II introduces the basic first-order perturbation theory for distinct, multiple, and close eigenvalues. Section III describes a perturbation analysis and computational flowchart of a microgrid system with various DER units. Section IV provides numerical examples that verify the effectiveness of the proposed approach. Conclusions are drawn in Section V.

MATRIX PERTURBATION THEORY II.

A finite-dimensional matrix pair (A, B) is considered,

where A is a real asymmetric matrix and B is a positive definite matrix. Then, the generalized eigenvalue problem of complex modes can be described by the following (1),

$$\begin{cases} Av_i = \lambda_i Bv_i \\ u_i^T A = \lambda_i u_i^T B \end{cases}$$
(1)

where λ_i is the *i*th generalized eigenvalue, v_i and u_i^T are the right and left generalized eigenvectors, respectively, satisfying the following orthogonal normalization conditions:

$$\begin{cases} u_i^T B v_j = \delta_{ij} \\ u_i^T A v_j = \delta_{ij} \lambda_i \end{cases}$$
(2)

where δ_{ii} is the Kronecker sign.

Theorem 1. If λ_{i0} is an eigenvalue of the original system (A_0, B_0) with the multiplicity m ($m \ge 1$), the eigensolutions of the perturbed system $(A_0 + \varepsilon A_1, B_0 + \varepsilon B_1)$ are given as follows [7]-[11]:

$$\lambda_i = \lambda_{i0} + \varepsilon \lambda_{i1} + \sqrt[m]{\varepsilon^{m+1}} \lambda_{i2} + \sqrt[m]{\varepsilon^{m+2}} \lambda_{i3} + \cdots$$
(3)

$$v_{i} = v_{i0} + \varepsilon v_{i1} + \sqrt[m]{\varepsilon}^{m+1} v_{i2} + \sqrt[m]{\varepsilon}^{m+2} v_{i3} + \cdots$$
(4)

$$u_{i}^{T} = u_{i0}^{T} + \varepsilon u_{i1}^{T} + \sqrt[m]{\varepsilon^{m+1}} u_{i2}^{T} + \sqrt[m]{\varepsilon^{m+2}} u_{i3}^{T} + \cdots$$
(5)

where \mathcal{E} is the small perturbation parameter; λ_{i0} , v_{i0} , and u_{i0}^{T} are the eigen-solutions of the original system; λ_{i1} , v_{i1} , and u_{i1}^{T} are the first-order perturbations of eigen-solutions; and λ_{i2} , v_{i2} , and u_{i2}^{T} are the second-order perturbations of eigen-solutions, etc. Taking into account the accurate solutions obtained by the first-order perturbations, only the first-order perturbations of the eigen-solutions are analyzed in this paper. Further details about the second- and high-order perturbations can be found in [7]-[9].

According to the special distribution of eigenvalues—i.e., the value of the multiplicity m —MPT can be introduced from three perspectives: MPT for distinct eigenvalues, MPT for multiple eigenvalues, and MPT for close eigenvalues [7], [11].

A. MPT for Distinct Eigenvalues

When all of the eigenvalues are separated from each other, the system will have a set of complete eigenvectors to span the whole space based on the Banach theory [9]. Therefore, the eigenvectors under perturbations can be expressed in the linear combination form of the eigenvectors in the original system. Then the first-order perturbations of the distinct eigenvalues and the corresponding eigenvectors can be expressed as follows. Further details can be found in [7]-[11].

$$\lambda_{i1} = u_{i0}^T A_1 v_{i0} - \lambda_{i0} u_{i0}^T B_1 v_{i0}$$
(6)

$$v_{i1} = \sum_{\substack{j=1\\j\neq i}}^{n} \left(\left(-u_{j0}^{T} A_{1} v_{i0} + \lambda_{i0} u_{j0}^{T} B_{1} v_{i0} \right) / \left(\lambda_{j0} - \lambda_{i0} \right) \right) v_{j0}$$
(7)

$$u_{i1}^{T} = \sum_{\substack{j=1\\j\neq i}}^{n} \left(\left(-v_{j0}^{T} A_{1}^{T} u_{i0} + \lambda_{i0} v_{j0}^{T} B_{1}^{T} u_{i0} \right) / \left(\lambda_{j0} - \lambda_{i0} \right) \right) u_{j0}^{T}$$
(8)

B. MPT for Multiple Eigenvalues

When any eigenvalues of multiplicity are found in the original system, this kind of system is known as a degenerate one. There are two important characteristics in this type of system: first, eigenvalues of multiplicity may be separated into distinct ones when a perturbation is introduced into the original system; second, mutations may occur to eigenvectors corresponding to the eigenvalues of multiplicity under a perturbation, which is caused by the arbitrariness of the selection of corresponding eigenvectors in the original system [7]-[9]. The first-order perturbations of eigenvalues of multiplicity and the corresponding eigenvectors can be expressed as follows. Further details can be found in [7]-[11].

$$\lambda_{i1} = \operatorname{eig}\left(U_{r0}^{T}A_{1}V_{r0} - \lambda_{r0}U_{r0}^{T}B_{1}V_{r0}\right)$$
(9)

$$x_{i1} = \sum_{\substack{k=1\\k \neq r, \dots, r+m-1}}^{n} \frac{\sum_{j=1}^{m} \alpha_{ij} \left(-u_{k0}^{T} A_{l} v_{r0}^{(j)} + \lambda_{r0} u_{k0}^{T} B_{l} v_{r0}^{(j)} \right)}{\left(\lambda_{k0} - \lambda_{r0} \right)} v_{k0}$$
(10)

$$y_{i1}^{T} = \sum_{\substack{k=1\\k\neq r,\cdots,r+m-1}}^{n} \frac{\sum_{j=1}^{m} \beta_{ij} \left(-v_{k0}^{T} A_{1}^{T} u_{r0}^{(j)} + \lambda_{r0} v_{k0}^{T} B_{1}^{T} u_{r0}^{(j)} \right)}{\left(\lambda_{k0} - \lambda_{r0} \right)} u_{k0}^{T}$$
(11)

where $\operatorname{eig}\left(U_{r0}^{T}A_{1}V_{r0} - \lambda_{r0}U_{r0}^{T}B_{1}V_{r0}\right)$ in (9) represents the eigenvalue of the matrix $U_{r0}^{T}A_{1}V_{r0} - \lambda_{r0}U_{r0}^{T}B_{1}V_{r0}$; U_{r0}^{T} and V_{r0} are the left and right eigenvector matrices corresponding to the eigenvalues of multiplicity λ_{r0} ; x_{i1} and y_{i1}^{T} are the first-order perturbations of the right and left eigenvectors corresponding to λ_{r0} ; and α_{ij} and β_{ij} are coefficients of which definitions and solutions can be found in [7].

C. MPT for Close Eigenvalues

In a system, sometimes eigenvalues may be distributed in a cluster manner even though they are distinct eigenvalues. In such a case, although the perturbed solutions of close eigenvalues and their corresponding eigenvectors can be obtained through the first-order perturbation theory for distinct eigenvalues, the calculation accuracy may not be satisfactory in some research problems. Therefore, it is necessary to investigate the special MPT for close eigenvalues.

Based on matrix spectrum decomposition theory, intensity index approach for eigenvalue identification, and Ritz base theory [7], [11], the original matrix A_0 can be rewritten in the following form:

$$A_{0} = B_{0} \left[V_{P0} \vdots V_{Q0} \right] diag \left(S_{M}, S_{Q0} \right) \left[U_{P0} \vdots U_{Q0} \right]^{T} B_{0} + B_{0} \left[V_{P0} \vdots V_{Q0} \right] diag \left(\delta S_{M0}, 0 \right) \left[U_{P0} \vdots U_{Q0} \right]^{T} B_{0}$$
(12)
$$= \overline{A}_{0} + \delta A_{0}$$

Where

$$\left(S_{P0}, S_{Q0}\right) = \left(\underbrace{\lambda_{10}, \lambda_{20}, \cdots, \lambda_{p0}}_{S_{P0}}, \underbrace{\lambda_{p+1,0}, \cdots, \lambda_{n,0}}_{S_{Q0}}\right)$$
(13)

$$\begin{bmatrix} V_{P0} \\ \vdots \\ V_{Q0} \end{bmatrix} = \begin{bmatrix} v_{10}, v_{20}, \cdots, v_{p0} \\ \vdots \\ v_{p+1,0}, \cdots, v_{n,0} \end{bmatrix}$$
(14)

$$\begin{bmatrix} U_{P0} \\ \vdots \\ U_{Q0} \end{bmatrix} = \begin{bmatrix} u_{10}, u_{20}, \cdots, u_{p0} \\ \vdots \\ u_{p+1,0}, \cdots, u_{n,0} \end{bmatrix}$$
(15)

$$S_{P0} = \left(\lambda_m + \delta \lambda_{10}, \lambda_m + \delta \lambda_{20}, \cdots, \lambda_m + \delta \lambda_{p0}\right)$$

= $S_M + \delta S_{M0}$ (16)

$$\lambda_m = \sum_{i=1}^p \lambda_{i0} / p \tag{17}$$

It is worth noting that there are two kinds of perturbations during the calculation process of the first-order perturbations of close eigenvalues: one is caused by the eigenvalues shift, e.g., δA_0 as shown in (12); the other is caused by parameters' perturbations, which is the same as in the distinct or multiple eigenvalues solution process.

III. PERTURBATION ANALYSIS AND COMPUTATION FLOWCHART OF MICROGRIDS

It is critical to research the small-signal stability of a microgrid. Through the comprehensive analysis of DER units, loads, and networks [12], [13], a microgrid can be described by a set of differential and algebraic equations (DAE) as shown in (18) [12], [13].

$$\begin{cases} \dot{x} = F(x, y, p) \\ 0 = G(x, y, p) \end{cases}$$
(18)

where $x \in \mathbb{R}^n$ represent state variables, $y \in \mathbb{R}^m$ represent algebraic variables, and $p \in \mathbb{R}^p$ represent control variables.

The small-signal stability model of a microgrid can be expressed as (19), which is known as a state matrix and governs the stability feature of a microgrid.

$$A_{SYS} = F_x - F_y G_y^{-1} G_x \tag{19}$$

where F_x and G_x are matrices of partial derivatives of state variables, and F_y and G_y are matrices of partial derivatives of algebraic variables.

When the output of DER units (e.g., PV and wind) fluctuates or the system load changes, the above-mentioned state matrix A_{SYS} will change accordingly. The corresponding variation ΔA_{SYS} can be expressed as follows:

$$\Delta A_{SYS} = \Delta A_{SYS,G} + \Delta A_{SYS,L} + \Delta A_{SYS1,GL}$$
(20)

where the total increment of the state matrix ΔA_{SYS} includes three parts: $\Delta A_{SYS,G}$ represents the first part of the matrix increment caused by the variations of the output of generation units only, $\Delta A_{SYS,L}$ represents the second part of the increment caused by the fluctuations of the loads only, and

b) $\Delta A_{SYS,GL}$ represents the third part of the increment caused by both the generation units and system loads. All of their expressions are given as the following equations— with the condition that no change happens on the system structure, i.e., G_{v0} is a constant matrix.

$$\Delta A_{SYS,G} = \sum_{i=1}^{m} \Delta F_{x,i} - F_{y0} G_{y0}^{-1} \left(\sum_{i=1}^{m} \Delta G_{x,i} \right) - \left(\sum_{i=1}^{m} \Delta F_{y,i} \right) G_{y0}^{-1} G_{x0}$$

$$- \left(\sum_{i=1}^{m} \Delta F_{y,i} \right) G_{y0}^{-1} \left(\sum_{i=1}^{m} \Delta G_{x,i} \right)$$

$$\Delta A_{SYS,L} = \sum_{j=1}^{n} \Delta F_{x,j} - F_{y0} G_{y0}^{-1} \left(\sum_{j=1}^{n} \Delta G_{x,j} \right) - \left(\sum_{j=1}^{n} \Delta F_{y,j} \right) G_{y0}^{-1} G_{x0}$$

$$- \left(\sum_{j=1}^{n} \Delta F_{y,j} \right) G_{y0}^{-1} \left(\sum_{j=1}^{n} \Delta G_{x,j} \right)$$

$$\Delta A_{SYS,GL} = - \left(\sum_{i=1}^{m} \Delta F_{y,i} \right) G_{y0}^{-1} \left(\sum_{j=1}^{n} \Delta G_{x,j} \right)$$

$$(23)$$

where m is the number of generation units with output changes and n is the number of system loads with power changes.

 $-\left(\sum_{i=1}^{m}\Delta F_{y,i}\right)G_{y0}^{-1}\left(\sum_{i=1}^{m}\Delta G_{x,i}\right)$

Based on (20)-(23), the increment of the state matrix can be expressed in the form of a combination of different variations. This makes it easier to analyze the different impacts of different units on the system stability characteristics. When the state matrix changes as a result of the perturbations of the parameters caused by fluctuations of irradiance, wind speed, or loads, the increments of the eigenvalues and eigenvectors can then be calculated according to Section II.

For the computation of eigen-solutions, a calculation flowchart is also proposed. In Figure 1, *Modeling and Initialization* includes system components modeling, powerflow calculation, and system variables initialization, etc. *Eigen-solutions Calculation* is then conducted in the initial condition using the QR algorithm [8]. Subsequently, *Variations* are detected both from the generation side and load side. Based on the eigen-solutions and detection results, *Modes* are then classified into different categories of eigenvalues.



Figure 1. Flowchart of eigen-solutions based on MPT in a perturbed microgrid system

If there are any close modes in the original system, *State Matrix* needs to be transformed first, then the selection process of multiple modes can begin. If there are any multiple modes in the original system, *Multiple Eigen-solutions Perturbation Calculation* is carried out according to (9)-(11); or *Distinct Eigen-solutions Perturbation Calculation* is carried out according to (6)-(8). Finally, based on the first-order perturbations, eigen-solutions can be updated to analyze the new feature of small-signal stability in the perturbed system without repeatedly solving an eigenvalue problem.



Figure 2. Typical low-voltage microgrid network

IV. NUMERICAL EXAMPLES

The 0.4-kV, 50-Hz microgrid prototype shown in Figure 2 is used to test and verify the proposed approach. Parameters of this microgrid are provided in [14]. The numerical examples are carried out when the microgrid is operated in islanded mode and analyzed from the following two perspectives.

A. Calculation and Analysis for Distinct and Multiple Eigenvalues

There are 132 state variables in the test system. The multiple eigenvalues are given as follows: -100.0, -9.75, and -0.10, with a multiplicity 6, 2, and 2, respectively. Assuming that the irradiance of PV units and the power of loads are under different perturbations as shown in Table I, the solutions comparison of distinct eigenvalues and multiple ones between the MPT method and QR algorithm are shown in Figure 3 and Figure 4, respectively. Note that each perturbation is within the range of $\pm 10\%$ for the computation accuracy.

TABLE I. PERTURBATIONS OF IRRADIANCE AND LOADS

		Initial Value	Perturbation	Percentage
Irradiance of PV1 (W/m ²)		1,000.00	900.00	-10% (1)
Irradiance of PV2 (W/m ²)		1,000.00	1,050.00	+5% (2)
Load1	Active Power(W)	12.75	14.00	+9.8%(3)
	Reactive Power(VAR)	7.90	7.50	-5.1%(3)
Load3	Active Power(W)	61.15	65.00	+6.3%(4)
	Reactive Power(VAR)	37.90	36.00	-5.0%(4)



Figure 3. Comparison of distinct eigenvalues between the MPT approach and QR method under different perturbations



Figure 4. Comparison of multiple eigenvalues between MPT approach and QR method under different perturbations

Figure 3 shows only one conjugate of eigenvalues ($-4.4548 \pm 4.2441i$) for the comparison. The perturbed solutions are approximately equal to the corresponding exact ones

within accuracy limits. A comparison of the multiple eigenvalues -9.75 is shown in Figure 4, which demonstrates the separation phenomenon of multiple eigenvalues during perturbations. Both of them validate the effectiveness of the MPT-based approach.

TABLE II. COMPARISON OF MULTIPLE EIGENVALUES BETWEEN THE MPT APPROACH AND QR METHOD

	Perturbed Solutions	Exact Results
	-102.7553+1.3178i	-102.7555+1.3179i
Perturbation (1)	-102.7002+1.2955i	-102.7000+1.2953i
(1)	-102.6844+1.4568i	-102.6844+1.4568i
Perturbation	-102.7538+1.3183i	-102.7539+1.3183i
	-102.7005+1.2942i	-102.7004+1.2941i
(2)	-102.6844+1.4564i	-102.6844+1.4564i
Dentendention	-102.7547+1.3181i	-102.7548+1.3182i
(3)	-102.7010+1.2943i	-102.7009+1.2942i
	-102.6846+1.4561i	-102.6846+1.4561i
Dentendention	-102.7553+1.3153i	-102.7563+1.3156i
(4)	-102.7000+1.2978i	-102.6991+1.2975i
(-•)	-102.6865+1.4555i	-102.6864+1.4555i

B. Calculation and Analysis for Close Eigenvalues

Close eigenvalues can usually be observed in a system due to the similar structure or parameter of units. When there is a long enough distance between the eigenvalues located in a cluster and the eigenvalues far away from this cluster, the eigen-subspace corresponding to this cluster is definitely a well-conditioned one.

In the test microgrid system, eight eigenvalue clusters can be found. Taking one of them as an example, the perturbed solutions and exact results are illustrated in Table II. The original eigenvalues of the cluster are given as follows: – 102.7528+1.3195i, –102.7019+1.2924i, and – 102.6843+1.4570i.

As shown by the comparison of close eigenvalues in Table II, the effectiveness of the proposed method can be confirmed as well.

V. CONCLUSION

This paper proposes a novel method based on MPT for the calculation and analysis of eigen-solutions in a perturbed microgrid system. MPT for distinct, multiple, and close eigenvalues are introduced, respectively. Theoretical small-signal stability analysis of microgrids is computed under perturbations of parameters caused by fluctuations of generation or load. The computational flowchart of the proposed method to solve perturbed microgrid system problems has also been presented. Numerical examples are performed in a typical low-voltage microgrid system. Theoretical analysis and evaluation results confirm the effectiveness of the MPT-based approach.

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